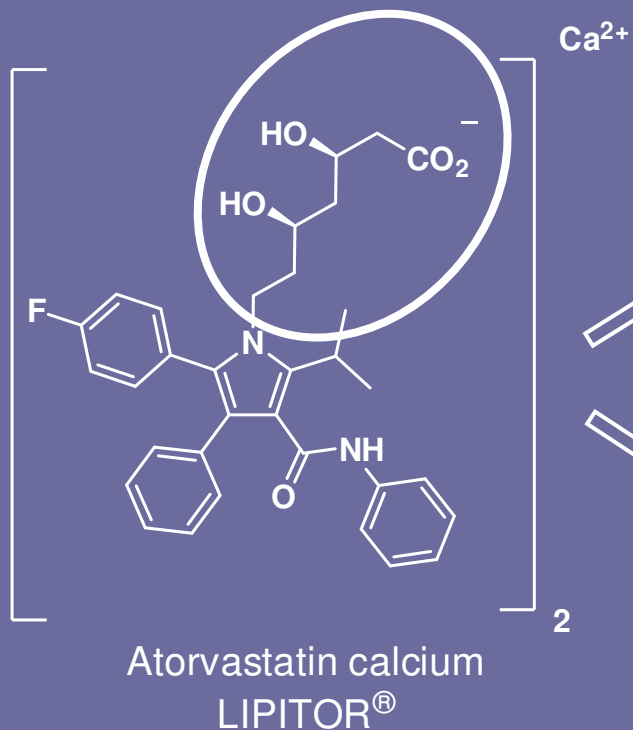


# The Story of LIPITOR® - *A Peek into the World of Pharmaceutical Process Chemistry*



Chemical Synthesis

LIPITOR® – \$12 billion/year sales (2005)

Chiral side chain (circled) – 220 ton/year

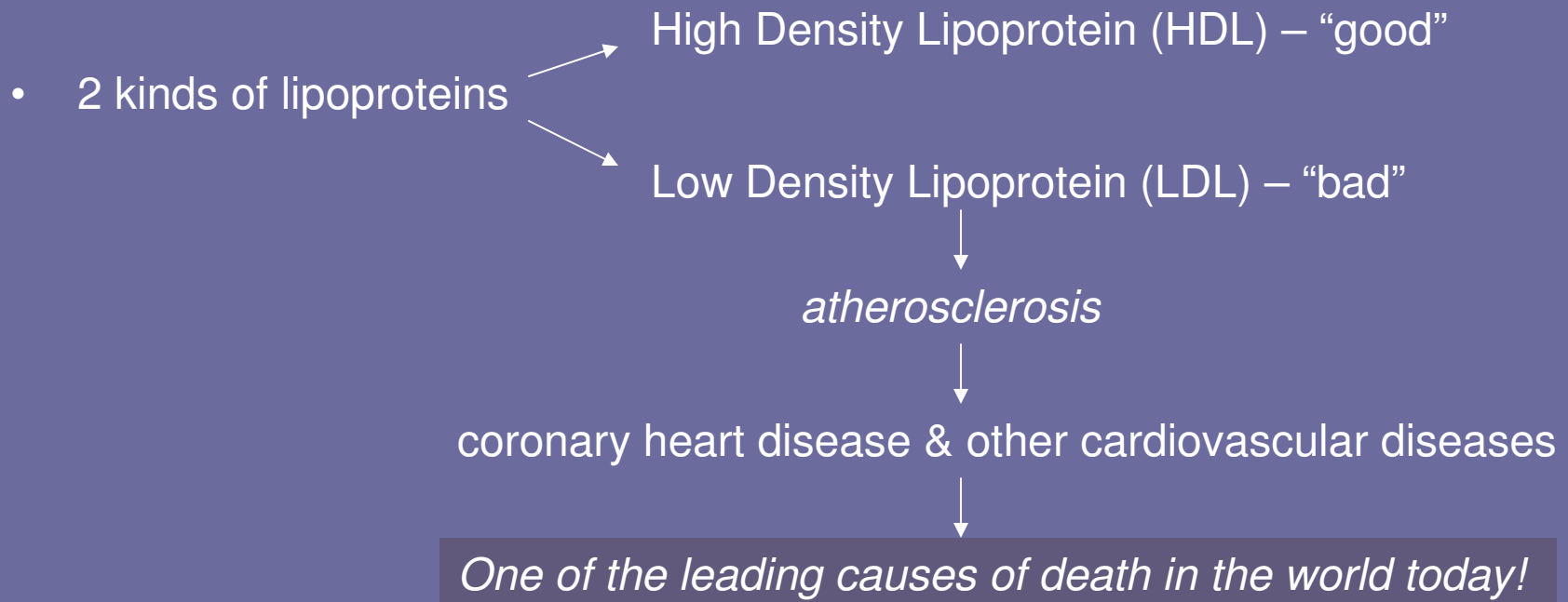
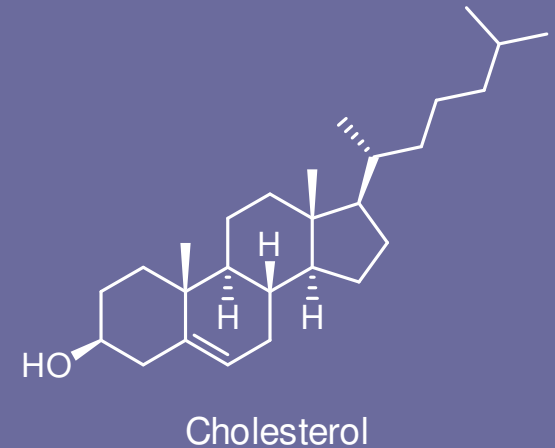
Biocatalysis

Aman Desai

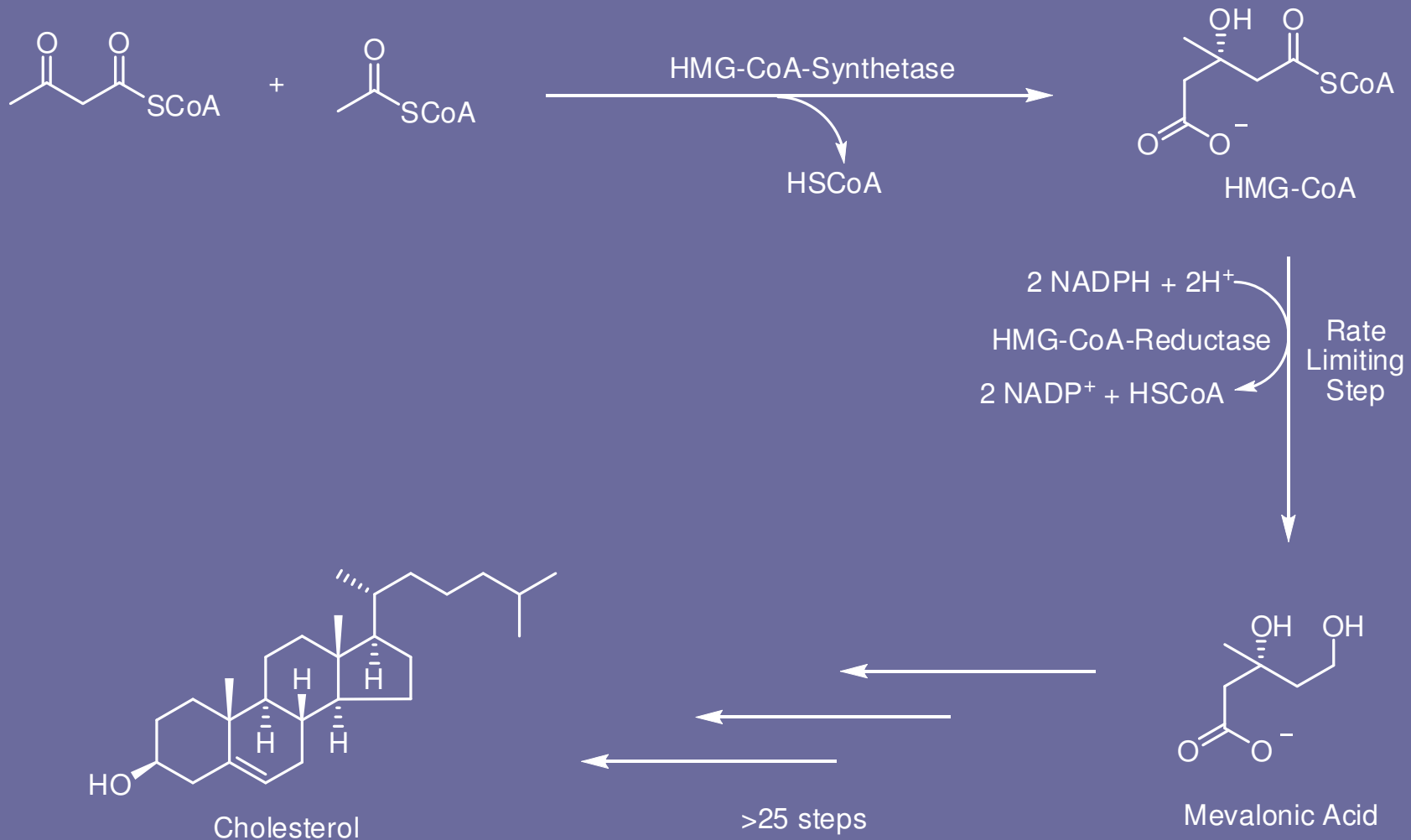
7<sup>th</sup> Feb. 2007

# The Problem – The “Bad” Cholesterol

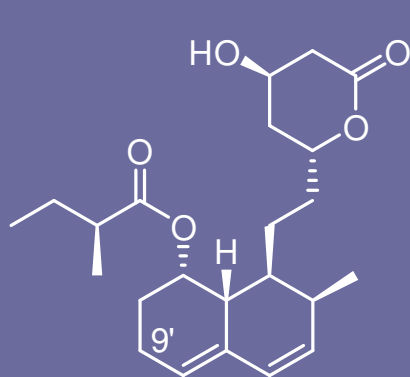
- Cholesterol – a very important biological molecule.
- Most cholesterol is not dietary, it is synthesized internally.
- Cholesterol is bound to lipoproteins and transported through blood.



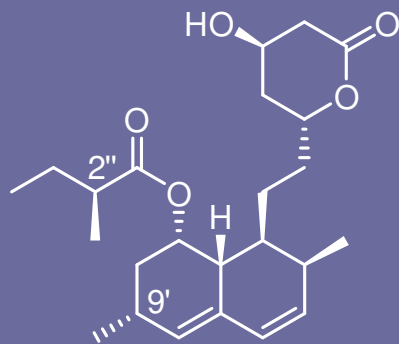
# The Solution – Suppressing Cholesterol Biosynthesis



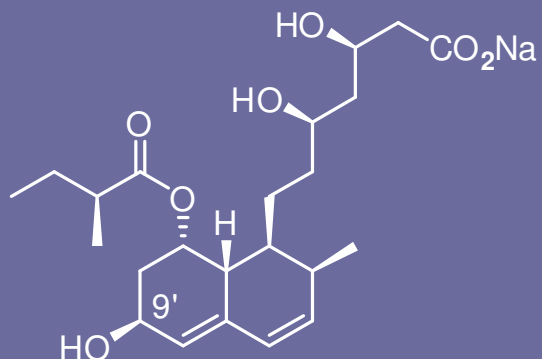
# The Solution – Suppressing Cholesterol Biosynthesis



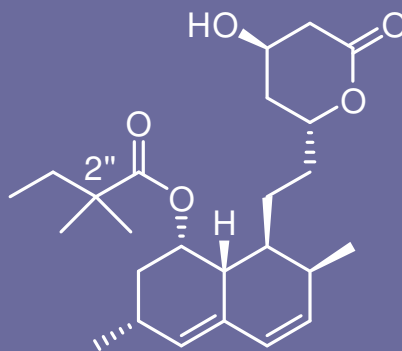
Mevastatin



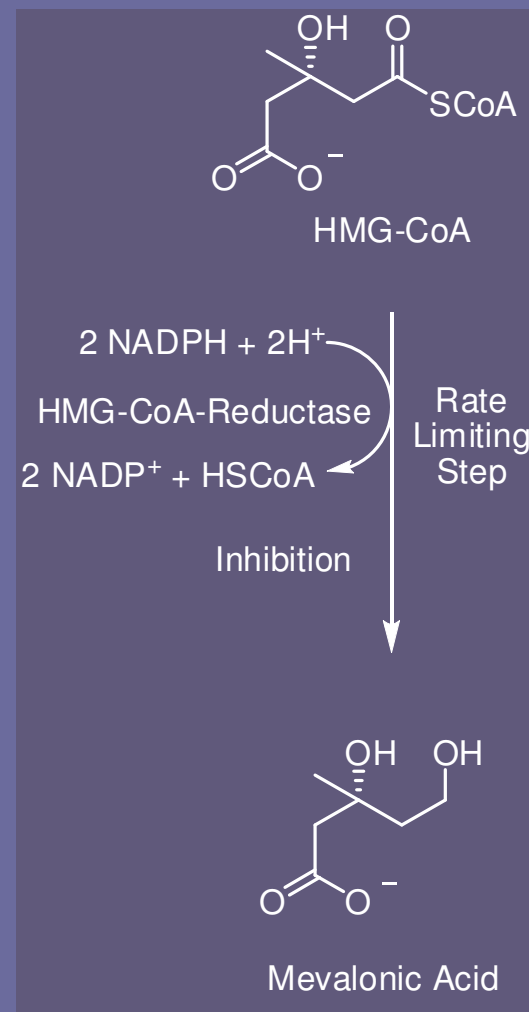
Lovastatin (MEVACOR<sup>®</sup>)  
MERCK



Pravastatin (PRAVACOL<sup>®</sup>)  
BRISTOL - MYERS SQUIBB

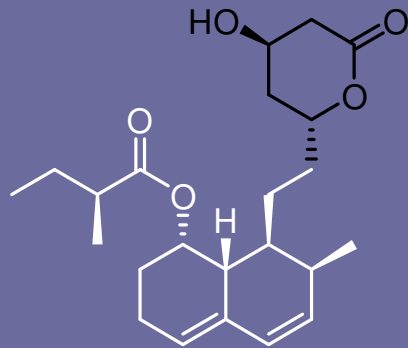


Simvastatin (ZOCOR<sup>®</sup>)  
MERCK

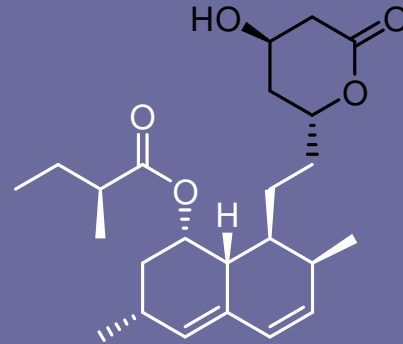


Endo, A. J. *Lipid Res.* **1992**, 33, 1569-1582.  
 Roth, B. D. *Prog. Med. Chem.* **2002**, 40, 1-22.

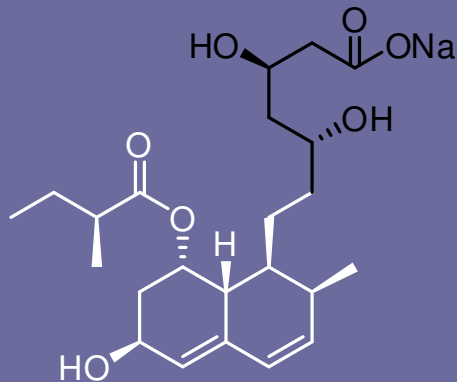
# Mechanism of Action of Statin Drugs



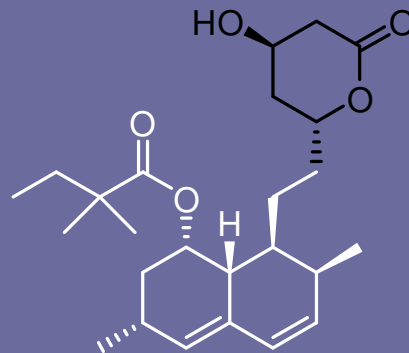
Mevastatin



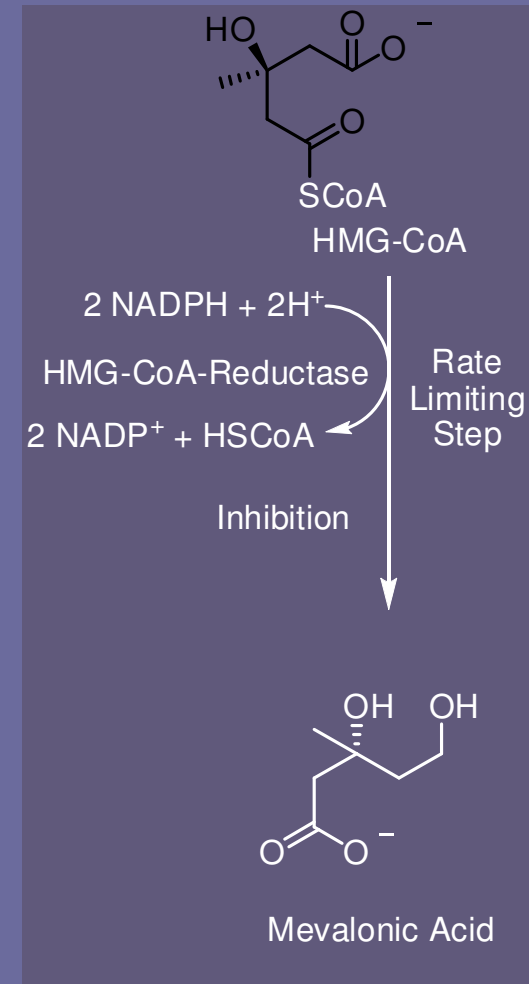
Lovastatin (MEVACOR<sup>®</sup>)  
MERCK



Pravastatin (PRAVACOL<sup>®</sup>)  
BRISTOL - MYERS SQUIBB



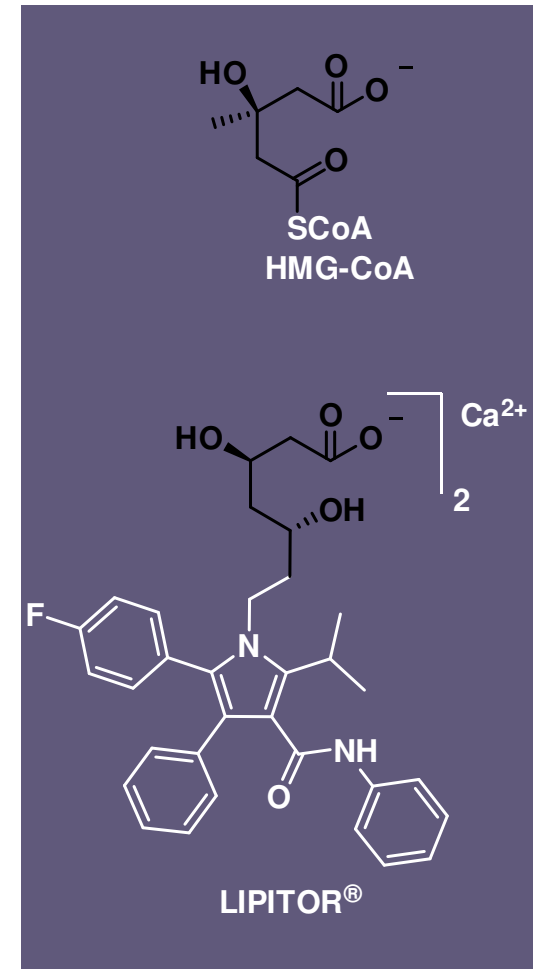
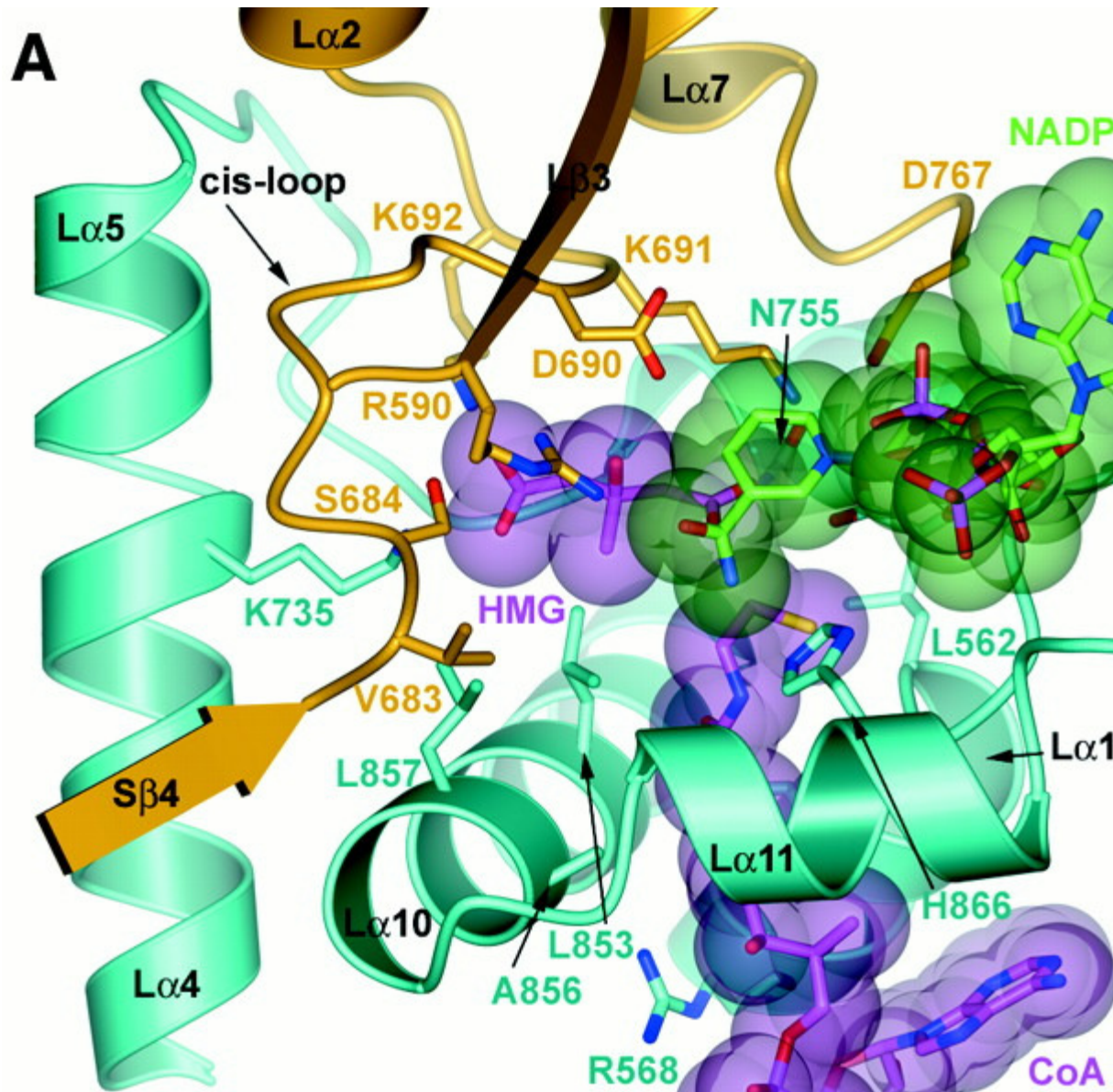
Simvastatin (ZOCOR<sup>®</sup>)  
MERCK







# Human HMGR with Natural Substrates

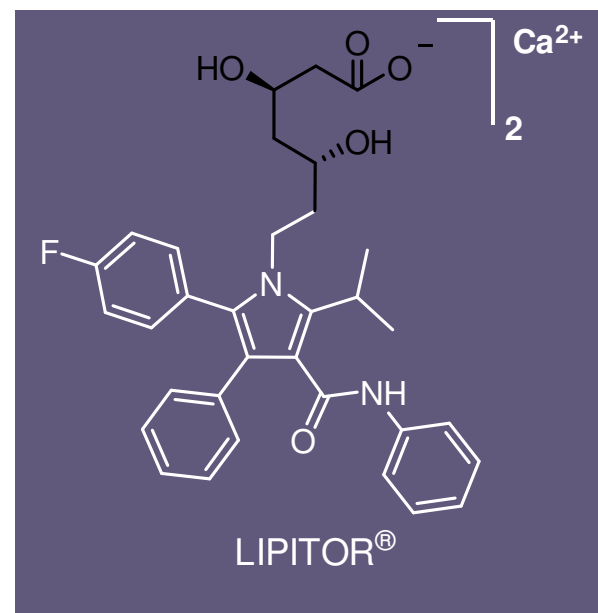
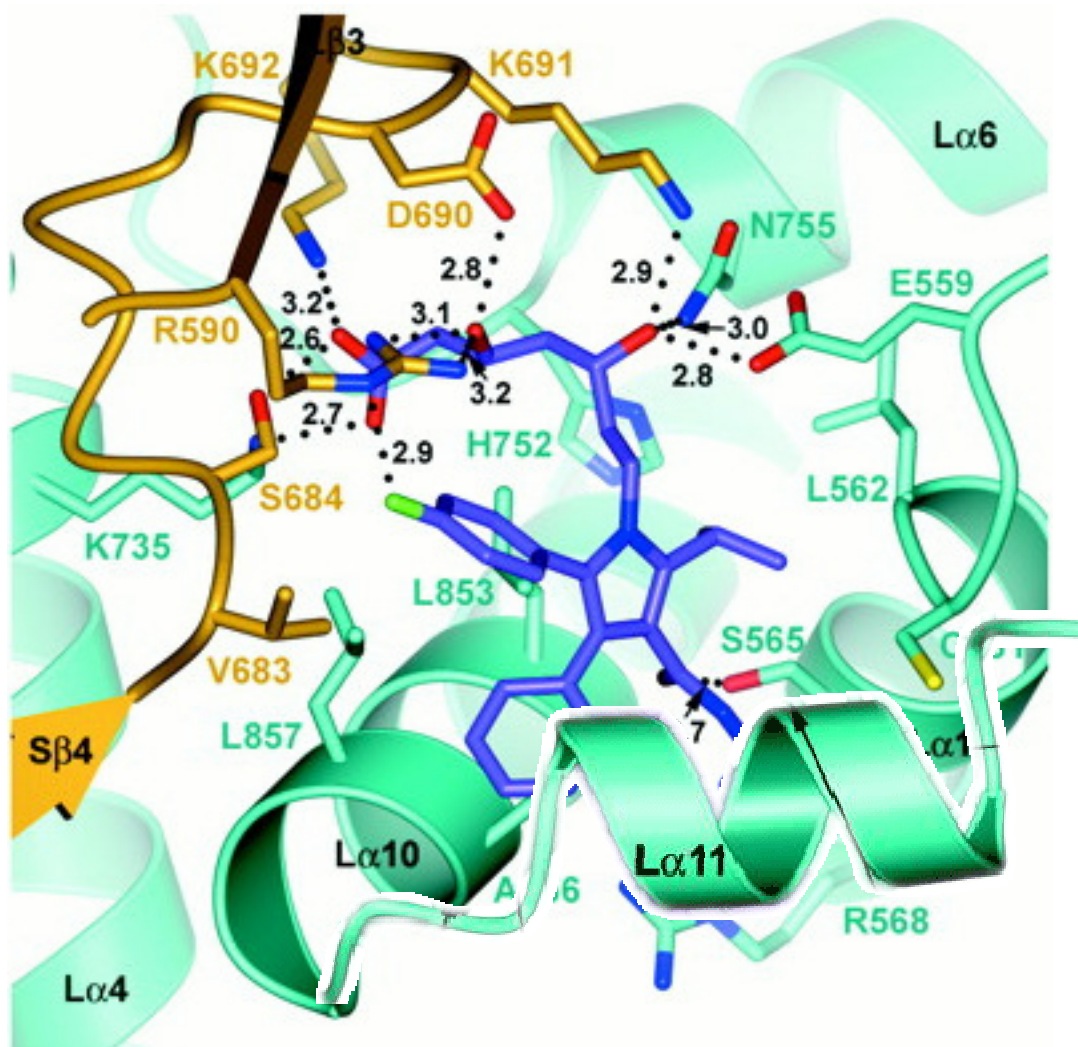


Istvan, E. S.; Deisenhofer, J. *Science* **2001**, *292*, 1160-1164.

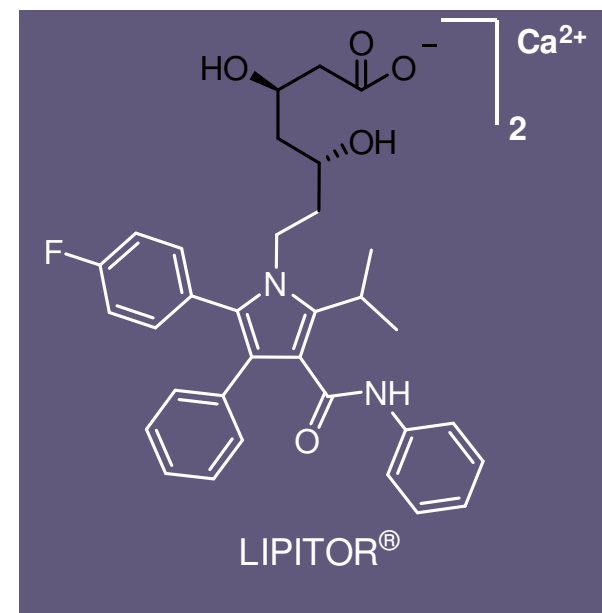
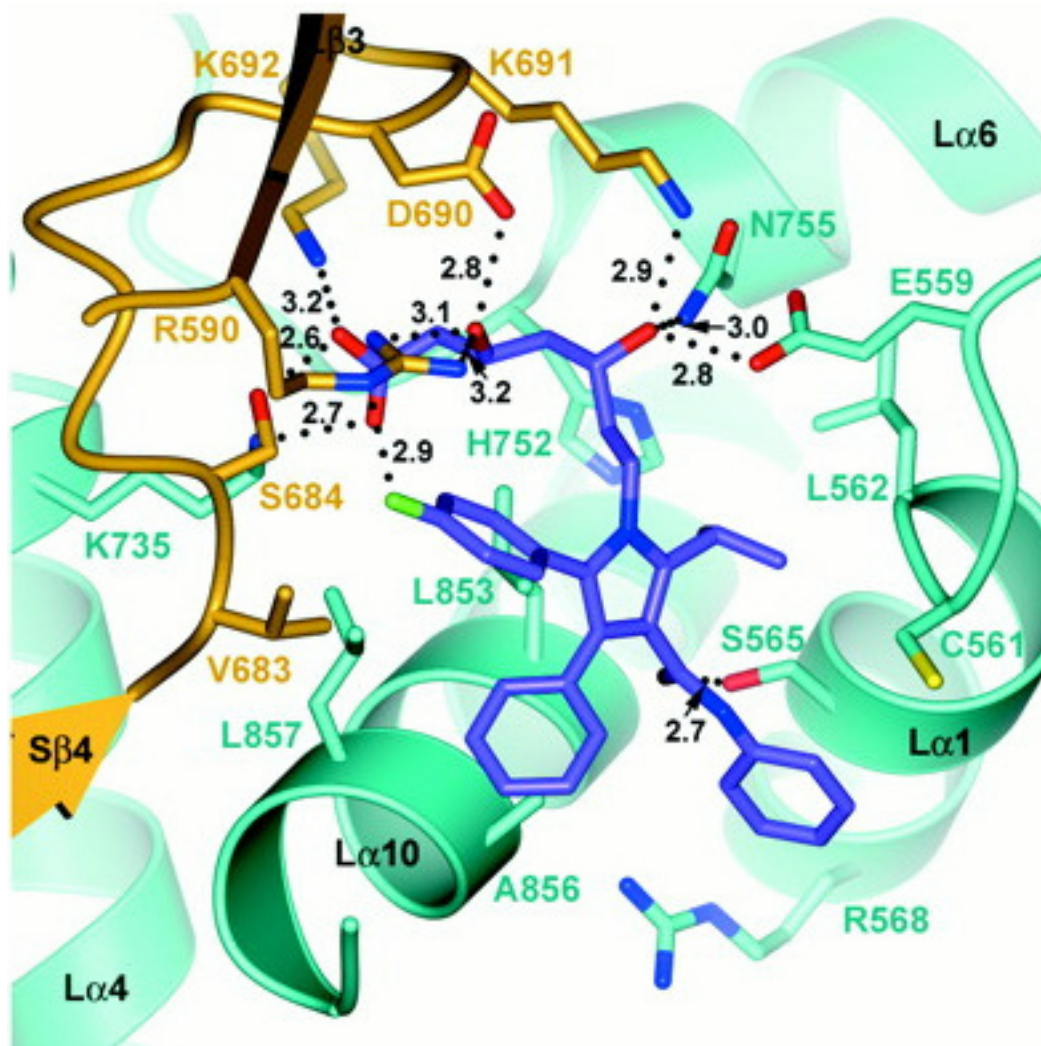




# Human HMGR with LIPITOR®

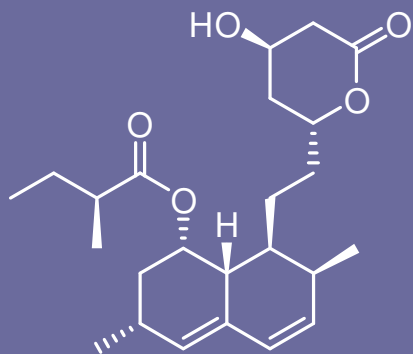


# Human HMGR with LIPITOR®

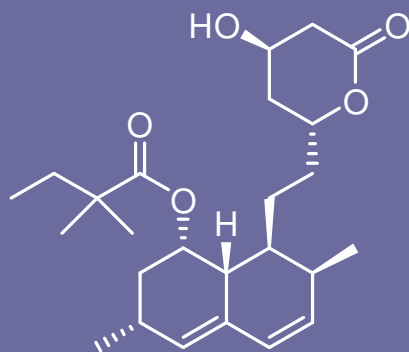


Istvan, E. S.; Deisenhofer, J. *Science* **2001**, *292*, 1160-1164.

## Circa 1995 – The Statin Drugs Market



Lovastatin (MEVACOR<sup>®</sup>)  
MERCK



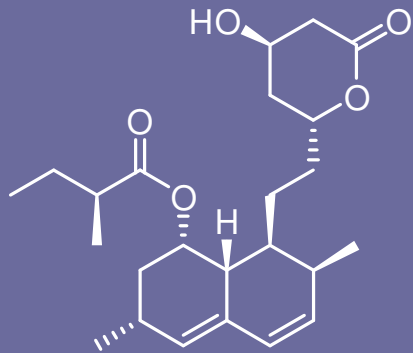
Simvastatin (ZOCOR<sup>®</sup>)  
MERCK

- Merck = cholesterol control
- At 20 mg, ZOCOR<sup>®</sup> lowered LDL by -29%.

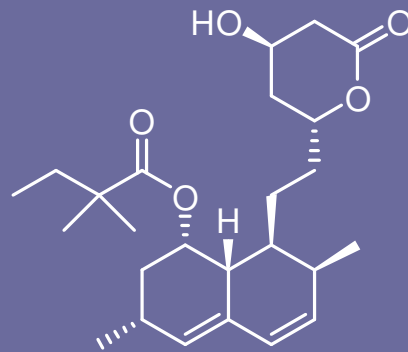
Thayer, A. M. *Chem. Eng. News*, **2006**, *84*, 33, 26-27.

Jones P.; Kafonek, S.; Laurora, I.; Hunninghake, D. *Am. J. Cardiol.* **1998**, *81*, 582-587.

## Circa 1995 – The Statin Drugs Market



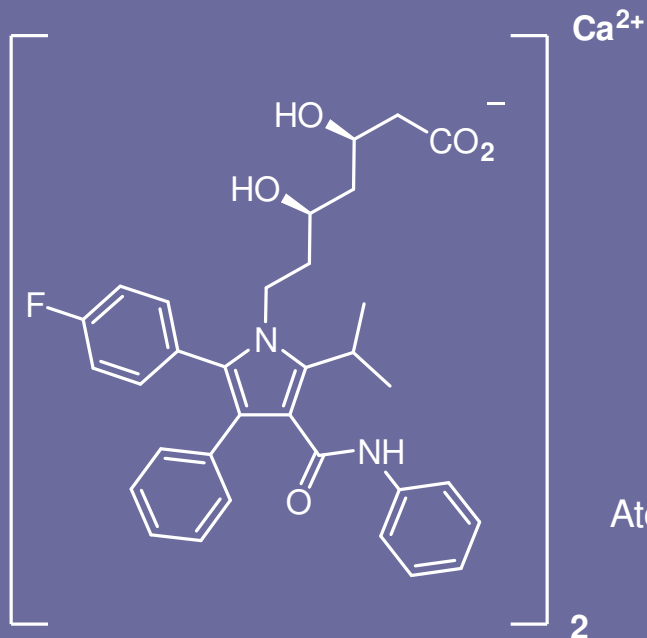
Lovastatin (MEVACOR®)  
MERCK



Simvastatin (ZOCOR®)  
MERCK

- Merck = cholesterol control.
- At 20 mg, ZOCOR® lowered LDL by -29%.

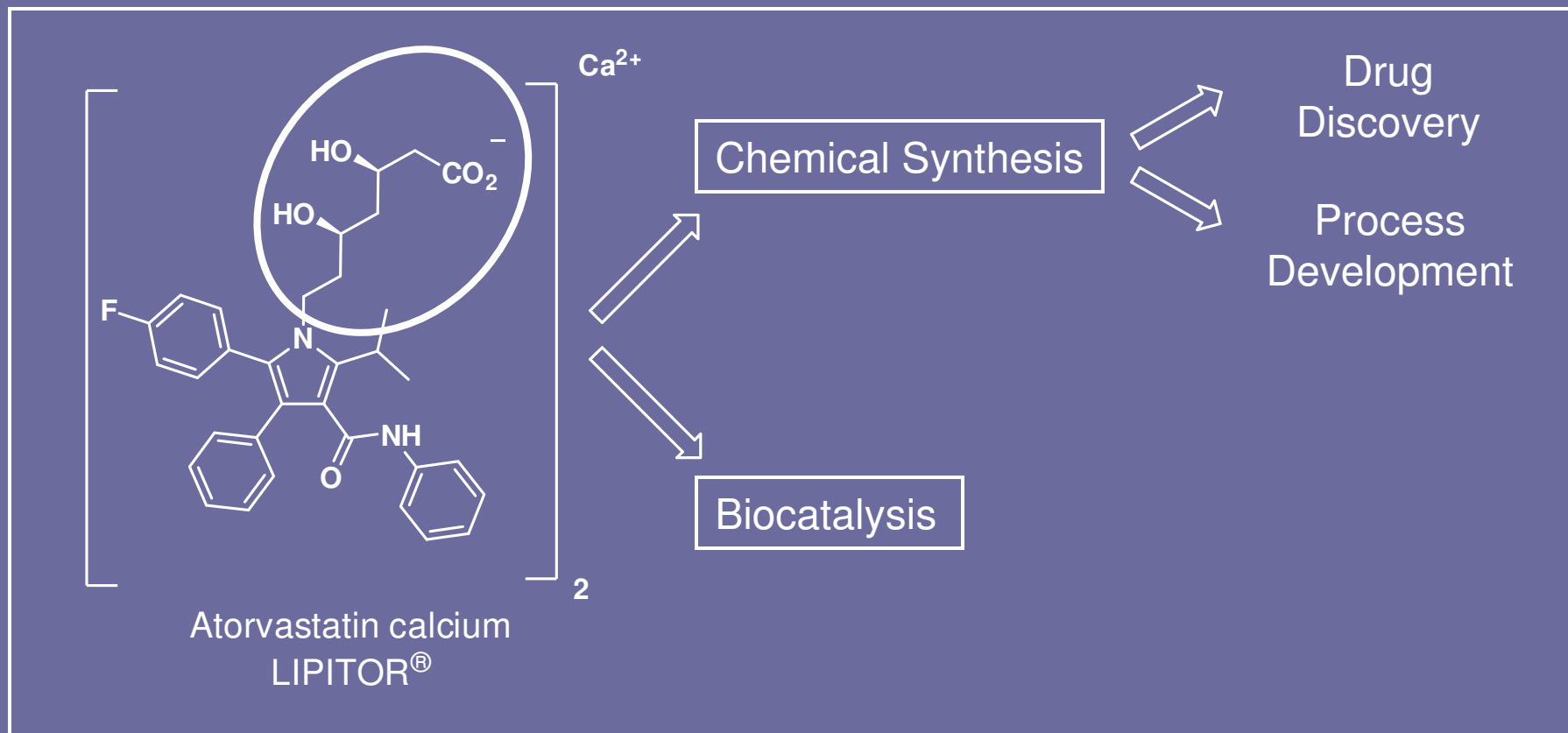
## Spring 1997 – Pfizer launches LIPITOR®!



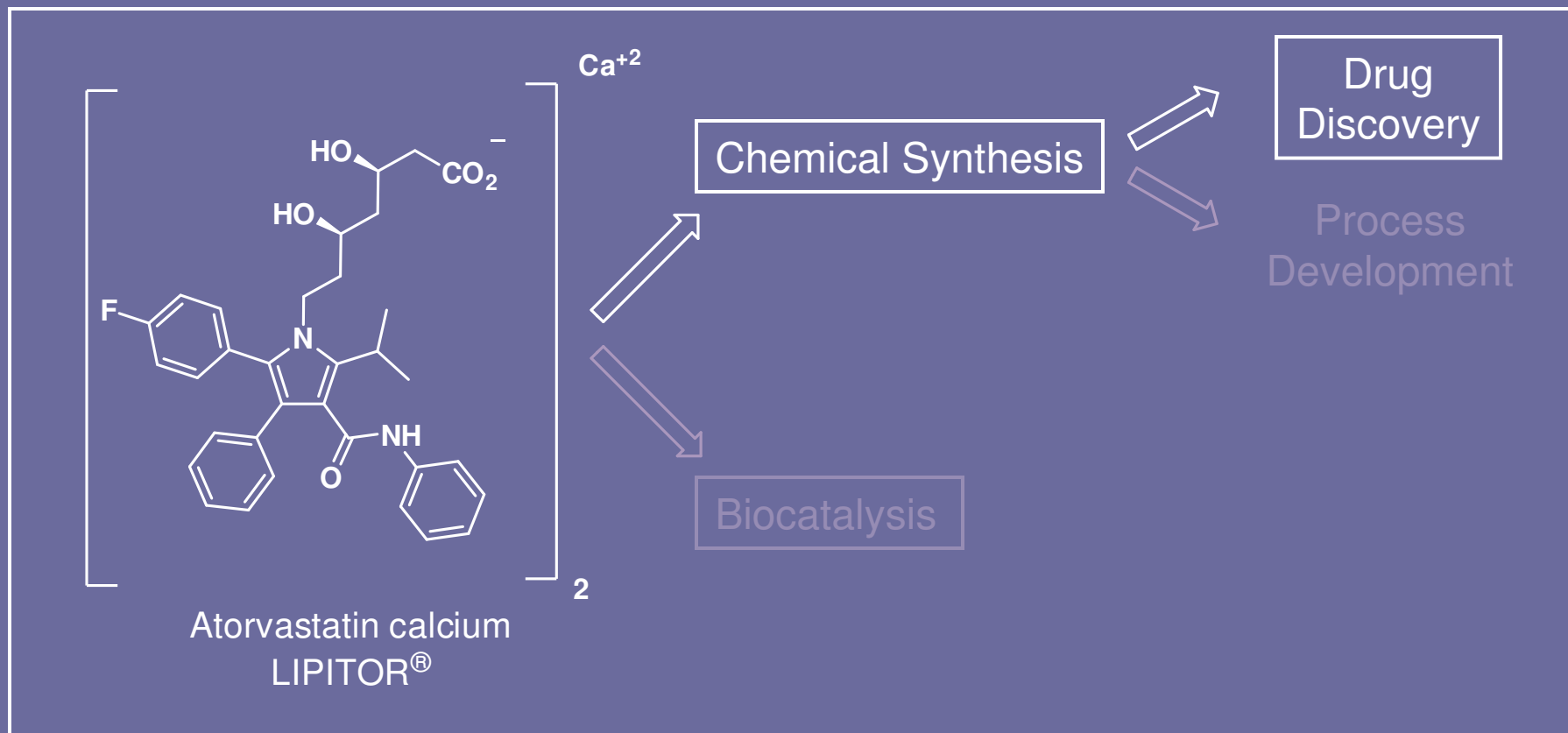
Atorvastatin calcium  
LIPITOR®

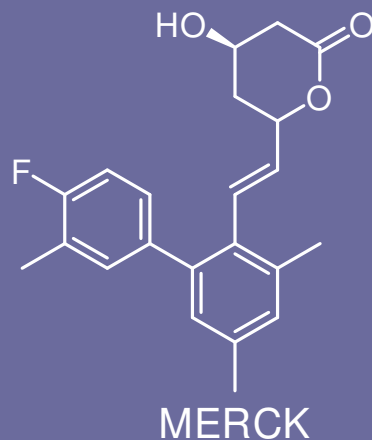
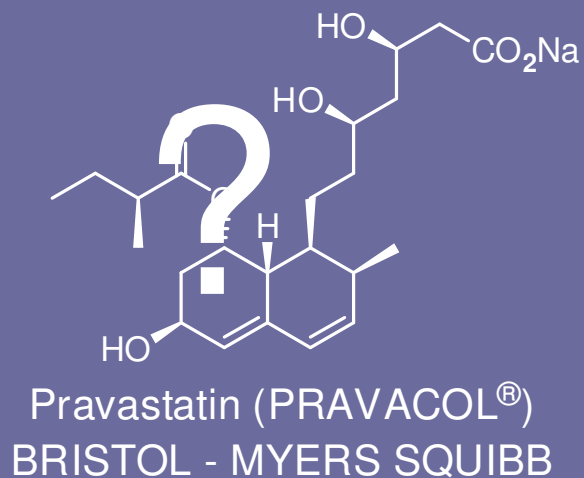
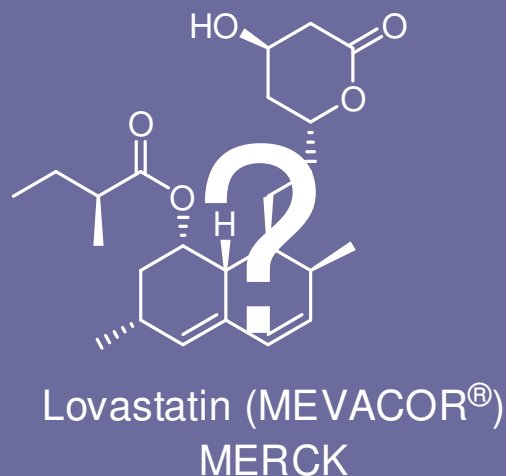
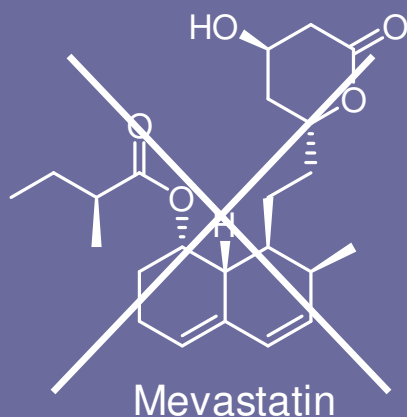
- At 20 mg, LIPITOR® lowered LDL by -46%.
- 2005 – \$12 billion sales, used by over 45 million people.

# The Story of LIPITOR<sup>®</sup> - *a Peek into the World of Pharmaceutical Process Chemistry*



# The Story of LIPITOR<sup>®</sup> - *a Peek into the World of Pharmaceutical Process Chemistry*





Willard, A. K.; Novello, F. C.; Hoffmann, W. F.; Cragoe, E.; E. J. Jr. *USP* 4459422, **1984**.

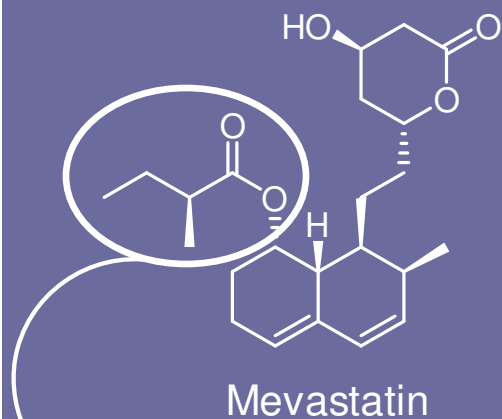
*Fortune*, **2003**, January 20.

Roth, B. D. *Prog. Med. Chem.* **2002**, *40*, 1-22.

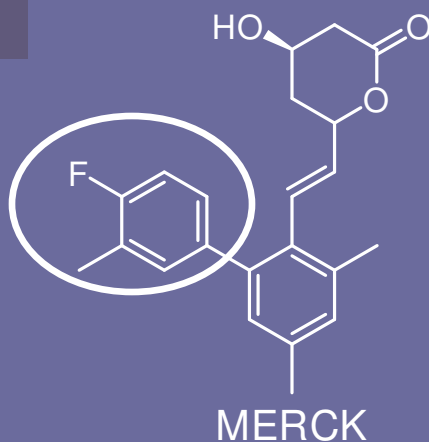
Roth, B. D. *et al. J. Med. Chem.* **1990**, *33*, 21-31.



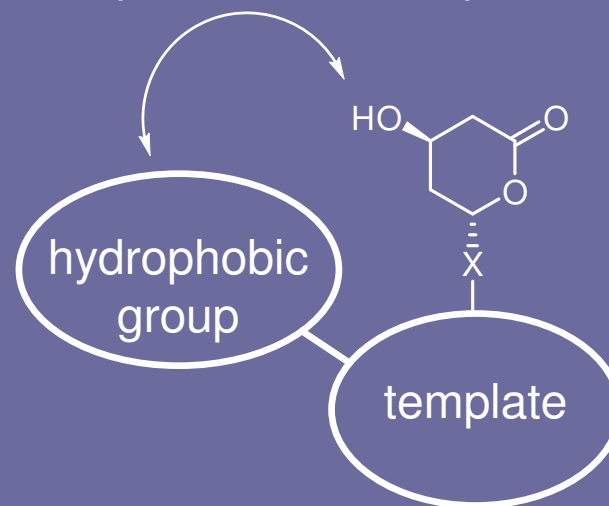
# The Decision of the Core Template



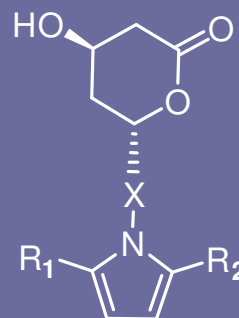
*Hydrolysis – 100-fold  
loss in potency*

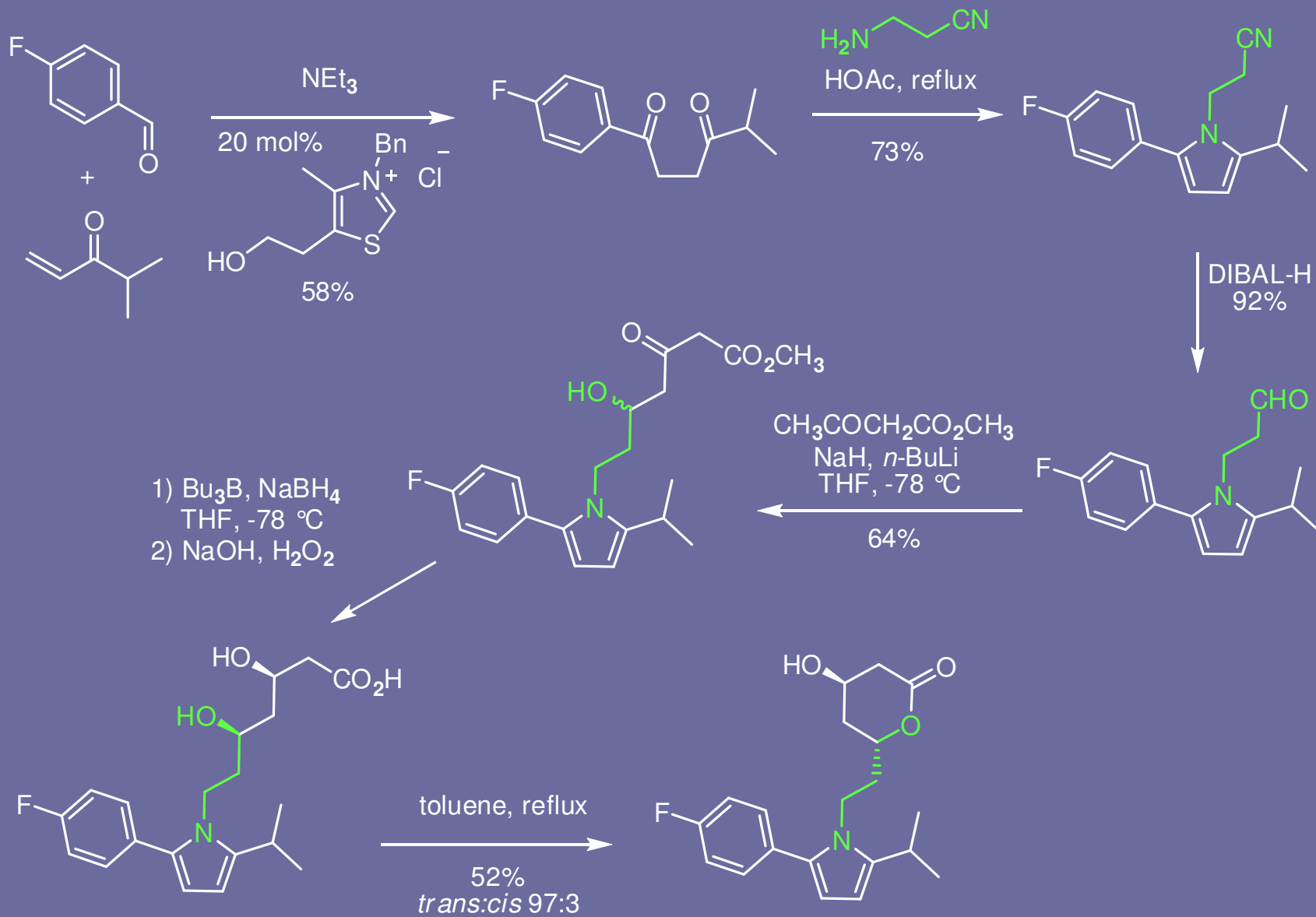


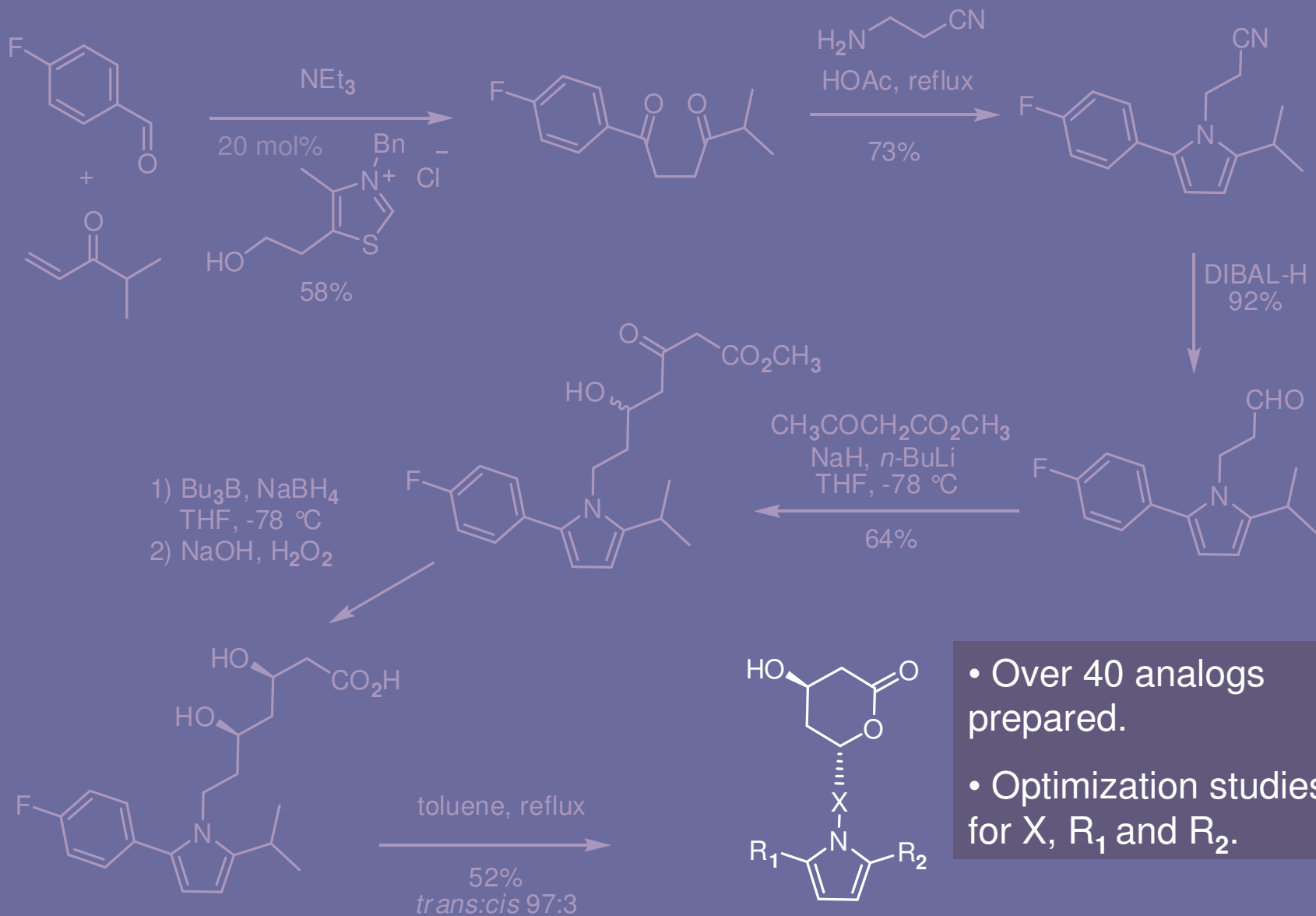
correct spatial relationship

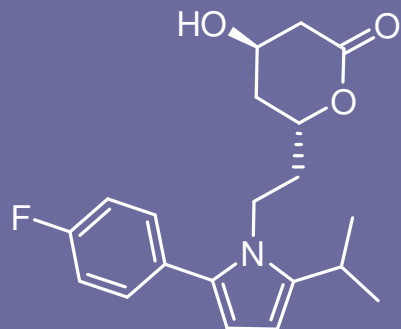


**A Potent HMGR  
Inhibitor**

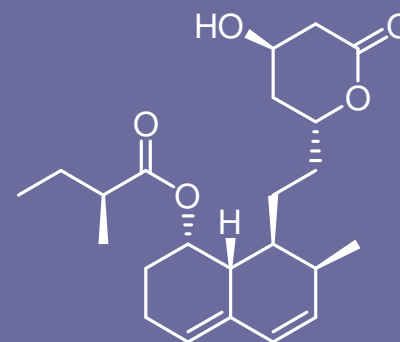






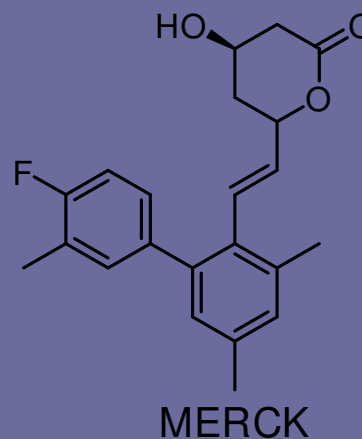
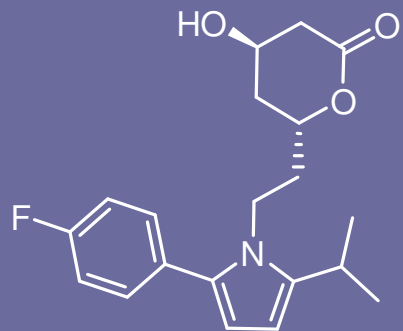


- $IC_{50}$  (analog): 0.40  $\mu\text{M}$ .
- Limit of current synthetic route.

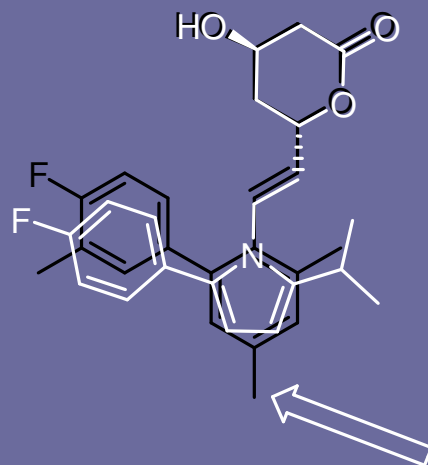


Mevastatin  
MERCK

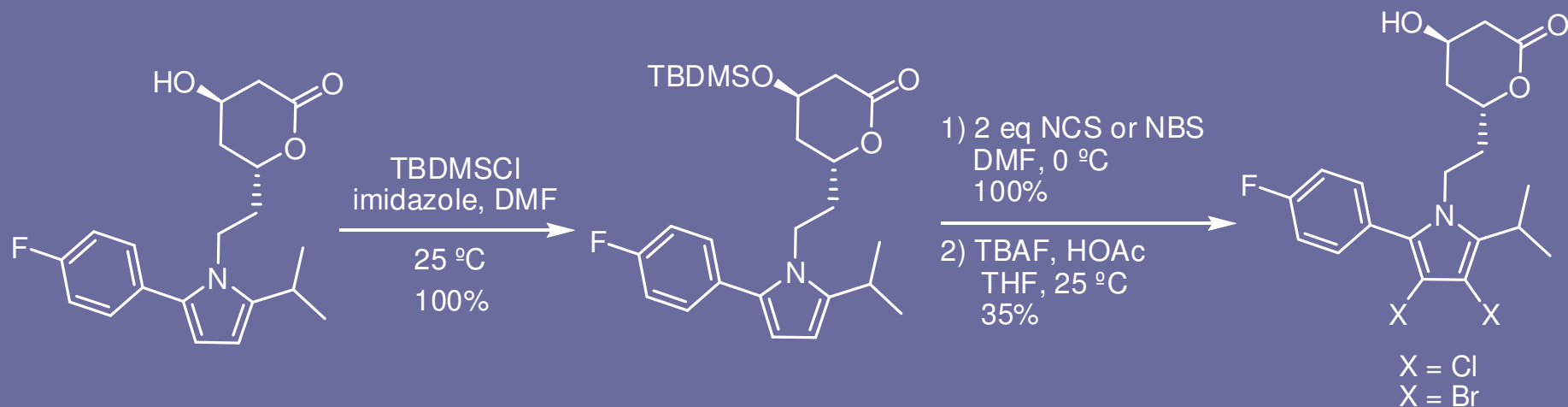
$IC_{50}$  – 0.030  $\mu\text{M}$ .



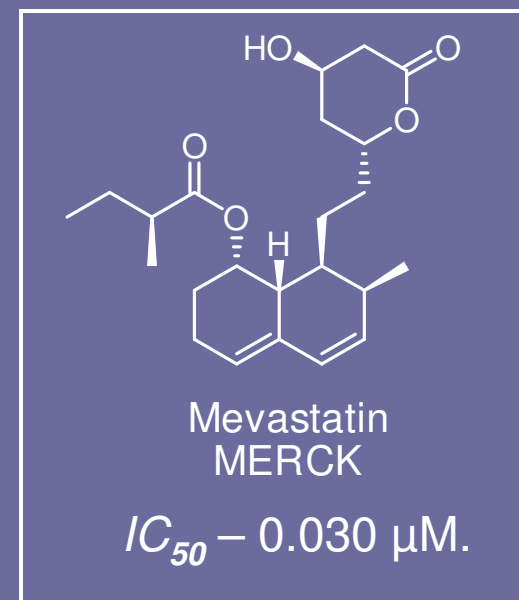
An overlay



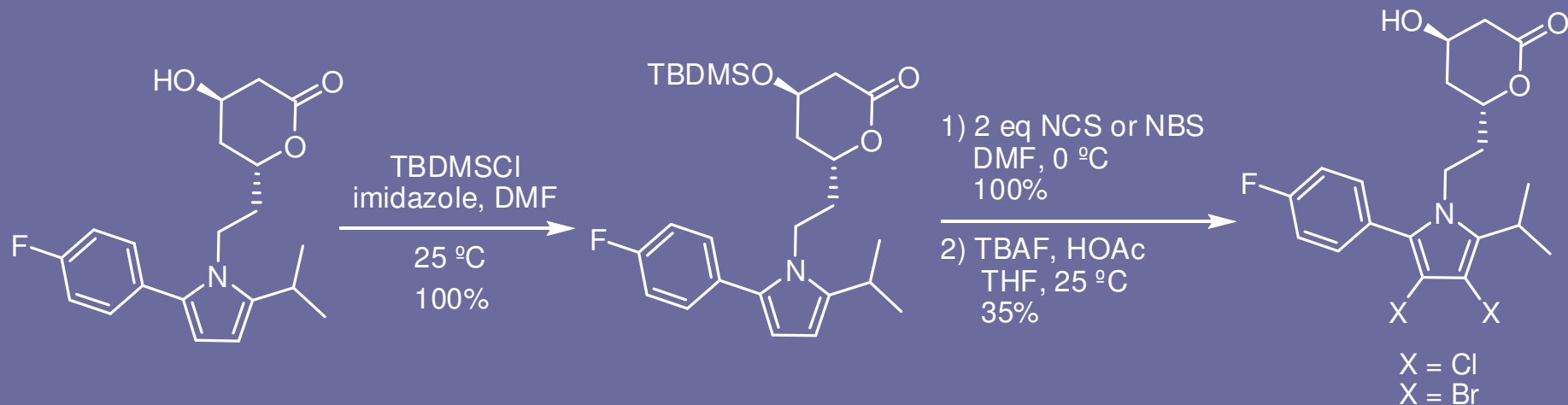
# Incorporation of Additional Functionality



X	$IC_{50}$ ( $\mu M$ )
H	0.23
Cl	0.028
Br	0.028

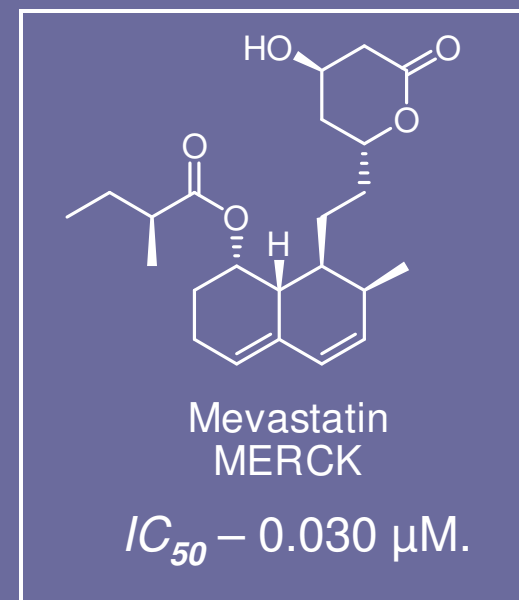


# Incorporation of Additional Functionality



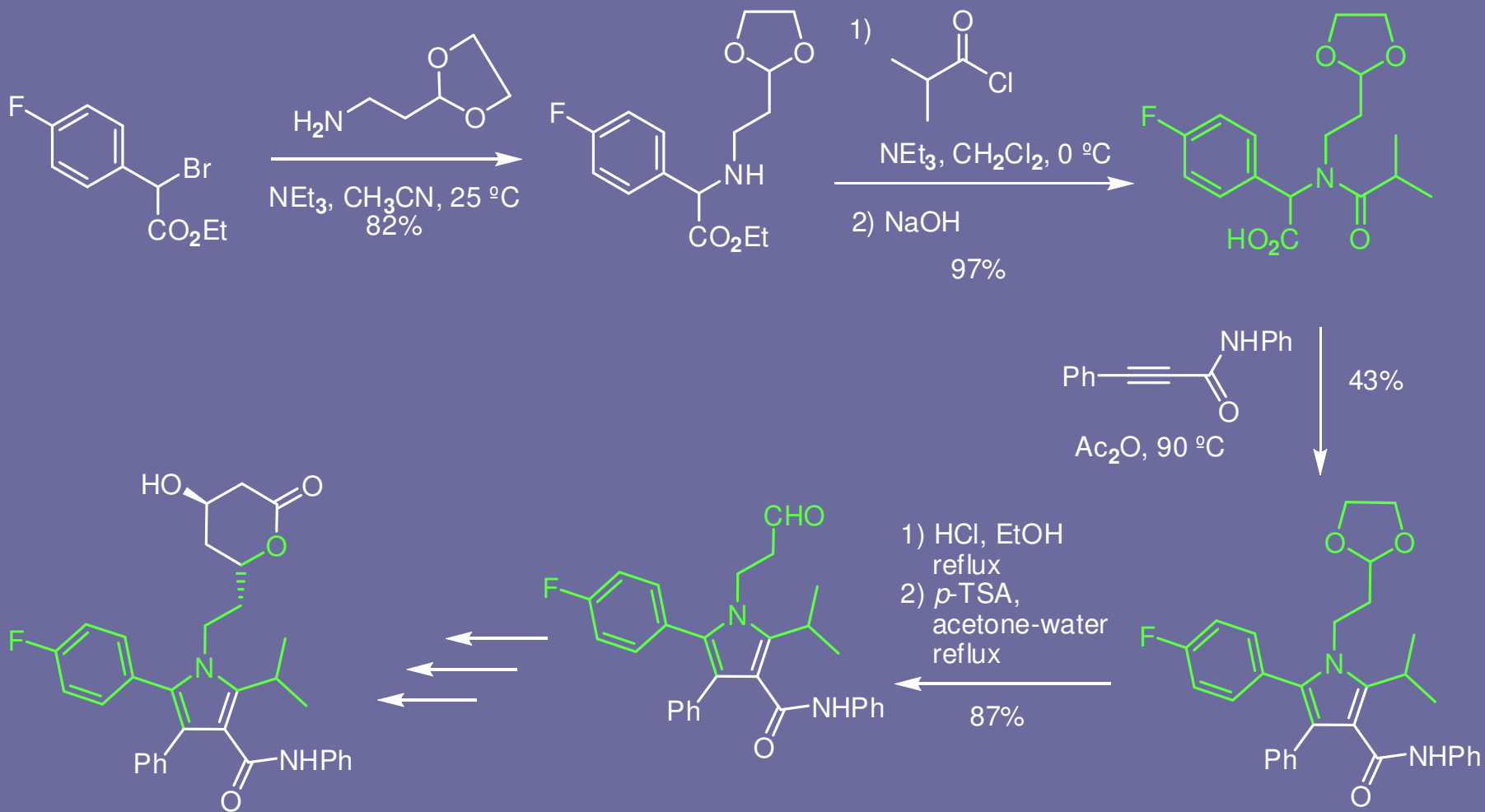
X	$IC_{50}$ ( $\mu M$ )
H	0.23
Cl	0.028
Br	0.028

Toxicity in early preclinical  
development!

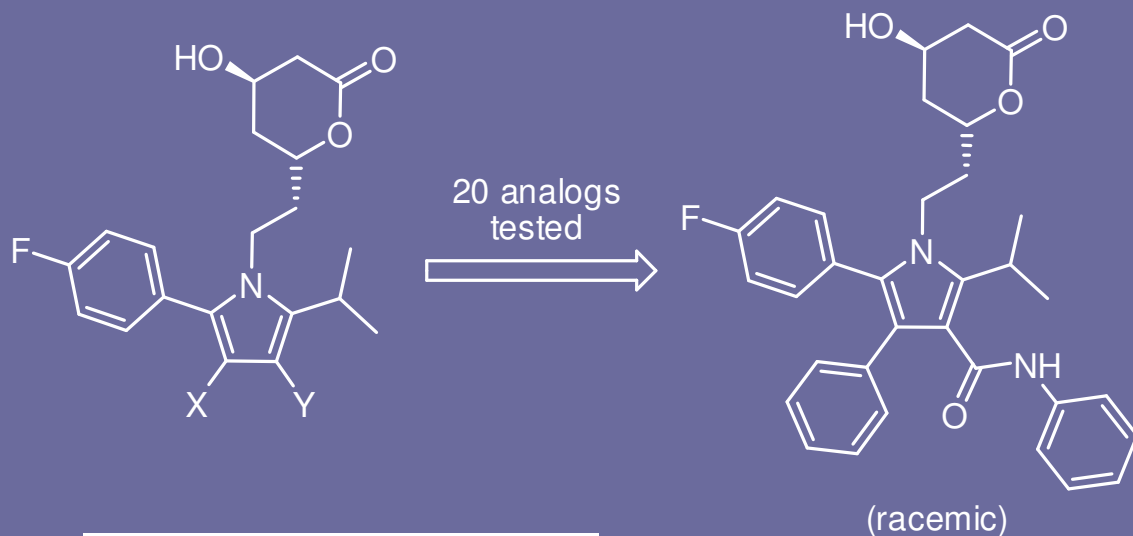




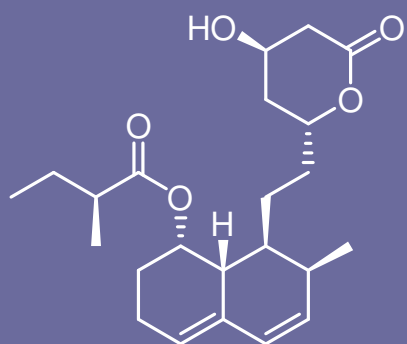
# Penta-substituted Pyrroles via [3+2]



# Penta-substituted Pyrroles via [3+2]



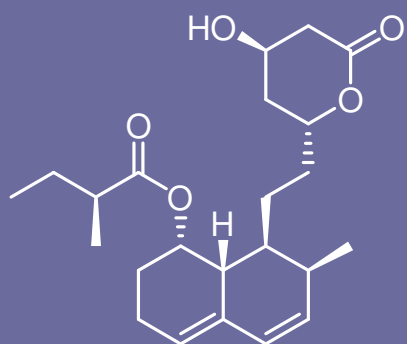
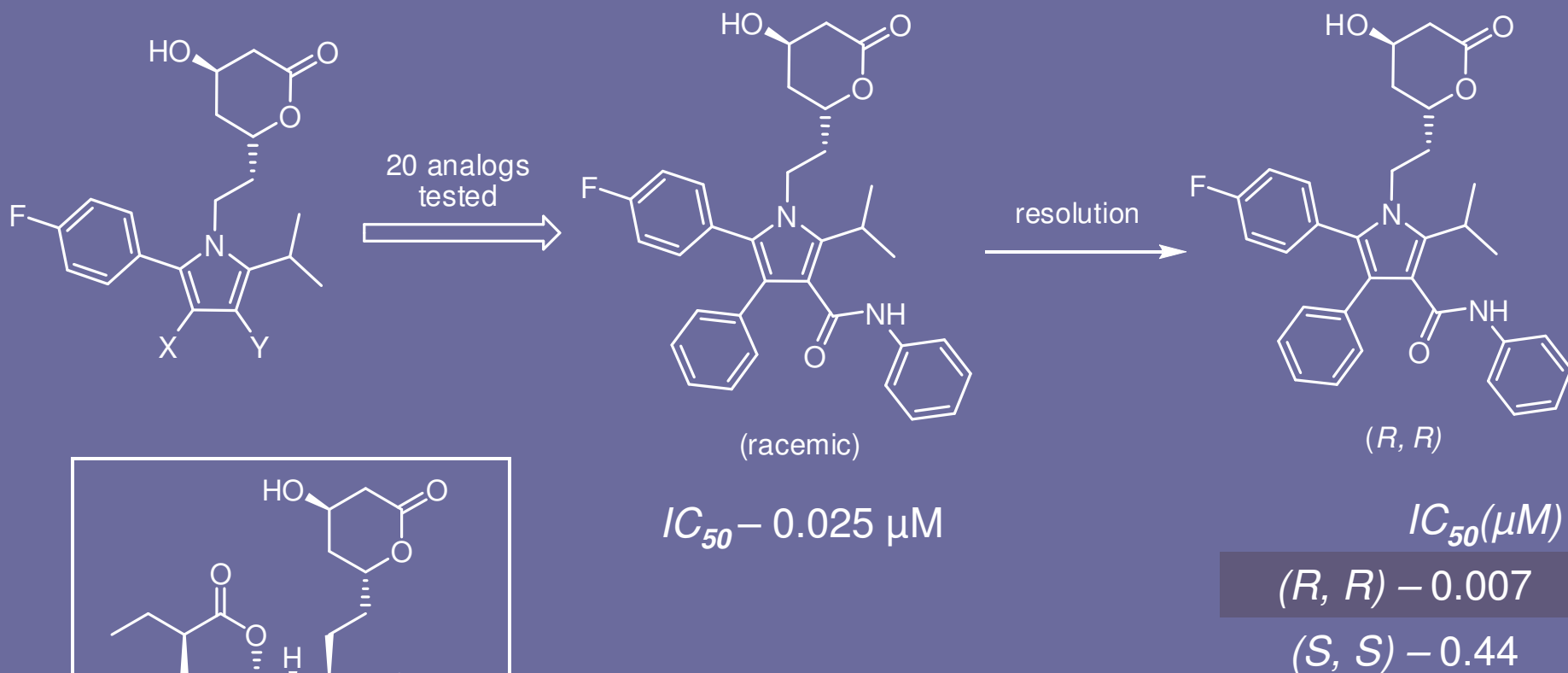
$IC_{50} - 0.025 \mu\text{M}$



Mevastatin  
MERCK

$IC_{50} - 0.030 \mu\text{M}$ .

# Penta-substituted Pyrroles via [3+2]

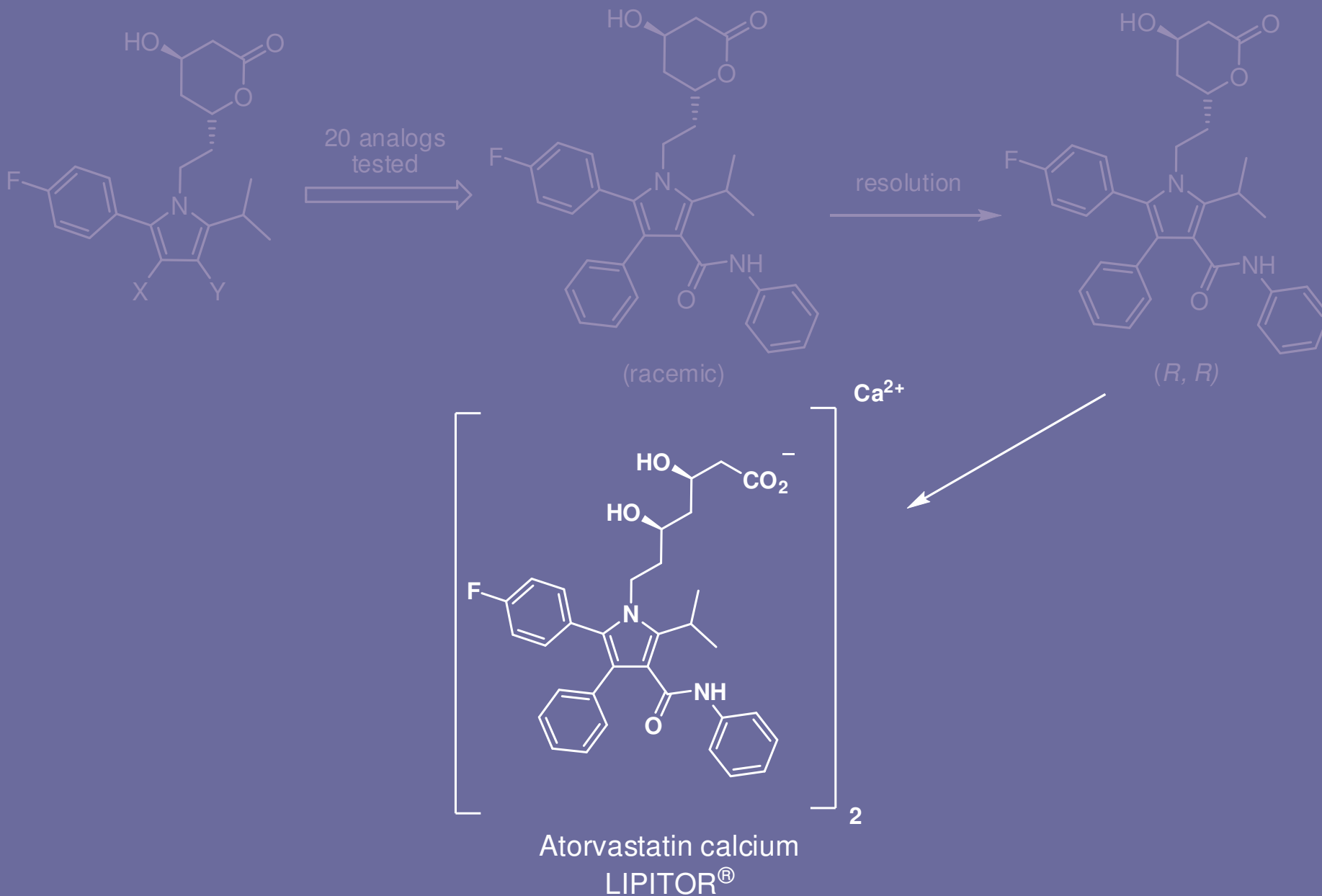


Mevastatin  
MERCK

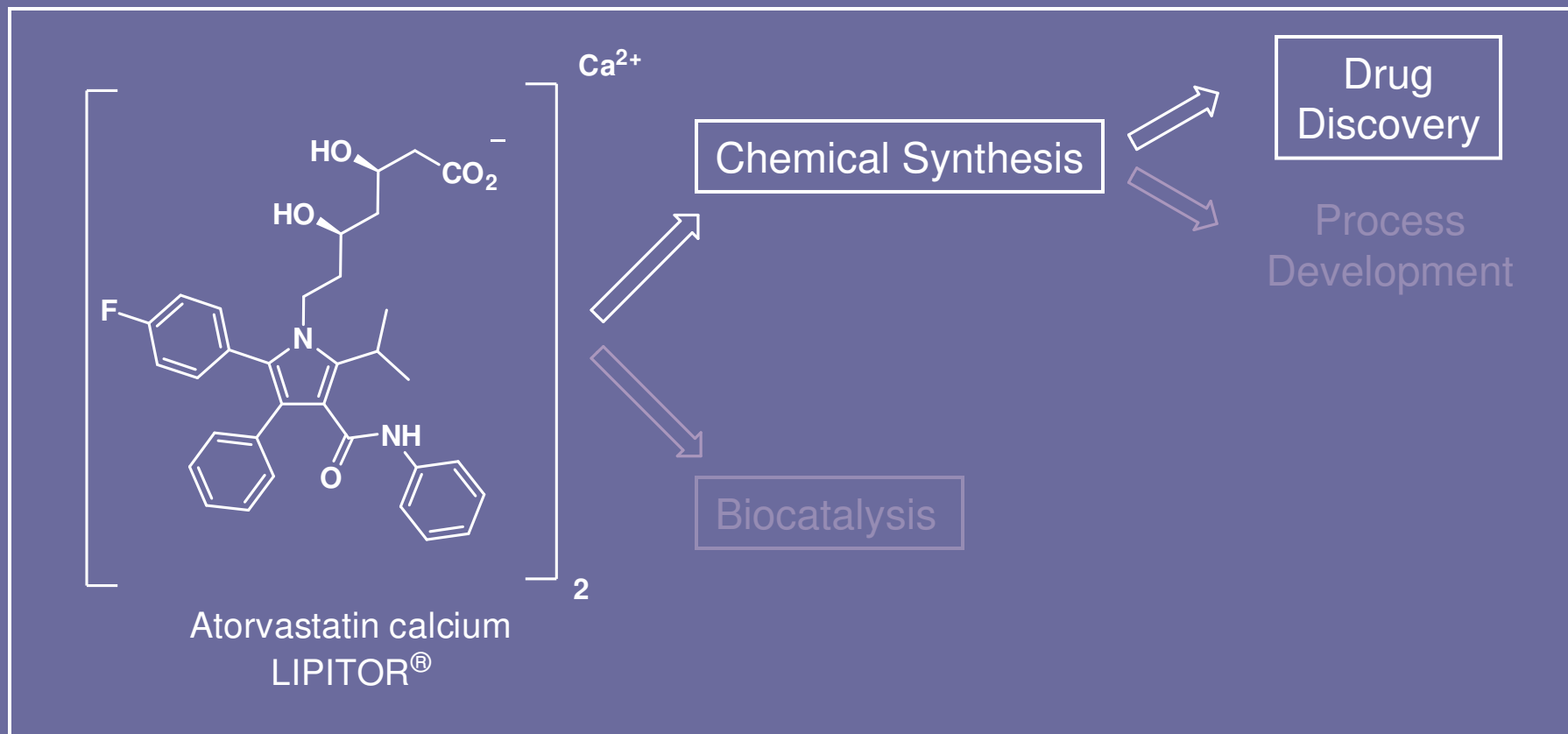
$IC_{50} - 0.030 \mu\text{M}$ .

## The Drug Discovery

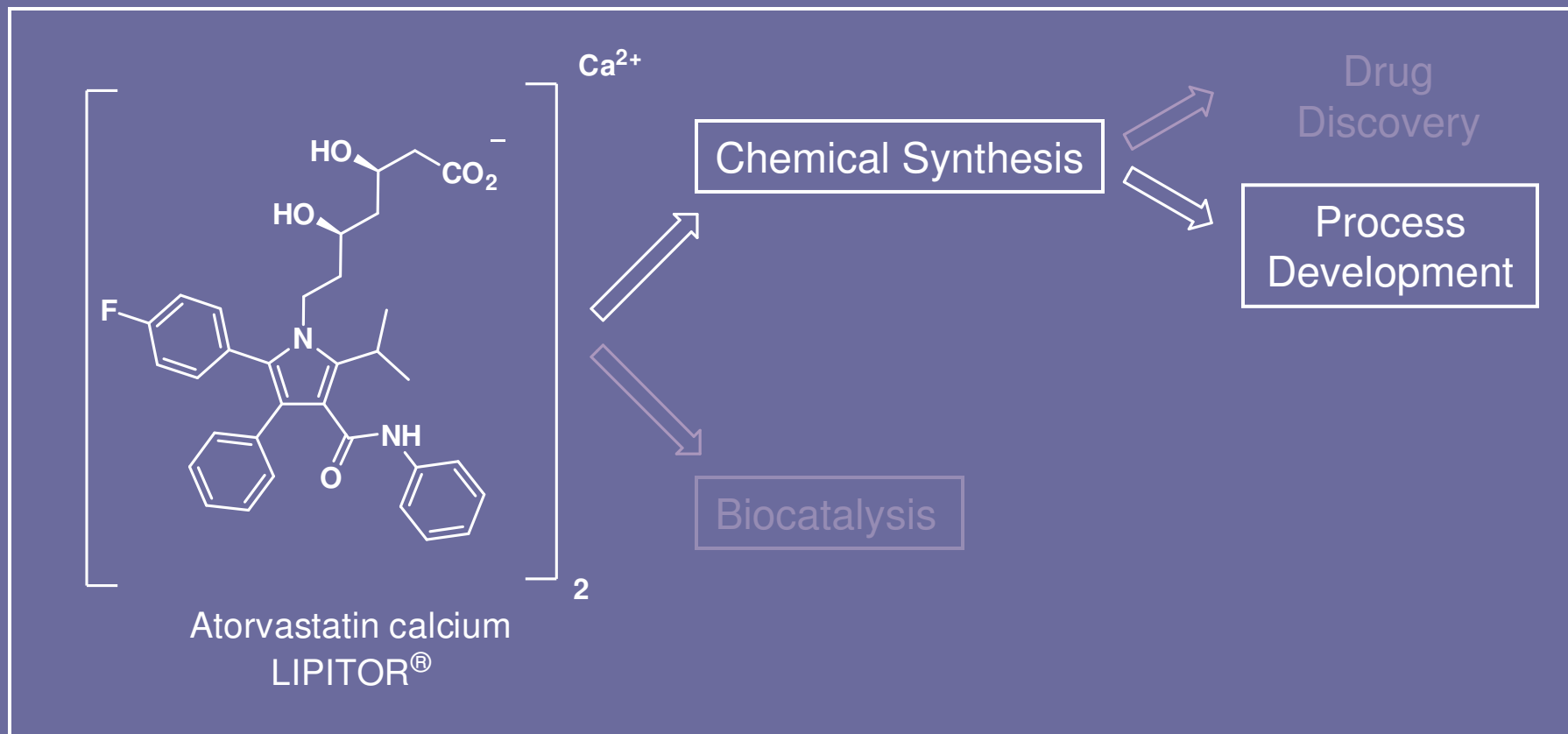
# The Birth of LIPITOR®!



# The Story of LIPITOR<sup>®</sup> - *a Peek into the World of Pharmaceutical Process Chemistry*



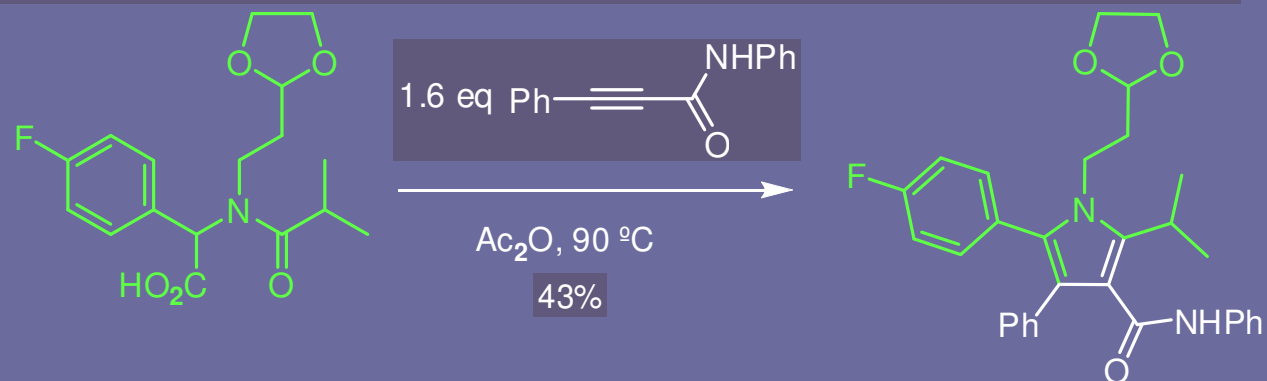
# The Story of LIPITOR<sup>®</sup> - *a Peek into the World of Pharmaceutical Process Chemistry*



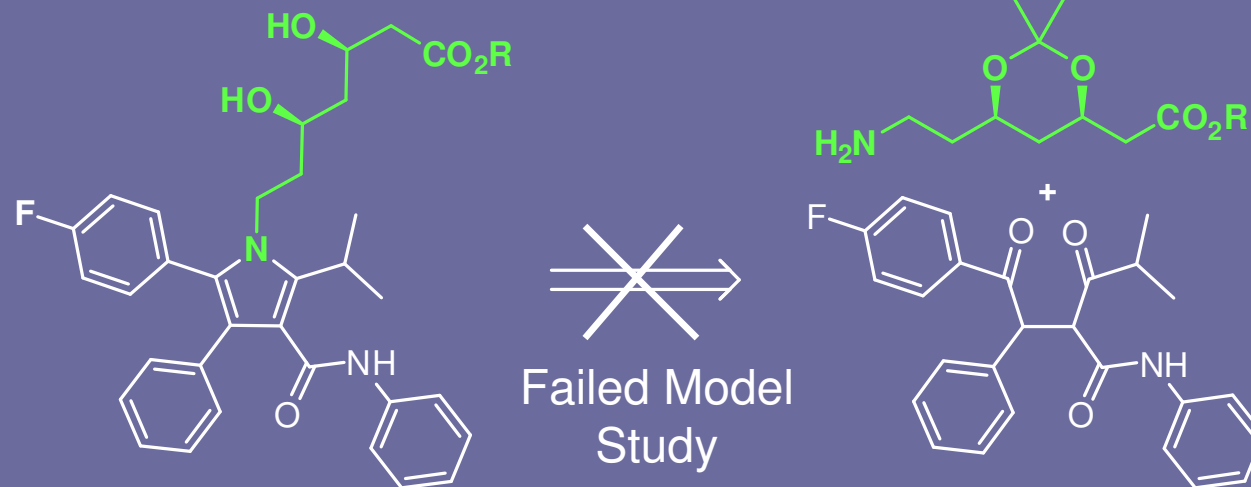
## The Process Development

# Scale-up Issues and Potential Solutions

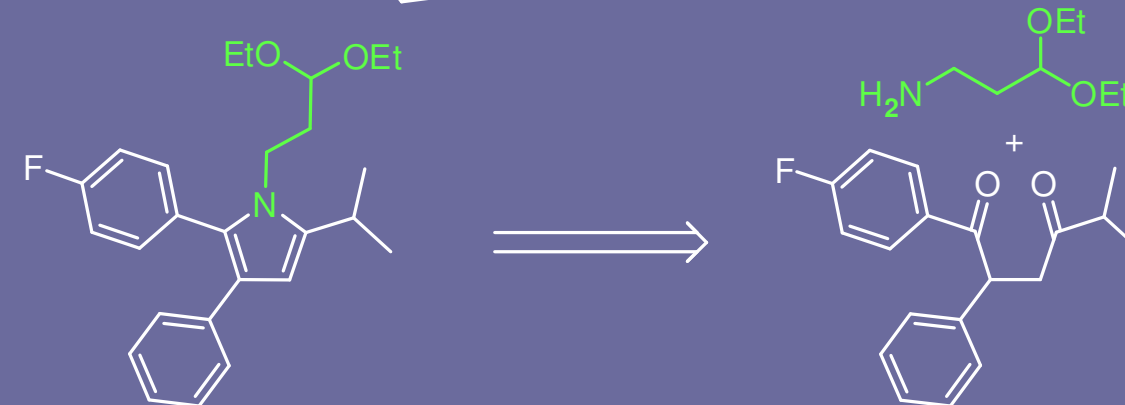
### [3+2] Cycloaddition Route



### Paal-Knorr Route: Penta-substituted Pyrroles

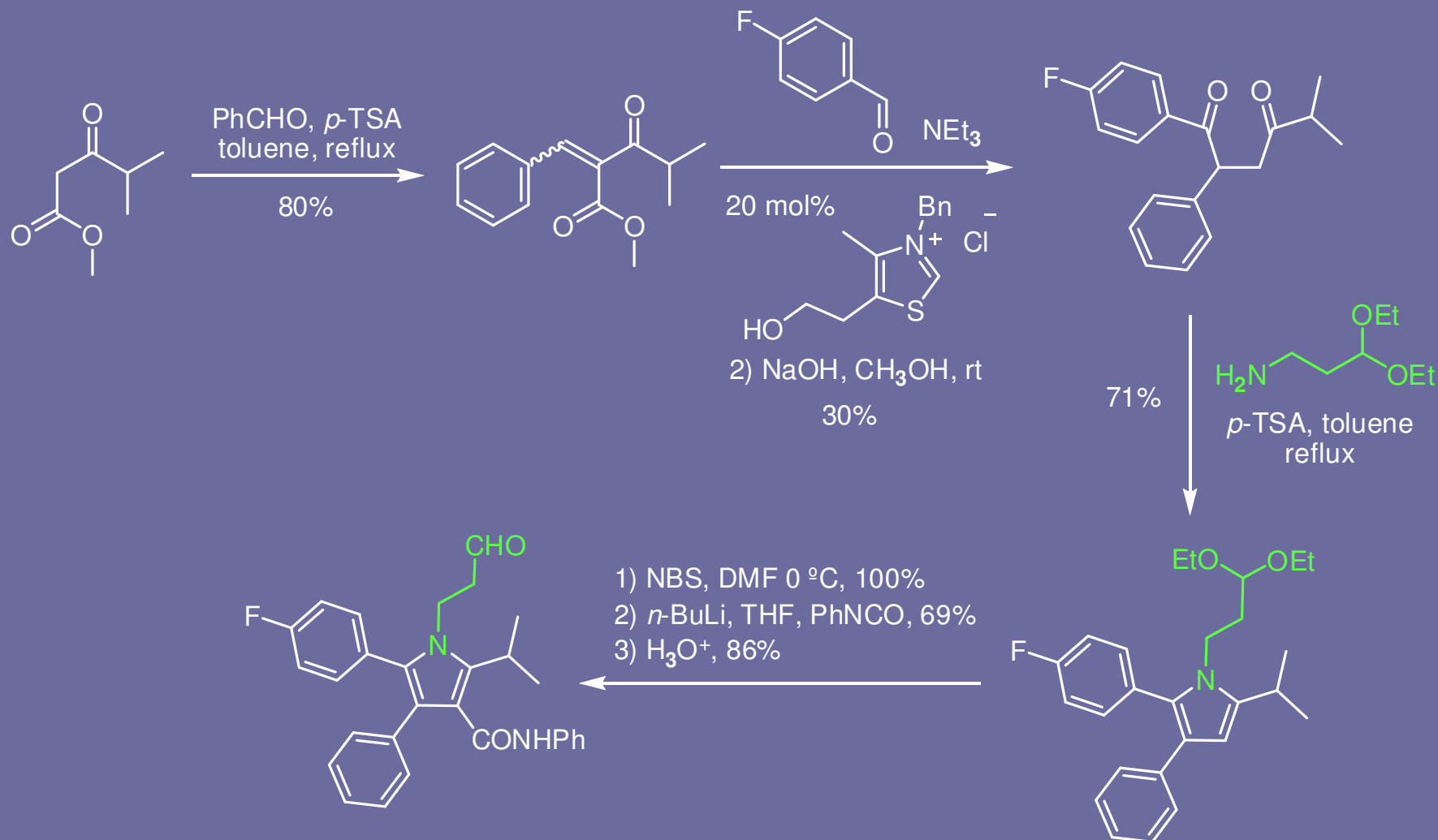


### Paal-Knorr Route: Tetra-substituted Pyrroles



## The Process Development

# From Tetra- to Penta-substituted Pyrroles



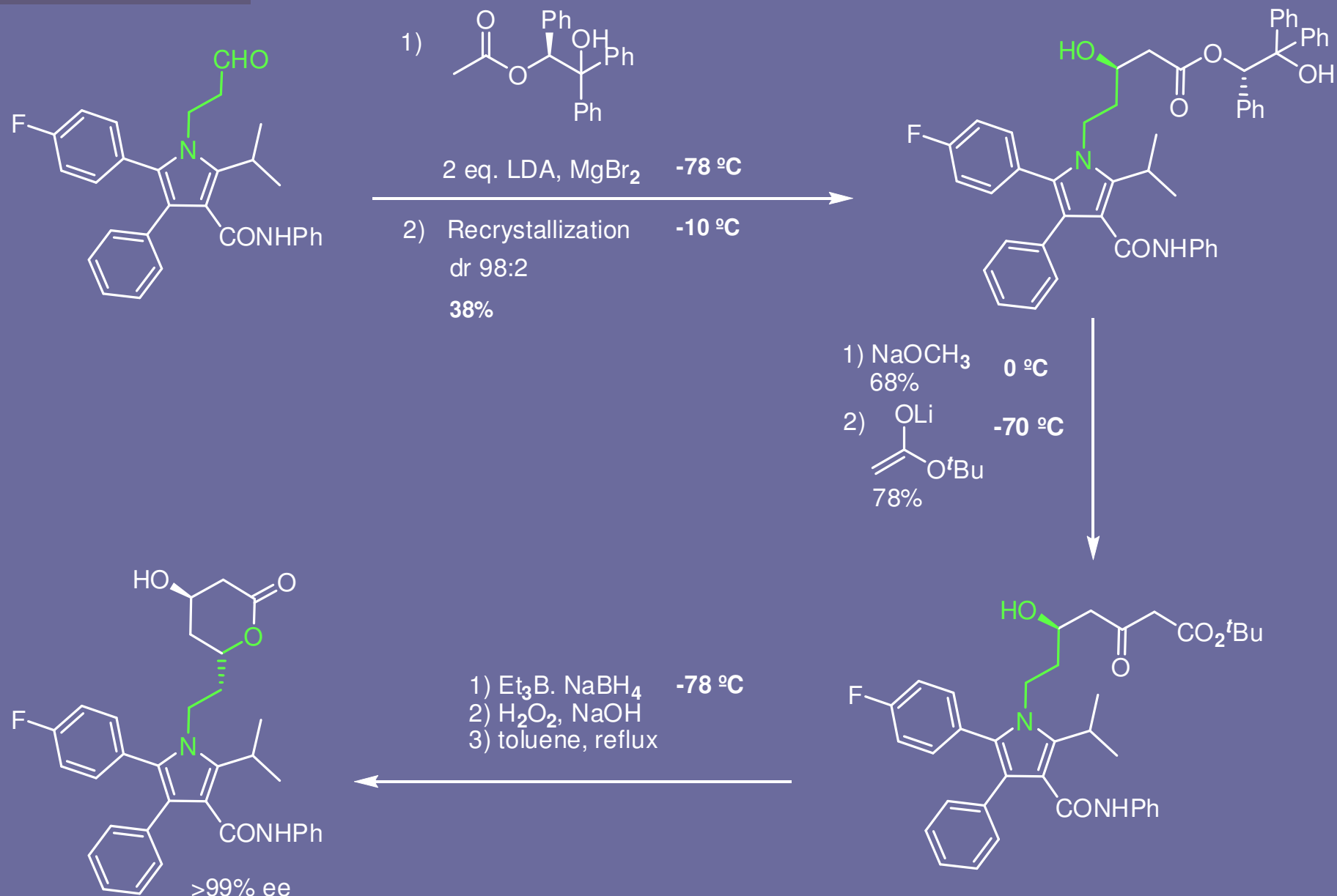
Roth, B. D. *et al.* *J. Med. Chem.* **1991**, *34*, 357-366.

Roth, B. D. *Prog. Med. Chem.* **2002**, *40*, 1-22.



## The Process Development

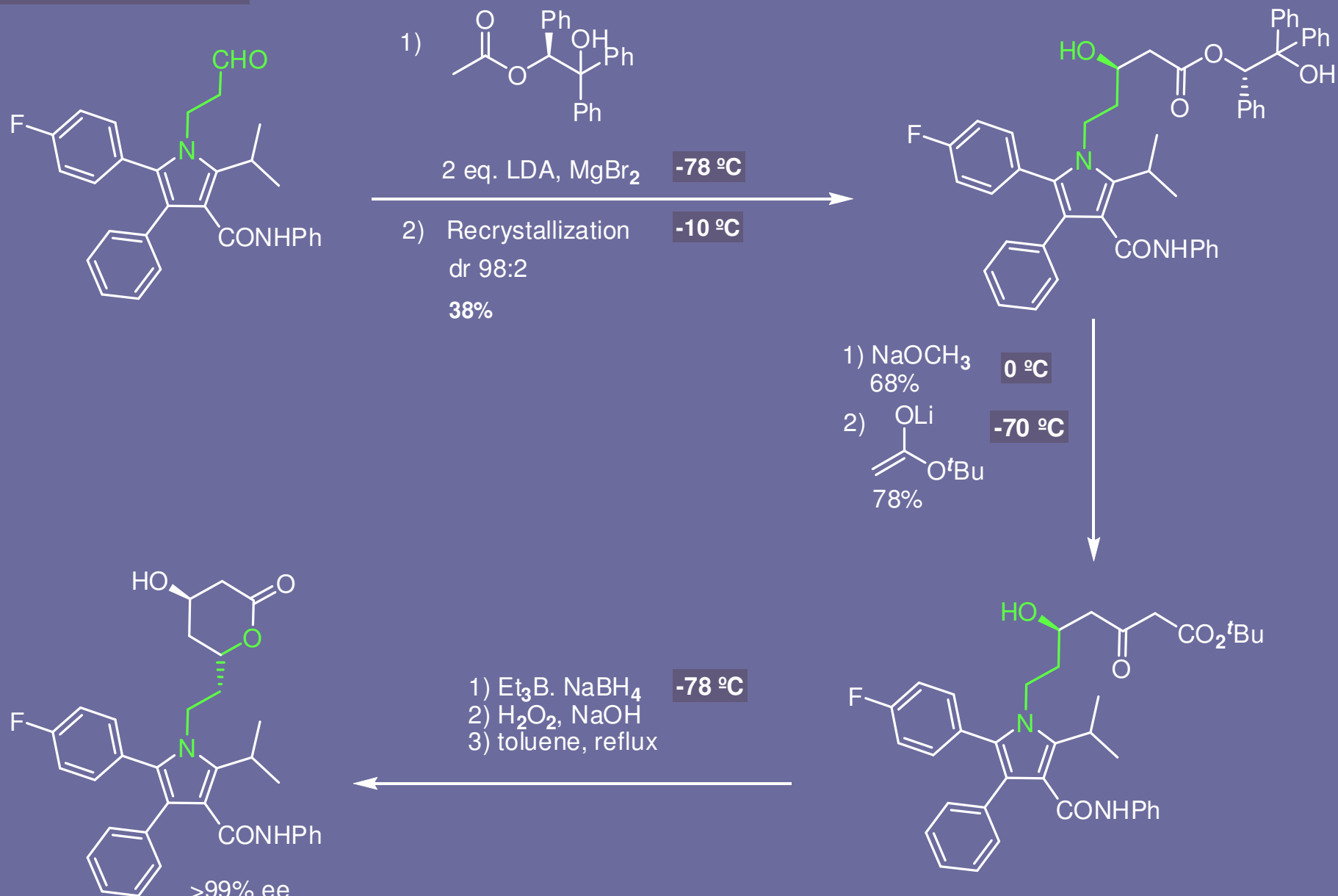
# From Tetra- to Penta-substituted Pyrroles



Roth, B. D. *et al.* *J. Med. Chem.* **1991**, *34*, 357-366.

## The Process Development

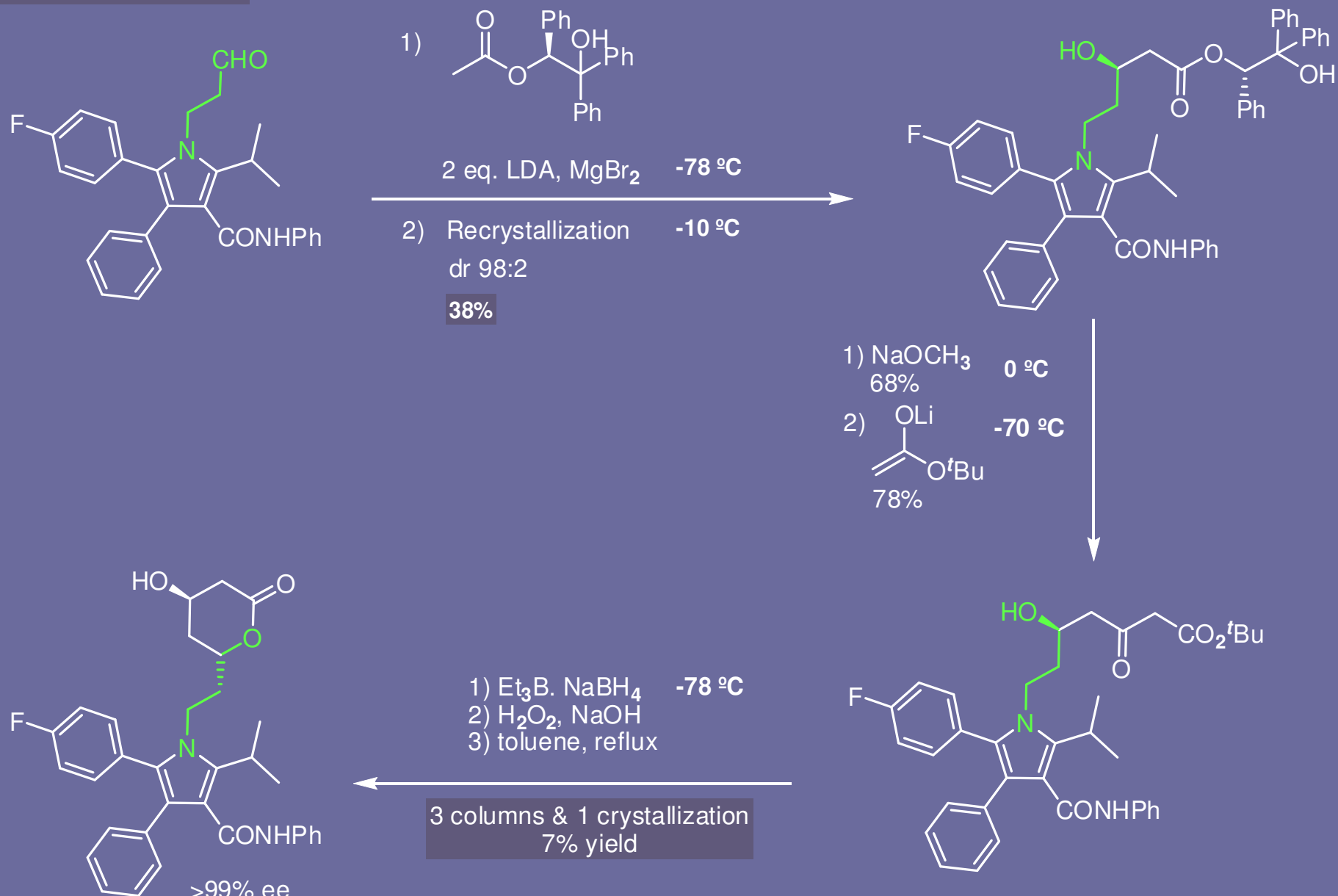
# From Tetra- to Penta-substituted Pyrroles



Roth, B. D. *et al.* *J. Med. Chem.* **1991**, *34*, 357-366.

## The Process Development

# From Tetra- to Penta-substituted Pyrroles

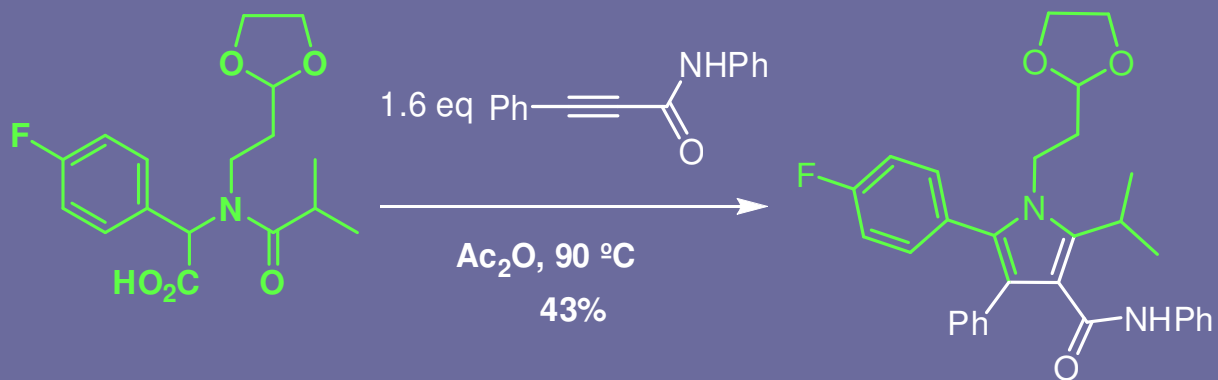


Roth, B. D. *et al. J. Med. Chem.* **1991**, *34*, 357-366.

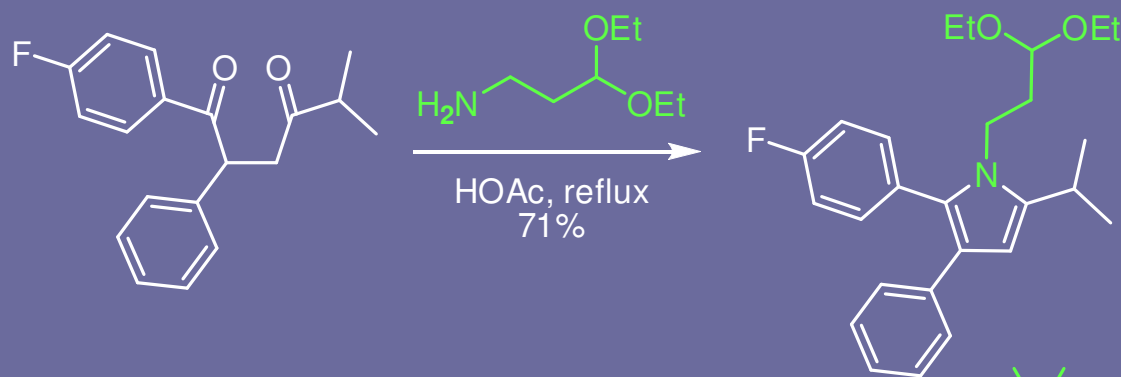
## The Process Development

# A Recap – The Failures

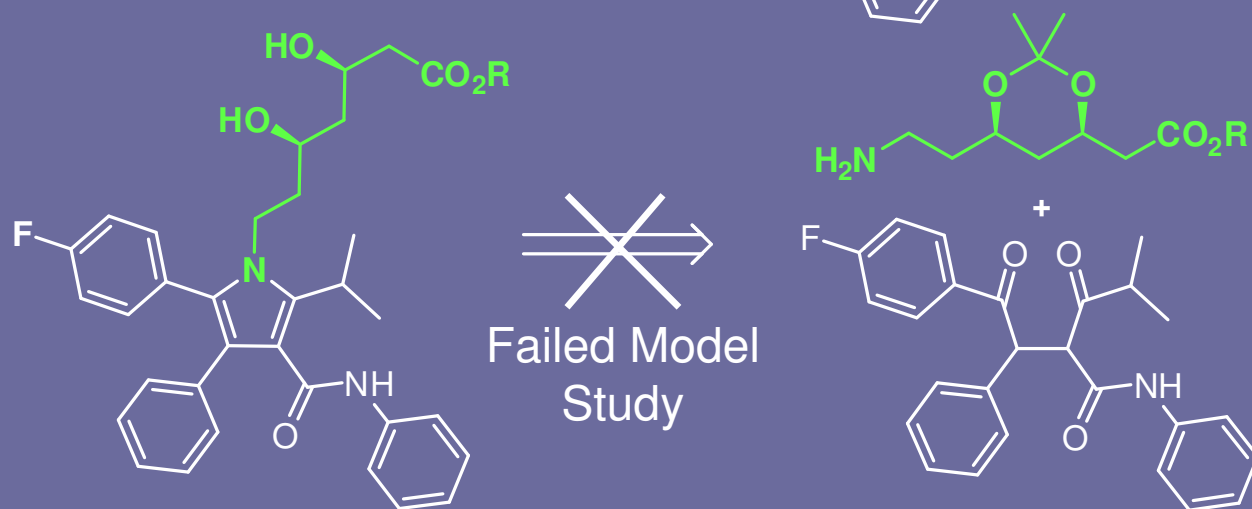
### [3+2] Cycloaddition Route



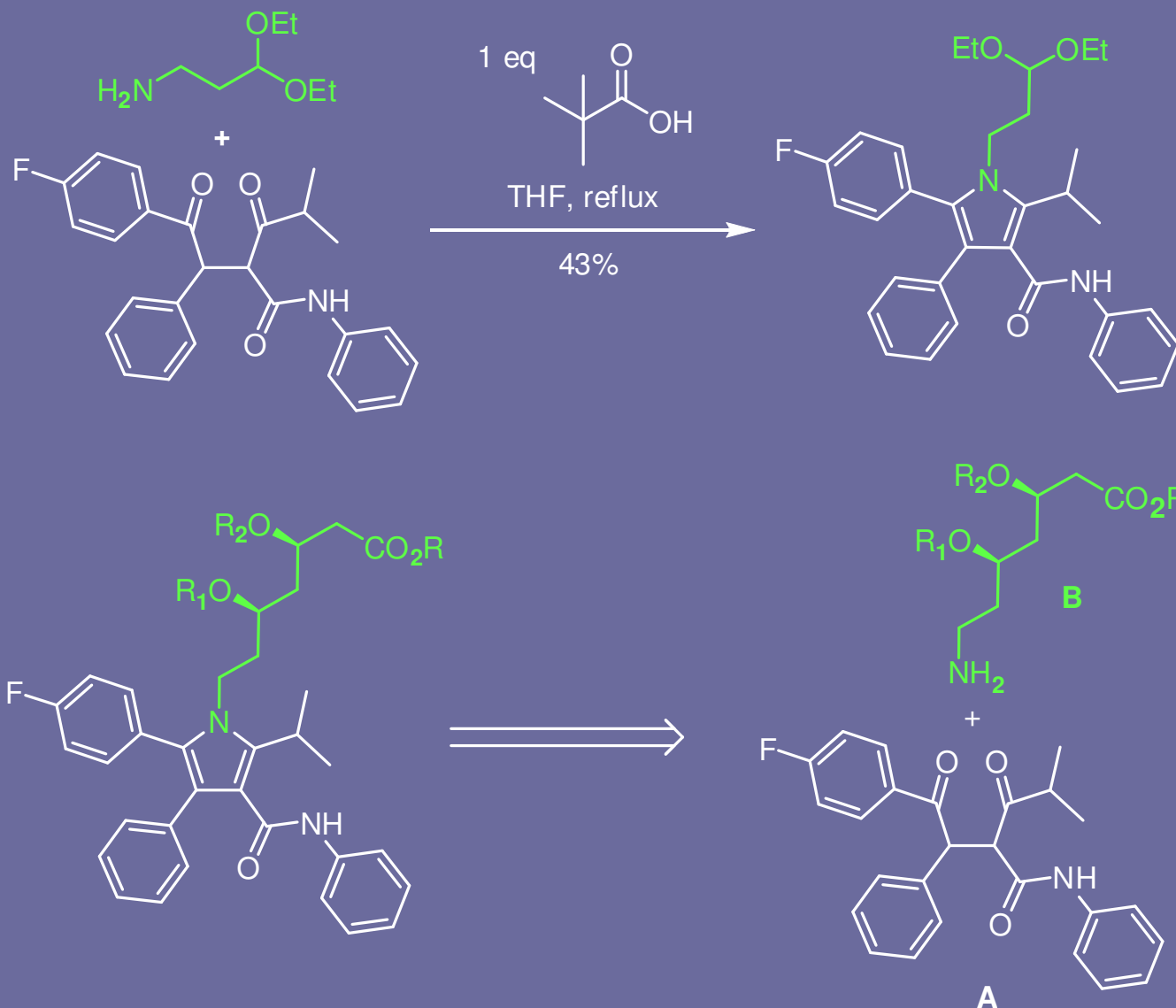
### Paal Knorr Route: Tetra-substituted Pyrroles



### Paal Knorr Route: Penta-substituted Pyrroles

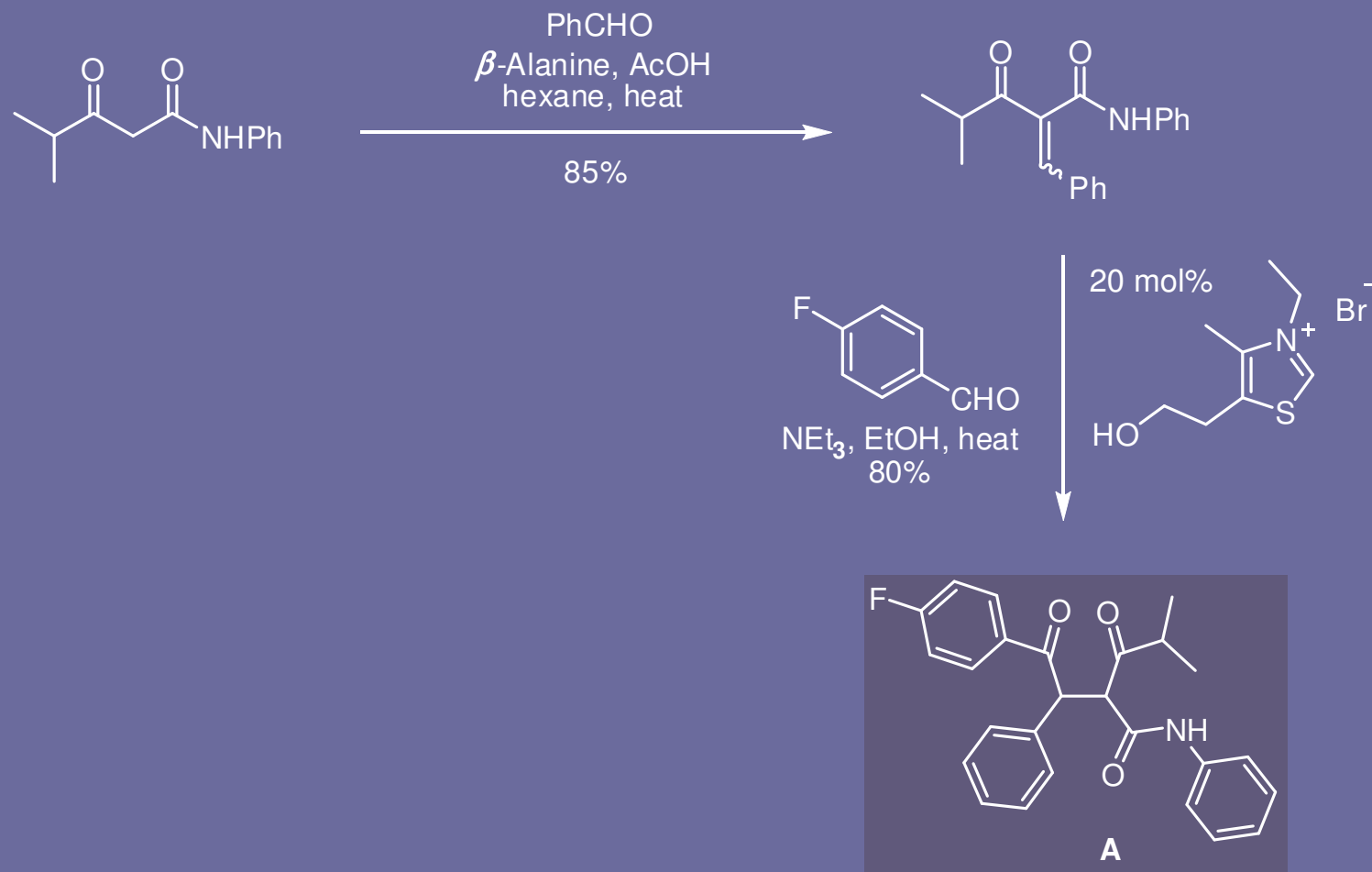


# Paal Knorr Route: Penta-substituted Pyrroles



## The Process Development

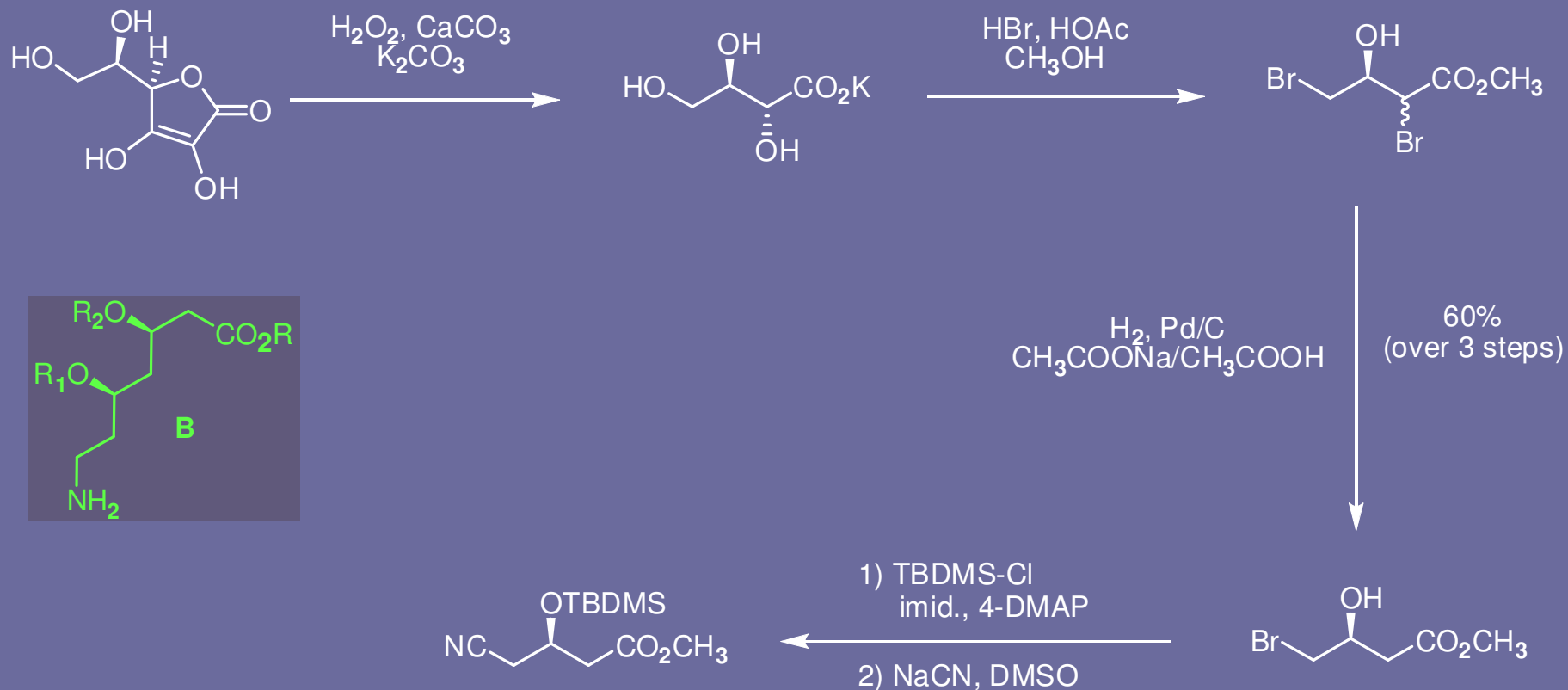
# Pfizer's Commercial Route: Fragment A



Dr. Bruce D. Roth (VP, Global Research & Development, Pfizer), personal communication.  
Baumann, K. L. *et al. Tetrahedron Lett.* **1992**, *33*, 2283-2284.

## The Process Development

# Pfizer's Commercial Route: Fragment **B**

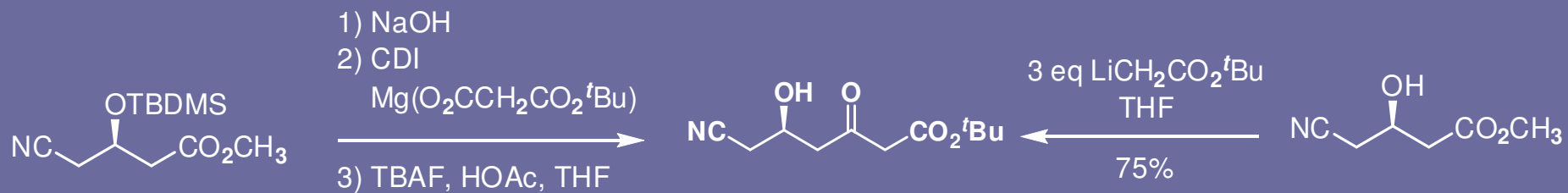


Browser, P. L. *et al. Tetrahedron Lett.* **1992**, 33, 2279-2282.

Roth, B. D. *Prog. Med. Chem.* **2002**, 40, 1-22.

## The Process Development

## Pfizer's Commercial Route: Fragment **B**

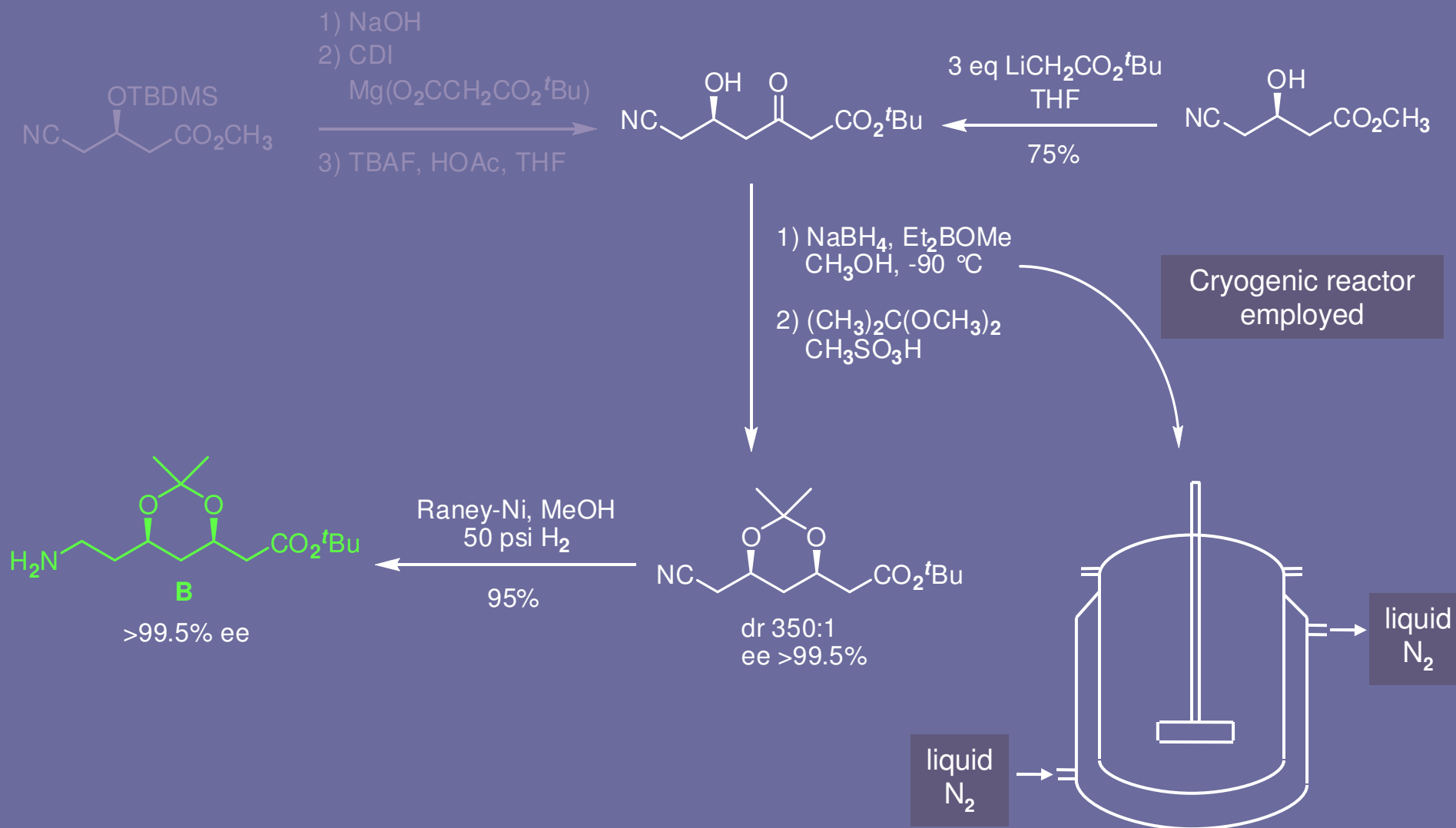


Dr. Donald E. Butler (Former Process Development Leader, Pfizer), personal communication.  
Browser, P. L. *et al. Tetrahedron Lett.* **1992**, *33*, 2279-2282.



## The Process Development

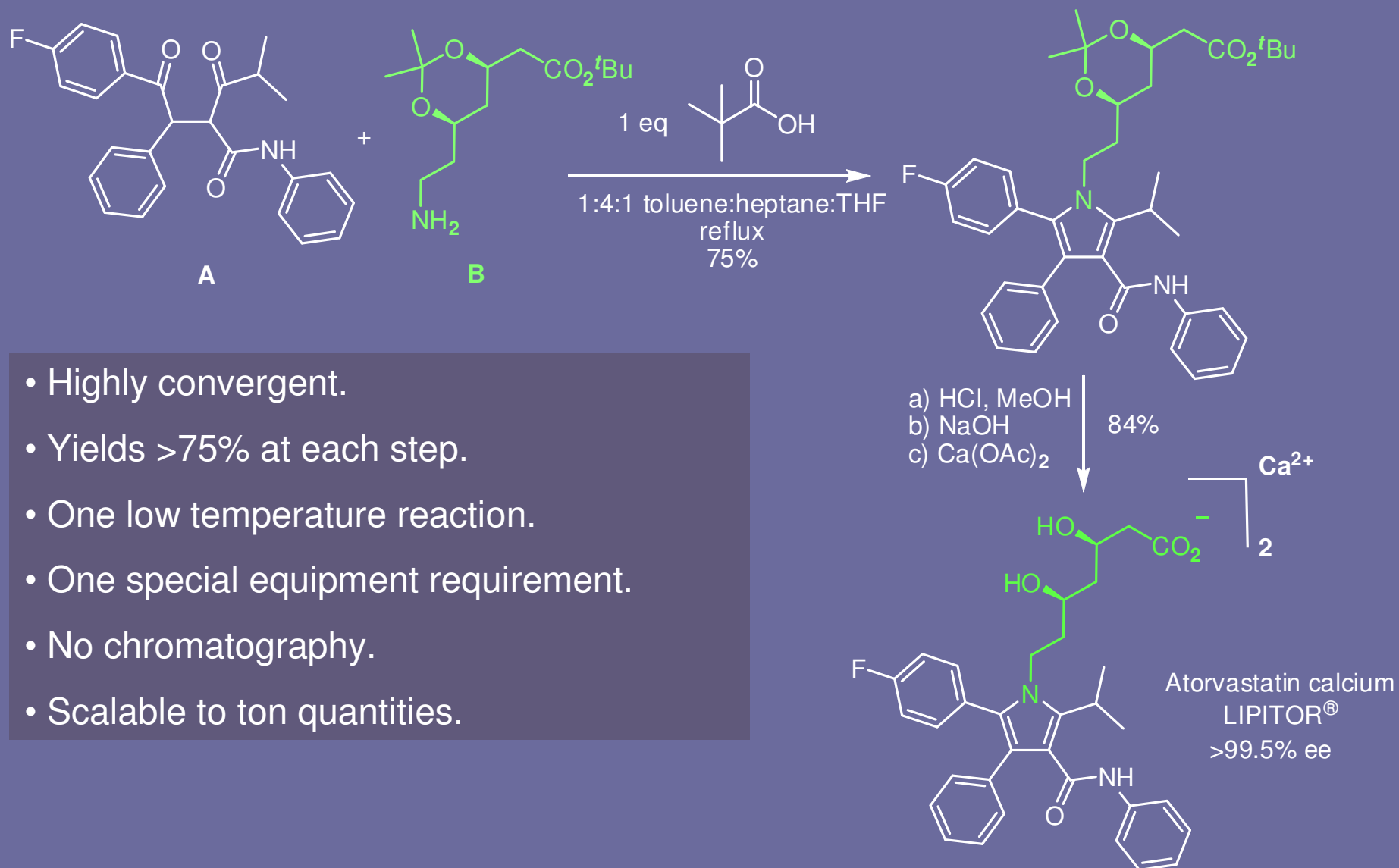
# Pfizer's Commercial Route: Fragment **B**



Dr. Donald E. Butler (Former Process Development Leader, Pfizer), personal communication.  
Browser, P. L. *et al. Tetrahedron Lett.* **1992**, *33*, 2279-2282.

## The Process Development

## Pfizer's Commercial Route



Baumann, K. L. *et al. Tetrahedron Lett.* **1992**, *33*, 2283-2284.

Dr. Donald E. Butler (Former Process Development Leader, Pfizer), personal communication.

## LIPITOR®: Drug tackled, *the struggles remained...*

*"The number of factors, internal and external, that had to come together for the drug to be a success really boggles the mind"* – Bruce D. Roth

### The problems:

- By 1987, three statins in market.
- A decade since the first statin introduced.
- Warner-Lambert was floundering.
- *Come on, how good could it be?*
- On the verge of terminating LIPITOR®.

### And the facts now:

- #1 statin in the market.
- Top selling drug in history.
- 2005: \$12 billion sales & used by >45 million people.
- *"LIPITOR® is on track to have greater benefit for more people than any other drug in the history of the industry in terms of lives improved and saved."*

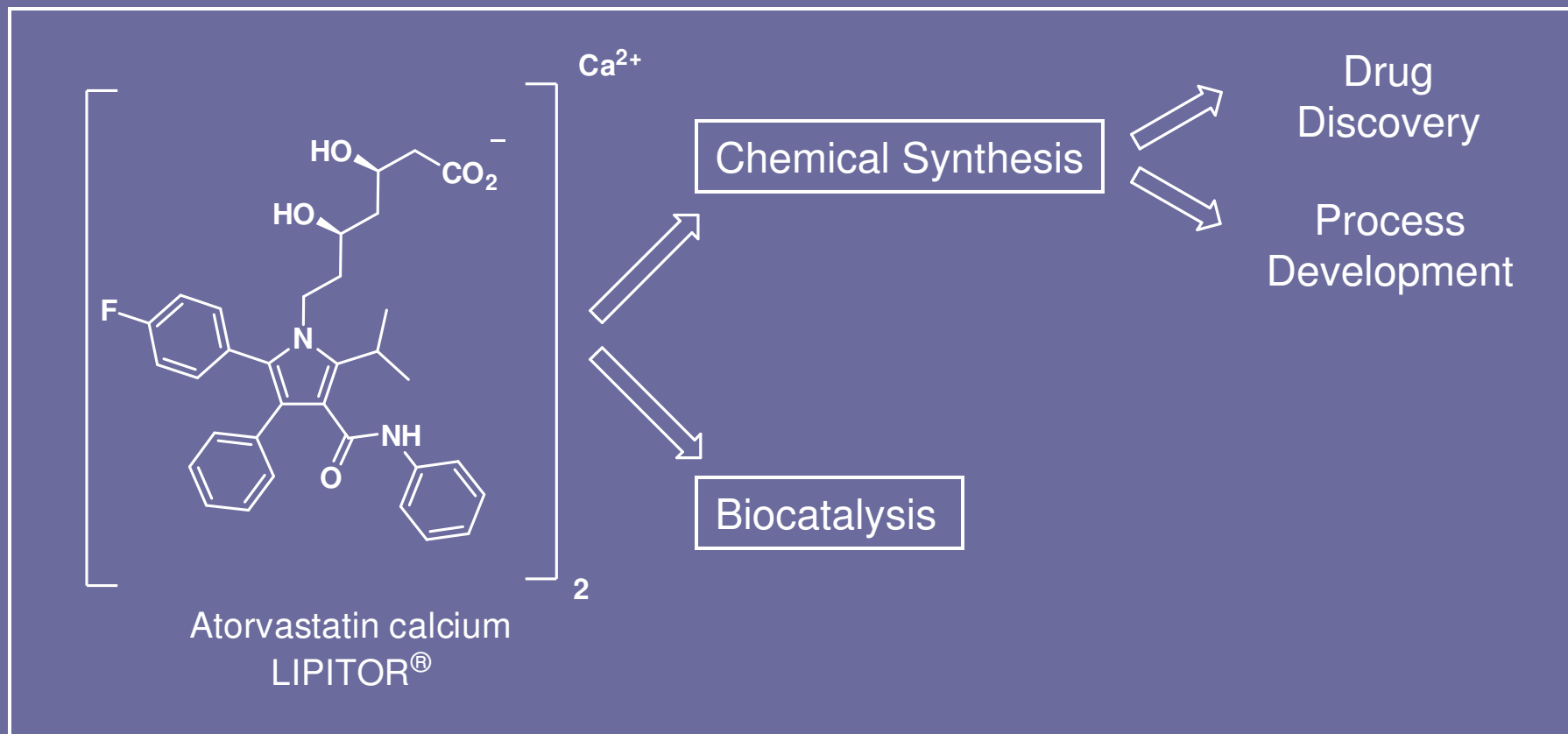
- Nobel Laureate Michael Brown

## The Story of LIPITOR®

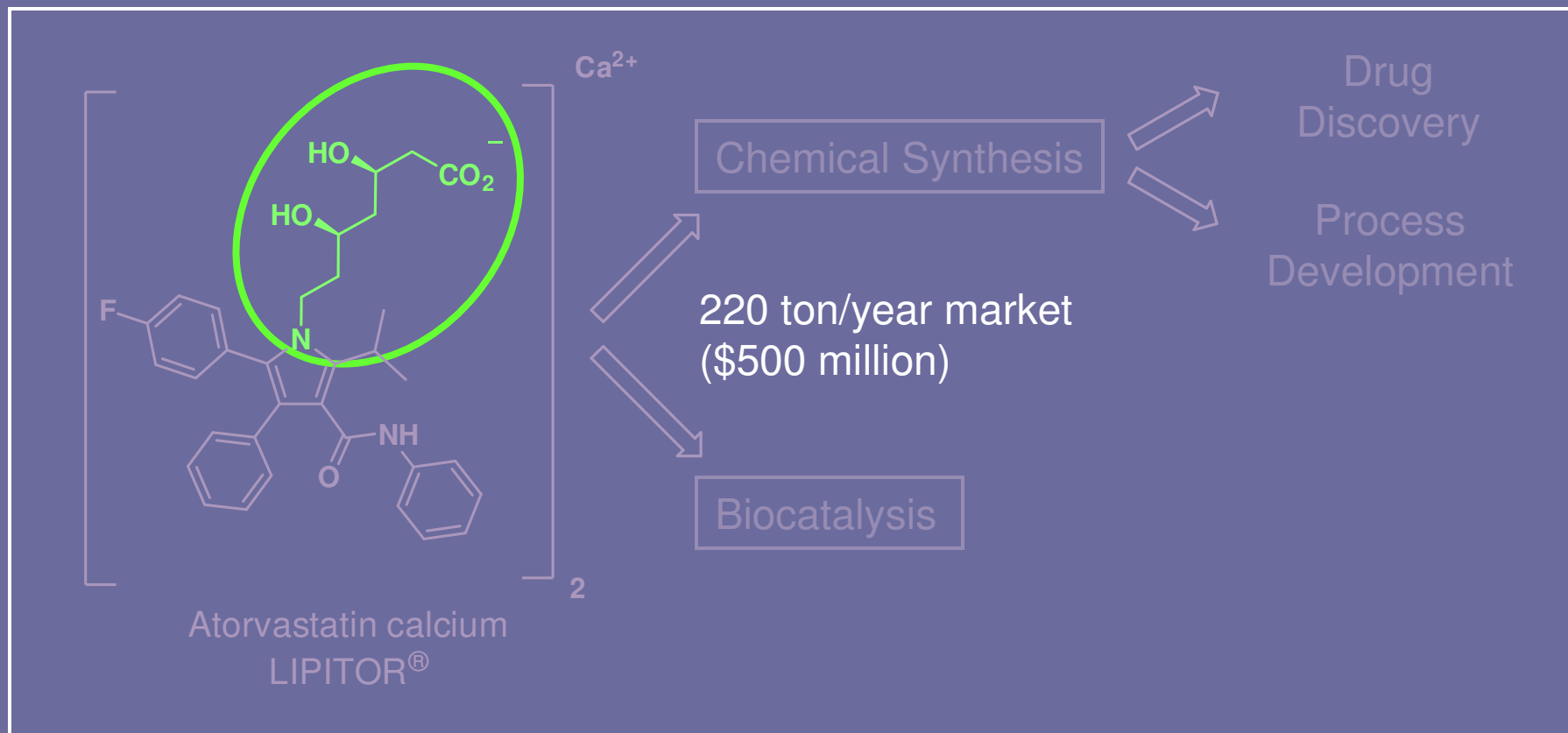
*“The story of how Pfizer acquired the rights to an improved statin and turned it into the all-time biggest blockbuster is a tale of hyperaggressive marketing, deft timing, financial power and plain dumb luck!”*

*Fortune, 2003, January 20.*

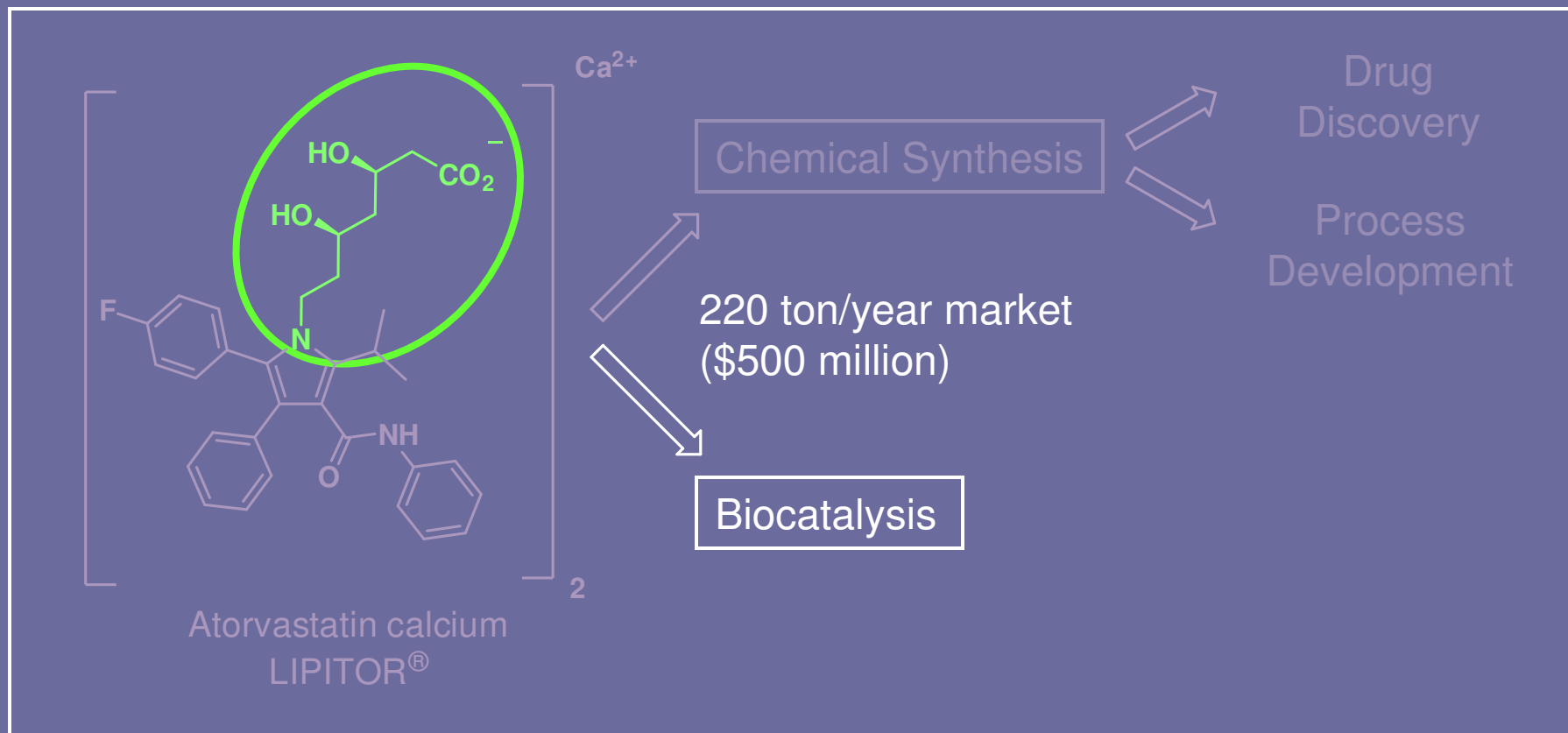
# The Story of LIPITOR<sup>®</sup> - *a Peek into the World of Pharmaceutical Process Chemistry*

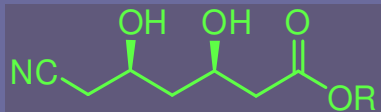


# The Story of LIPITOR<sup>®</sup> - *a Peek into the World of Pharmaceutical Process Chemistry*

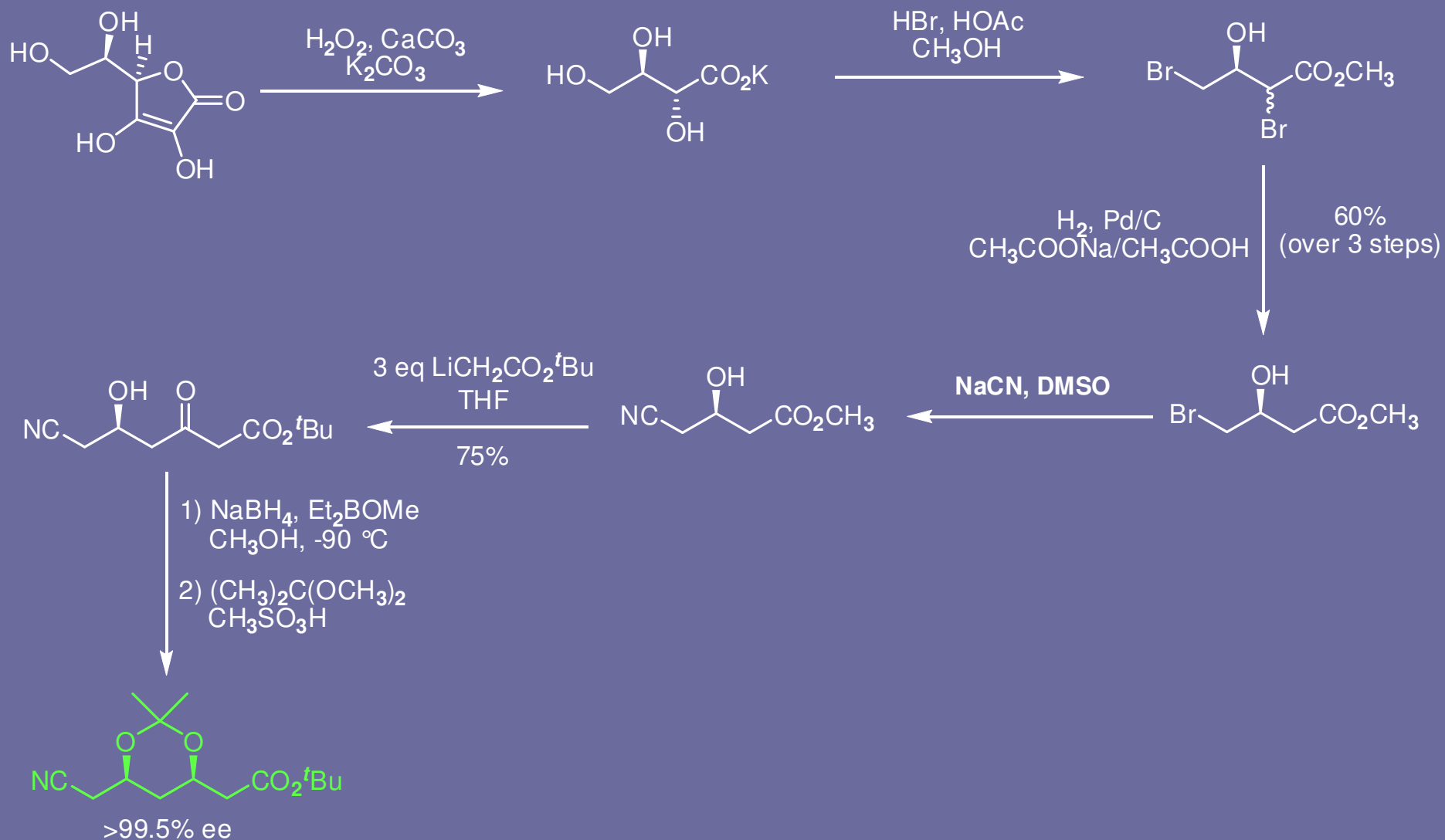


# The Story of LIPITOR<sup>®</sup> - *a Peek into the World of Pharmaceutical Process Chemistry*

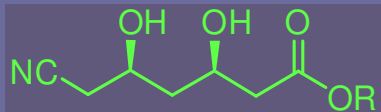




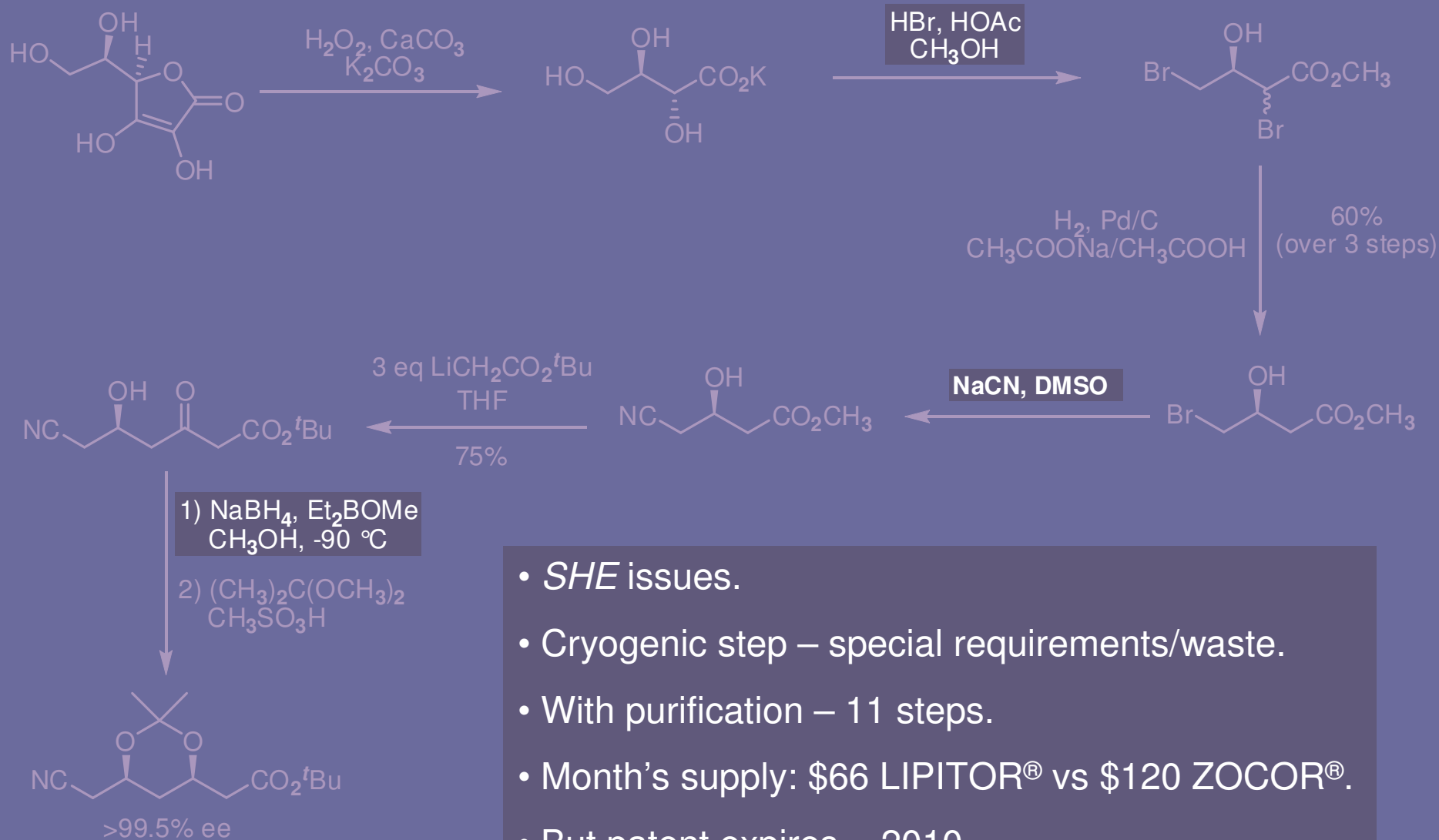
# Existing Route & the Need for Improvement



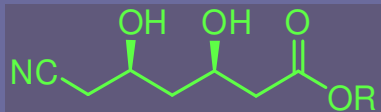




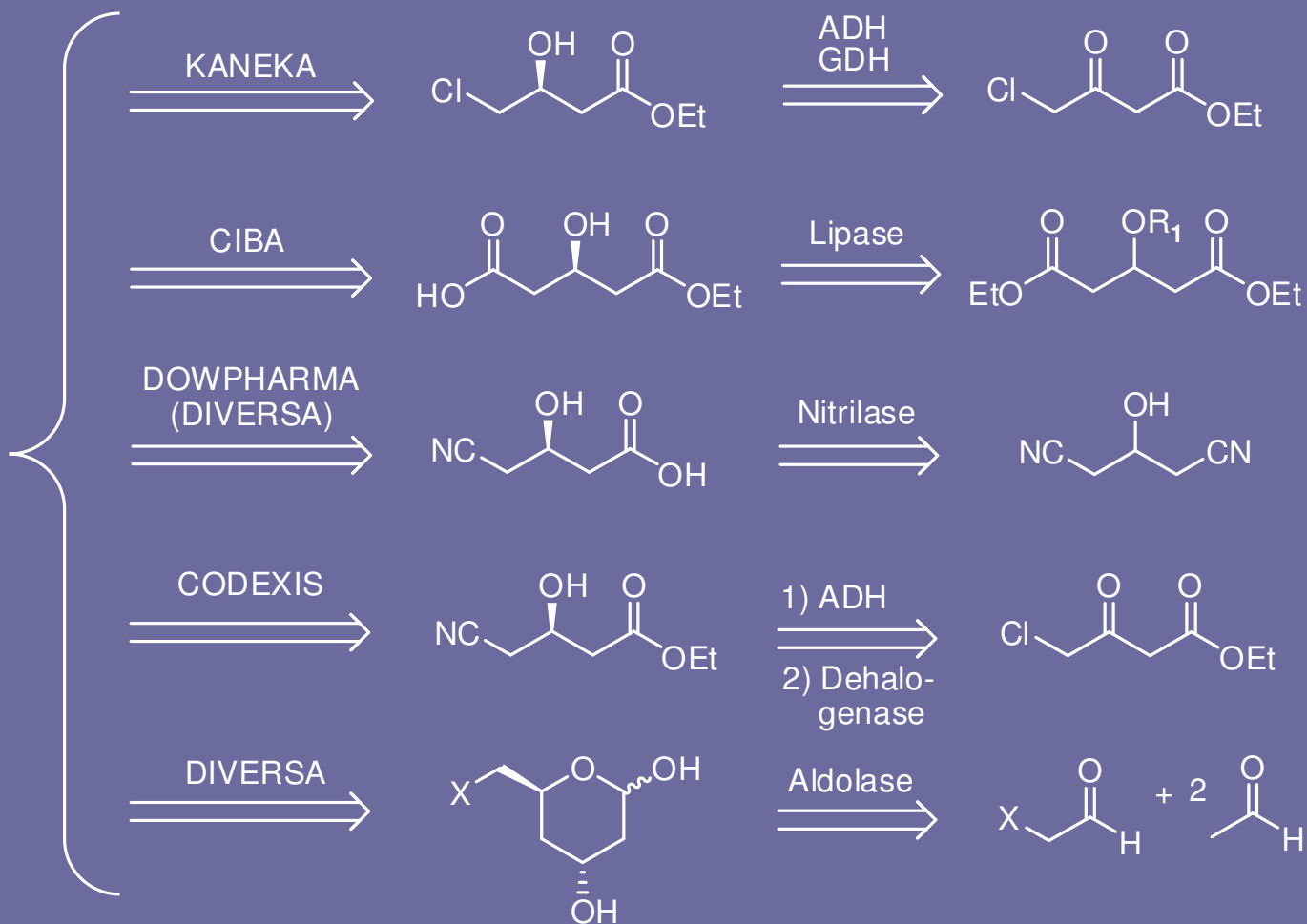
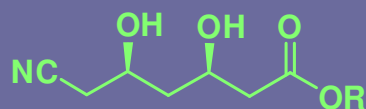
## Existing Route & the Need for Improvement



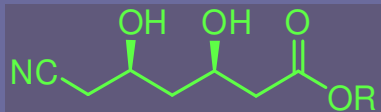
- *SHE* issues.
- Cryogenic step – special requirements/waste.
- With purification – 11 steps.
- Month's supply: \$66 LIPITOR<sup>®</sup> vs \$120 ZOCOR<sup>®</sup>.
- But patent expires ~ 2010.



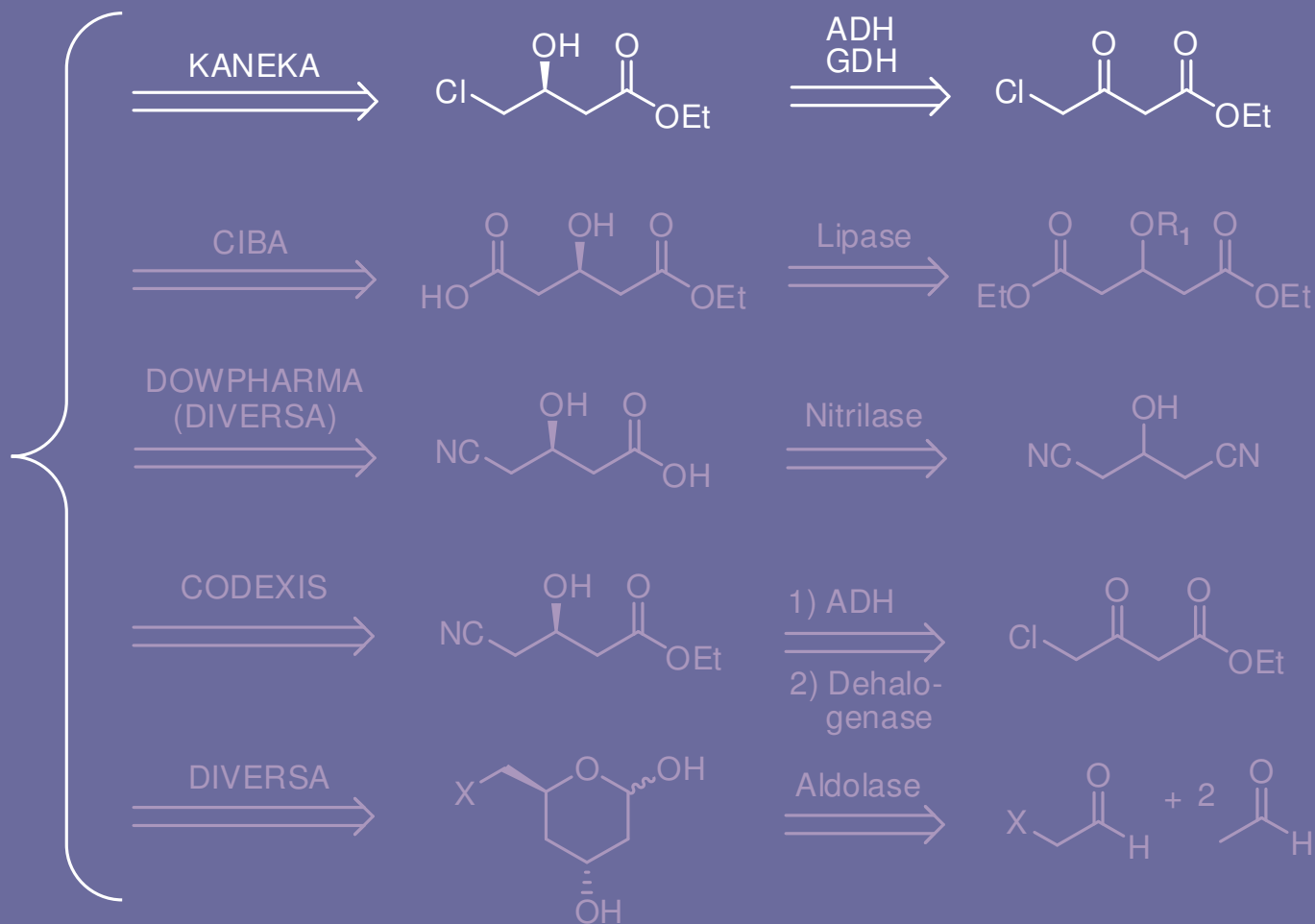
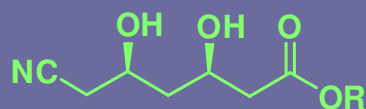
# Biocatalytic Routes for the Chiral Side Chain



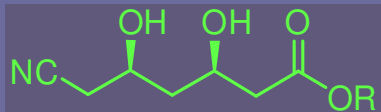
Thayer, A. M. *Chem. Eng. News* **2006**, 84, (33), 26-27.  
 Muller, M. *Angew. Chem. Int. Ed.* **2005**, 44, 362-365.



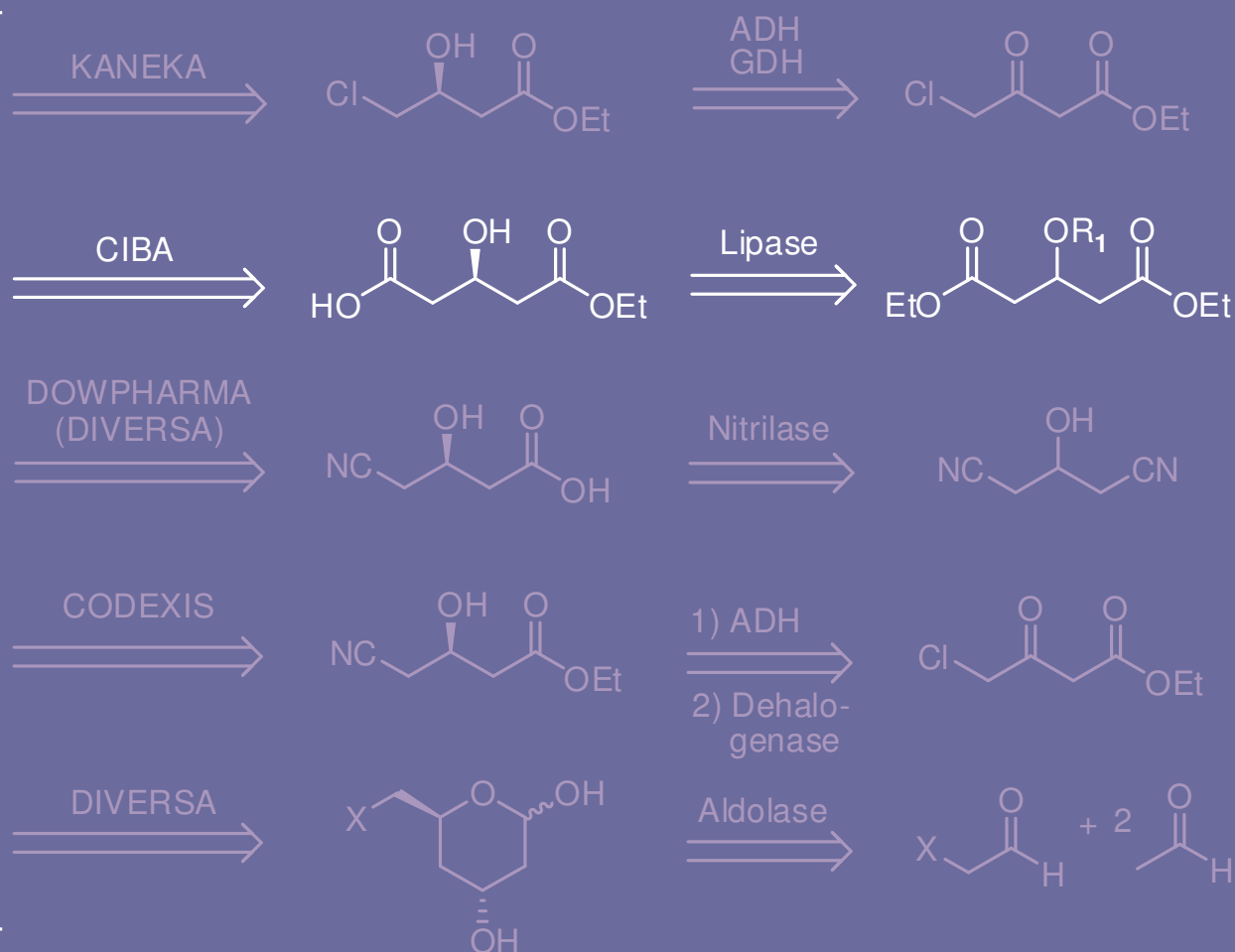
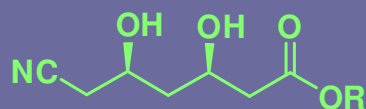
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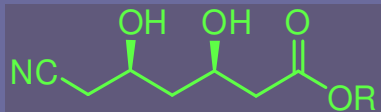
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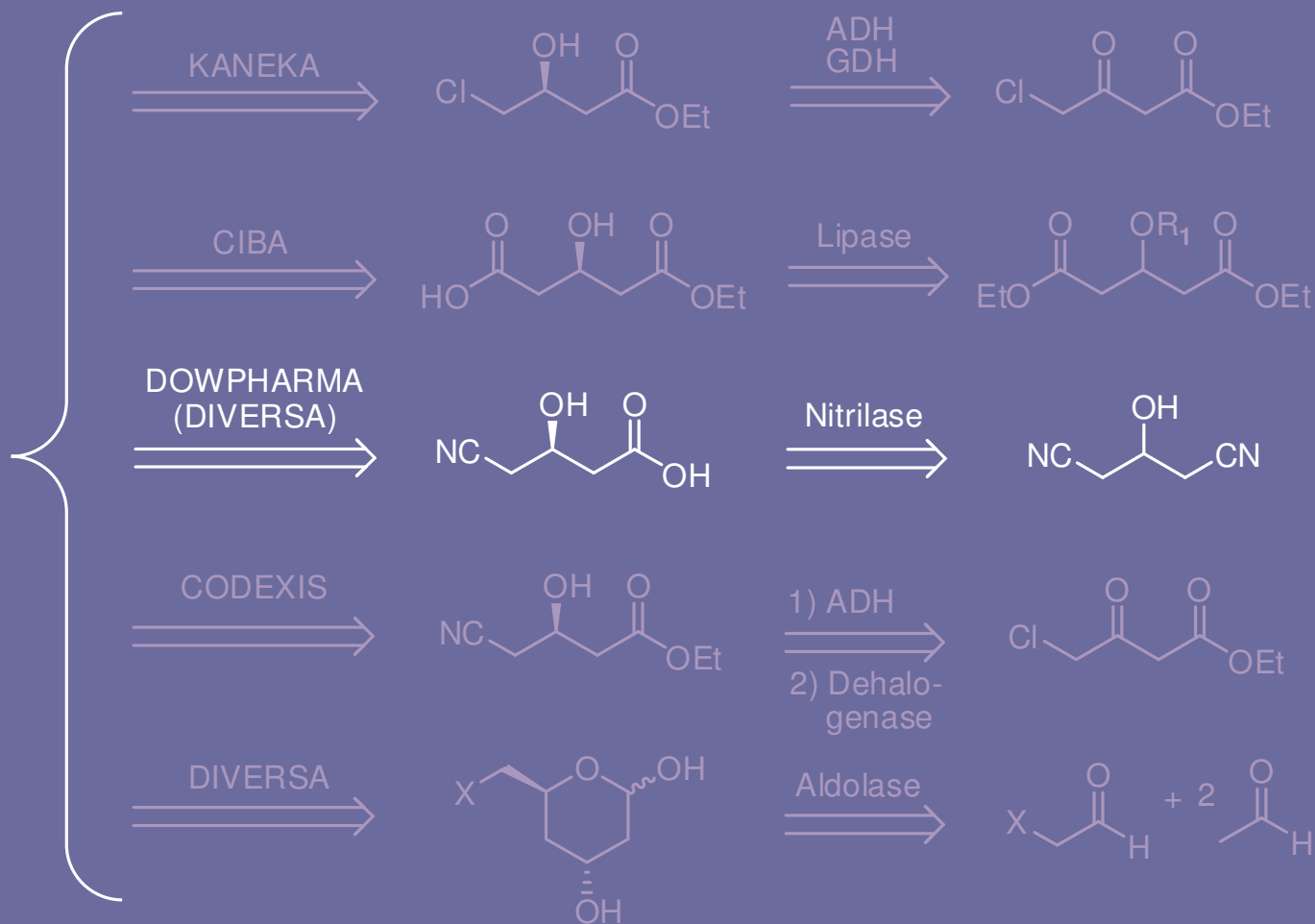
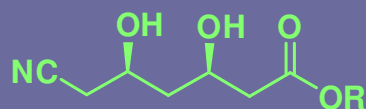
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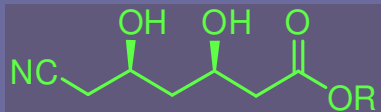
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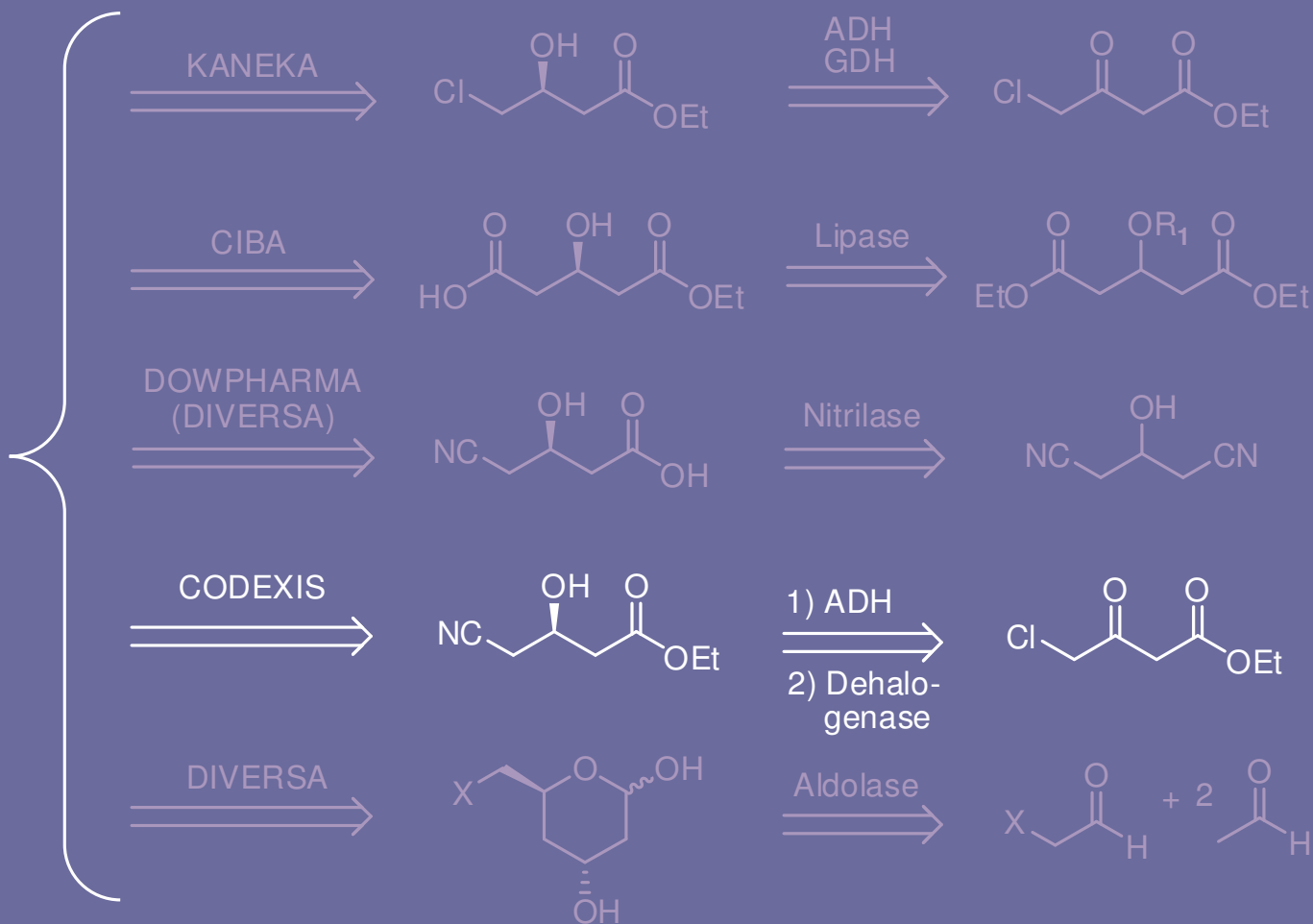
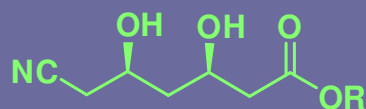
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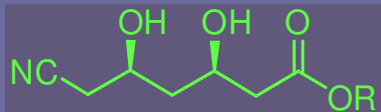
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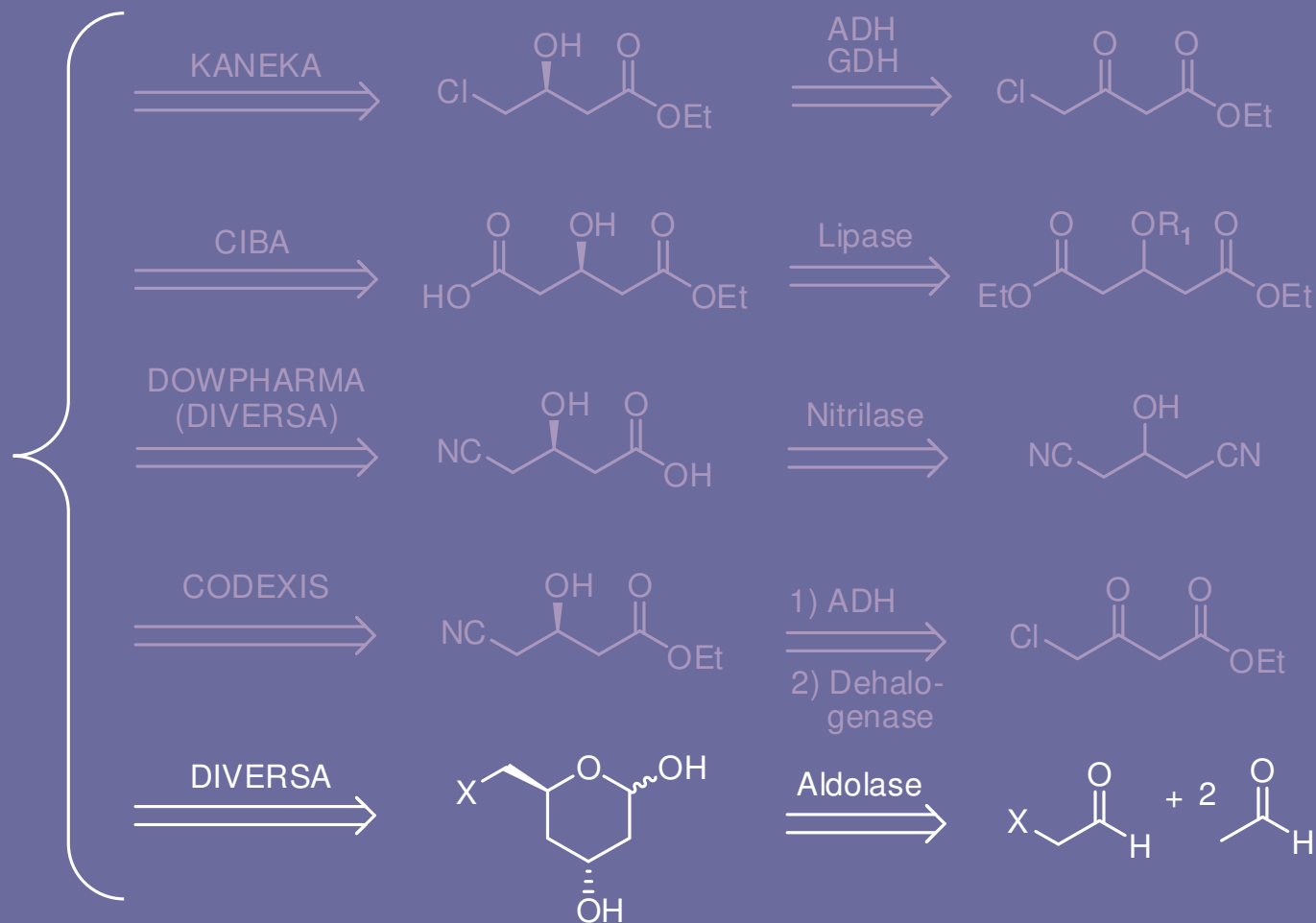
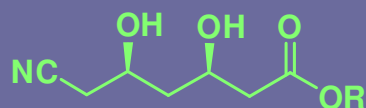
# Biocatalytic Routes for the Chiral Side Chain



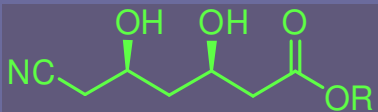
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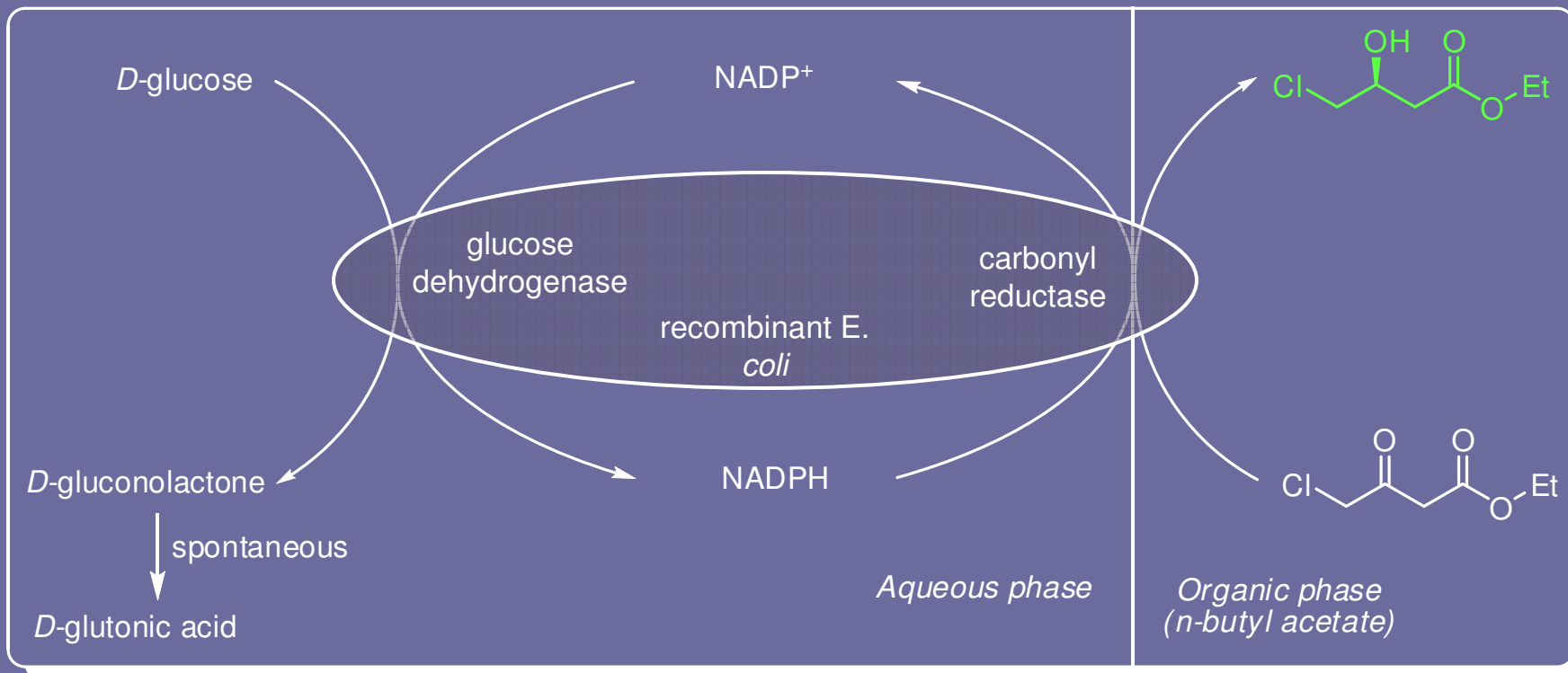
# Biocatalytic Routes for the Chiral Side Chain



Thayer, A. M. *Chem. Eng. News* **2006**, 84, (33), 26-27.  
 Muller, M. *Angew. Chem. Int. Ed.* **2005**, 44, 362-365.



# Kaneka's Route

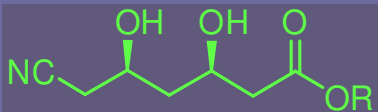


- >99.9% ee, 89% yield.
- Product concentration: 450 g/L.
- NADP<sup>+</sup> TON: 16,200 mol/mol.
- Problematic product separation.

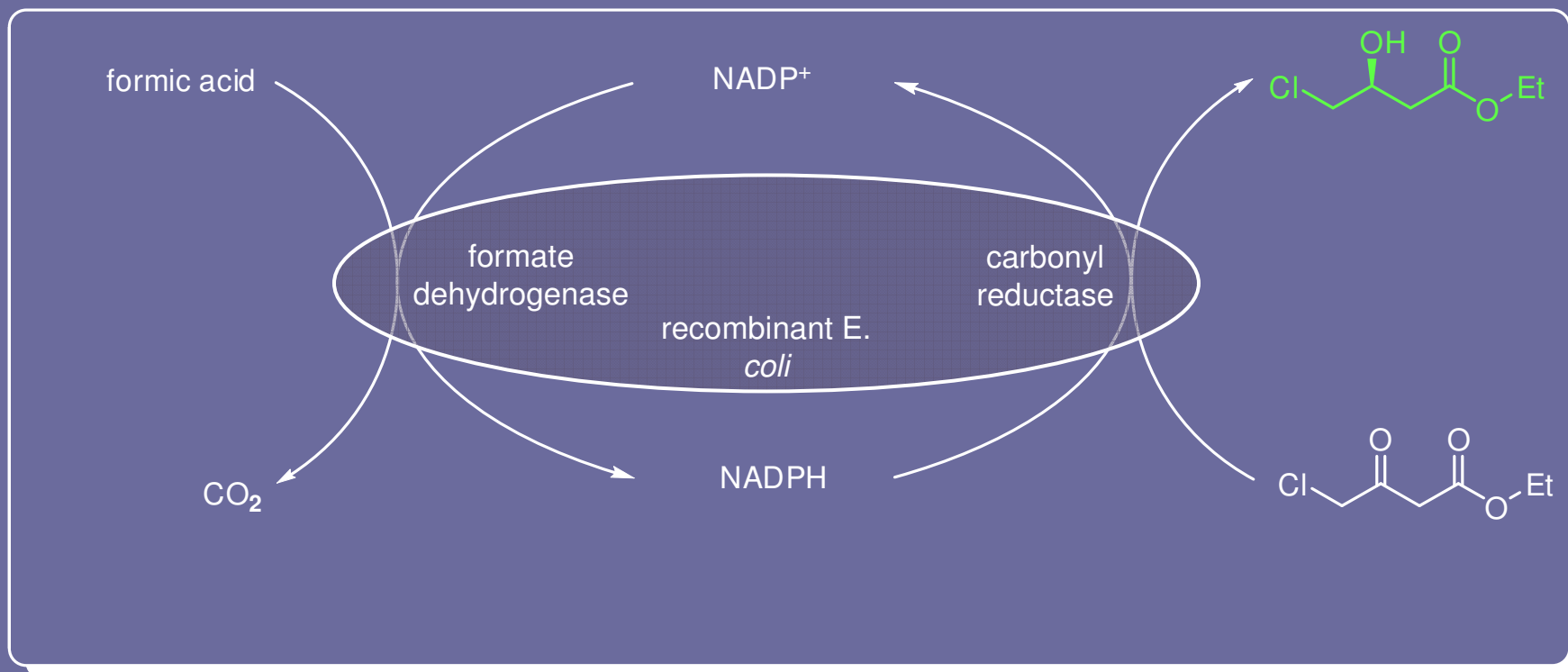
Kizaki, N. *et al. Appl. Microbiol. Biotechnol.* **2001**, *55*, 590-595.

Yasohara, Y. *et al. Tetrahedron: Asymmetry* **2001**, *12*, 1713-1718.



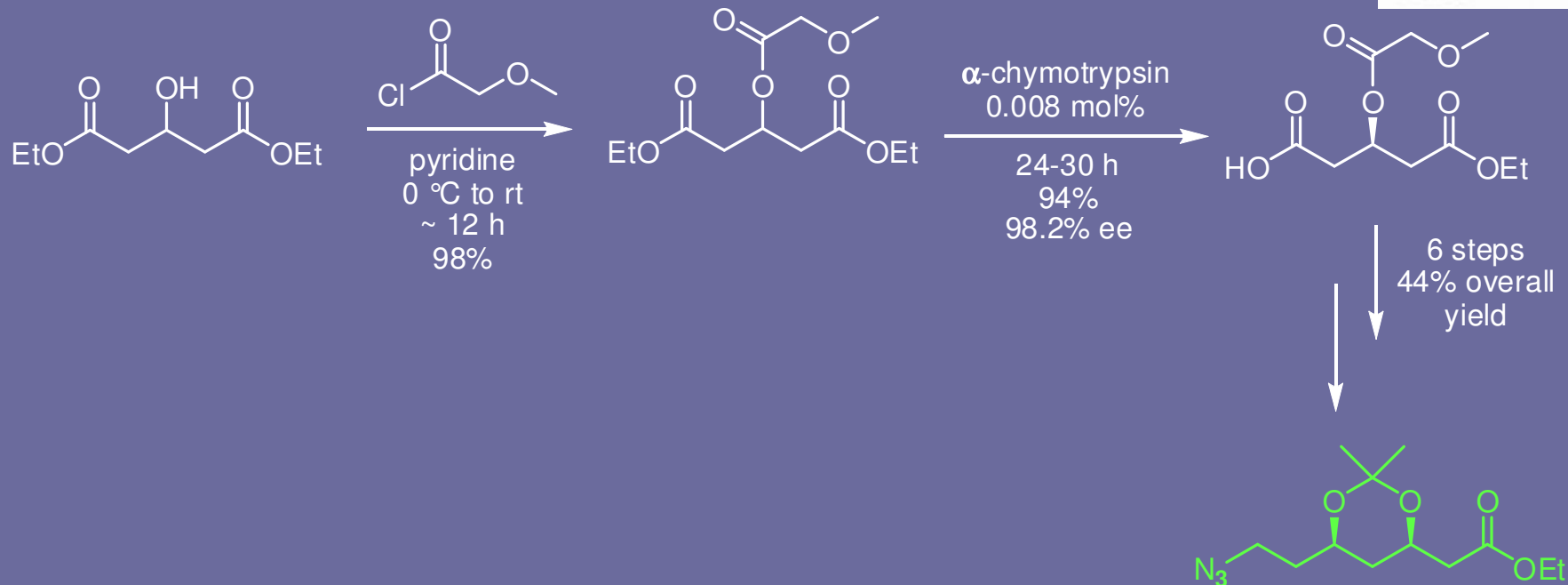
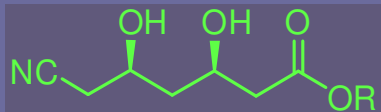


# Daicel's Route



- >99% ee.
- Product concentration: 50 g/L.
- Easy product separation.
- Commercially used: >100 ton/year.

# Ciba's Route

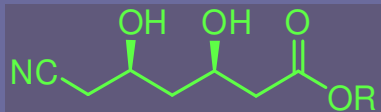


## *The good things*

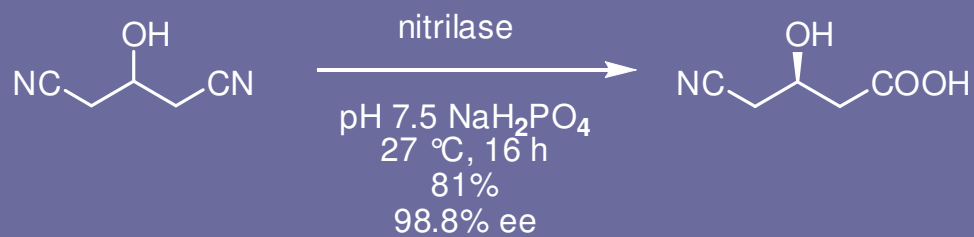
- 94% yield, 98.2% ee.
- Substrate concentration: 285 g/L.
- Kilogram scale.
- Cheap & robust biocatalyst.

## *The bad things*

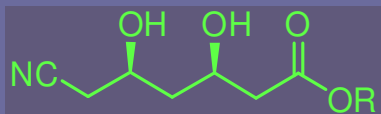
- Follow up chemistry – long.
- Low temperature reactions.
- Column chromatography.



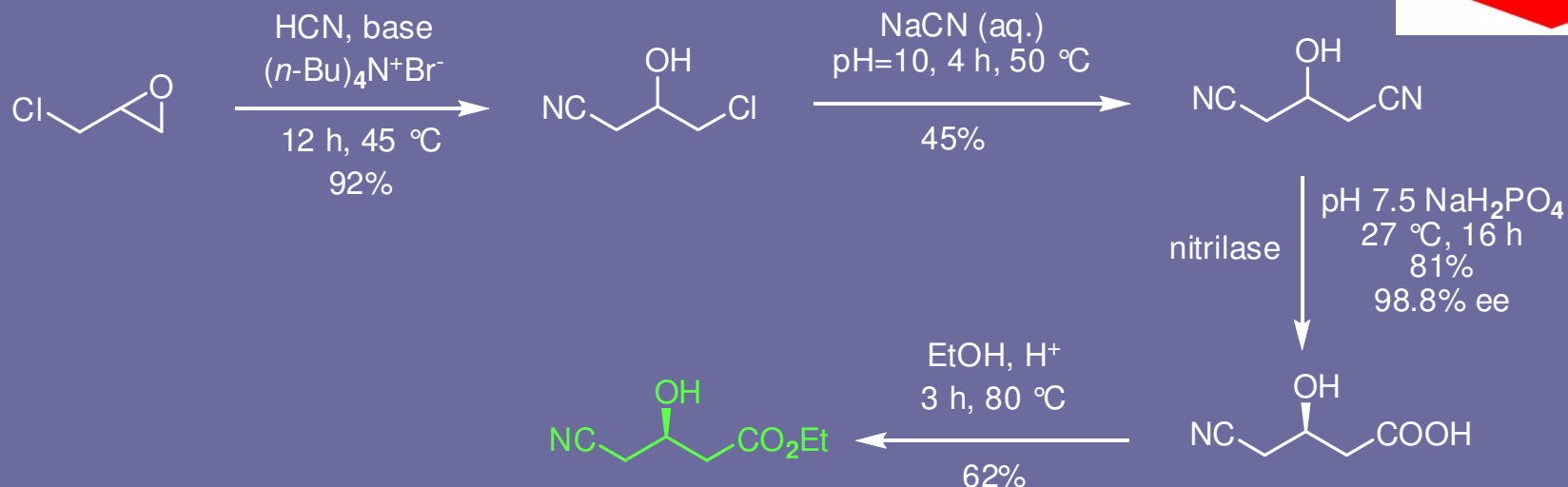
## Diversa/Dowpharma's Route



DeSantis, G. *et al. J. Am. Chem. Soc.* **2002**, *124*, 9024-9025.  
DeSantis, G. *et al. J. Am. Chem. Soc.* **2003**, *125*, 11476-11477.



## Diversa/Dowpharma's Route



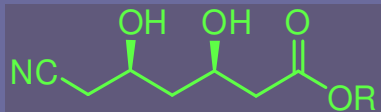
### *The good things*

- Cheap starting material.
- Efficient enzymatic step: 3 M [substrate] & 619 g L<sup>-1</sup> d<sup>-1</sup>.
- Low cost of catalyst by expression in *Pseudomonas fluorescens* developed by Dow.

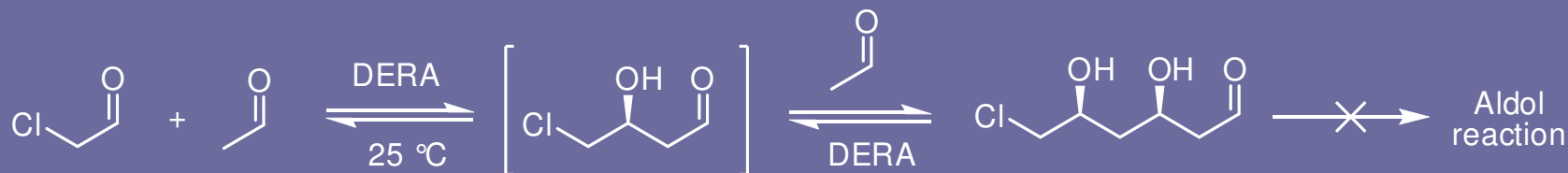
*Scale-up economics good!*

### *The bad things*

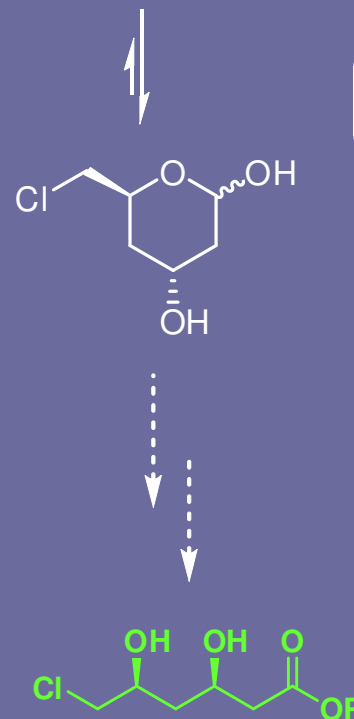
- HCN under heated alkaline conditions.
- Special equipment for purification.
- Some low yield steps.



# Diversa's Route



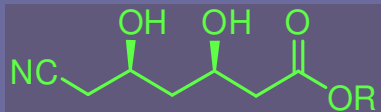
DERA = deoxyribose-5-phosphate aldolase



	Wild Type DERA
Catalyst Load	20% w/w
Product Isolation	Difficult
Reaction Time	6 days
[chloroacetaldehyde]	100 mM
Volumetric Productivity	2 g L <sup>-1</sup> d <sup>-1</sup>
ee (de)	Unknown
Practical?	NO

Gijsen, H. J. M.; Wong, C.-H. *J. Am. Chem. Soc.* **1994**, *116*, 8422-8423.

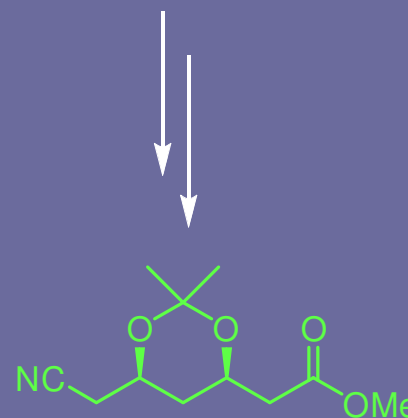
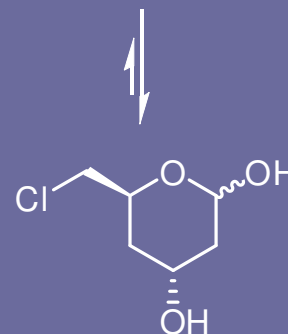
Wong, C.-H. *et al. J. Am. Chem. Soc.* **1995**, *117*, 3333-3339.



# Diversa's Route

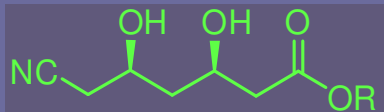


DERA = deoxyribose-5-phosphate aldolase

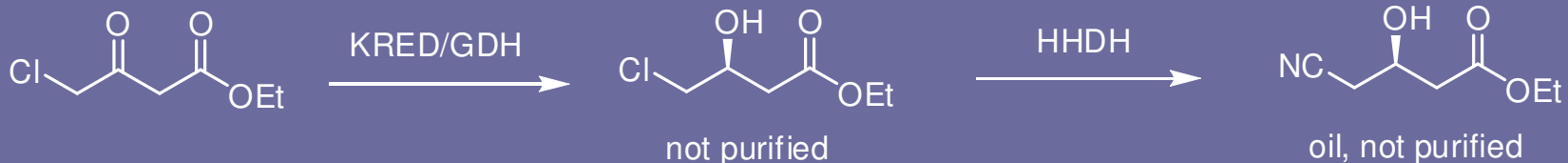


23% yield  
3 overall steps

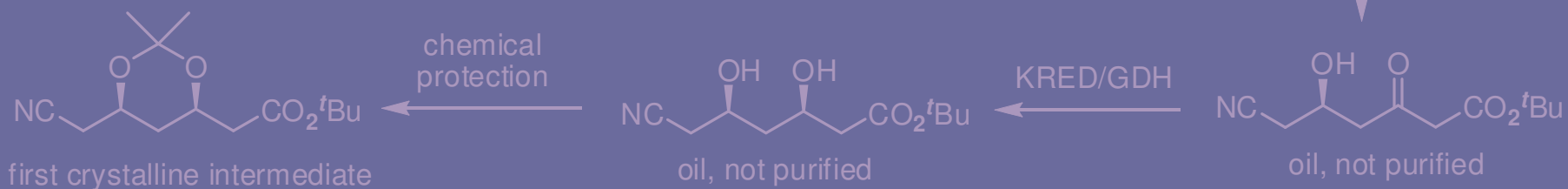
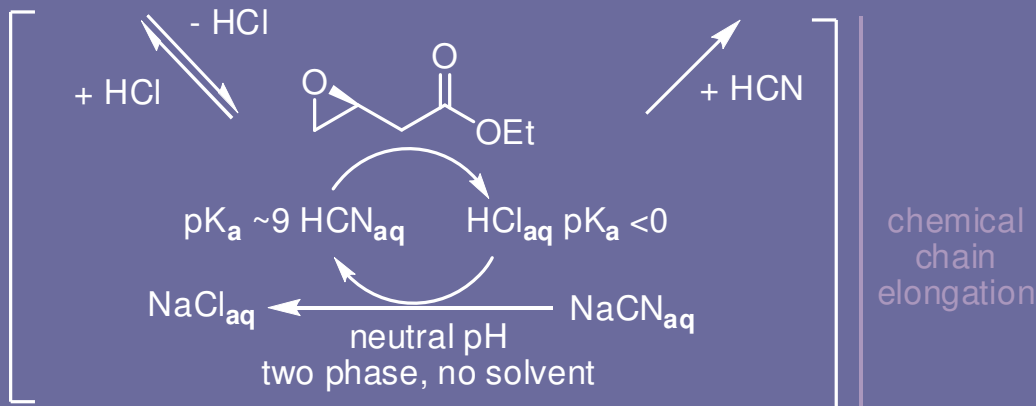
	Wild Type DERA	Improved DERA
Catalyst Load	20% w/w	2% w/w
Product Isolation	Difficult	Simple
Reaction Time	6 days	3 h
[chloroacetaldehyde]	100 mM	Fed-batch process
Volumetric Productivity	2 g L <sup>-1</sup> d <sup>-1</sup>	735 g L <sup>-1</sup> d <sup>-1</sup>
<i>ee</i> & <i>de</i>	Unknown	≥ 99.9%
Practical?	NO	YES



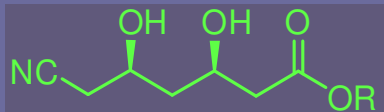
# Codexis Route



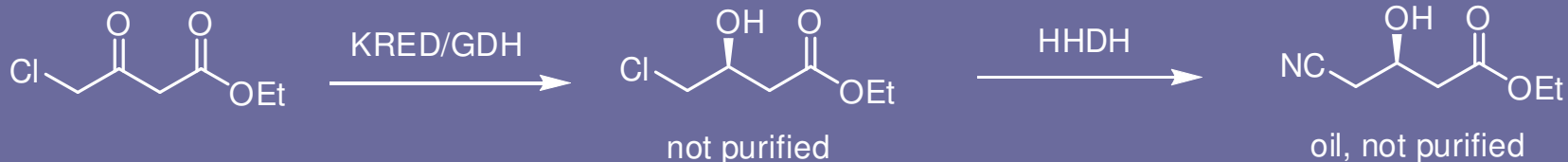
KRED = Ketoreductase  
 GDH = Glucose dehydrogenase  
 HHDH = Halohydrin dehalogenase



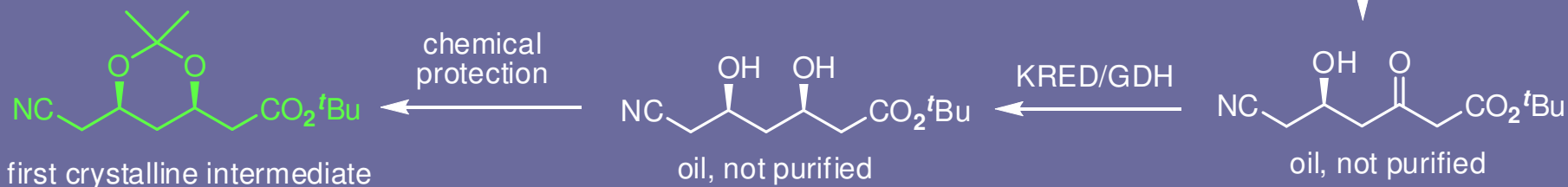
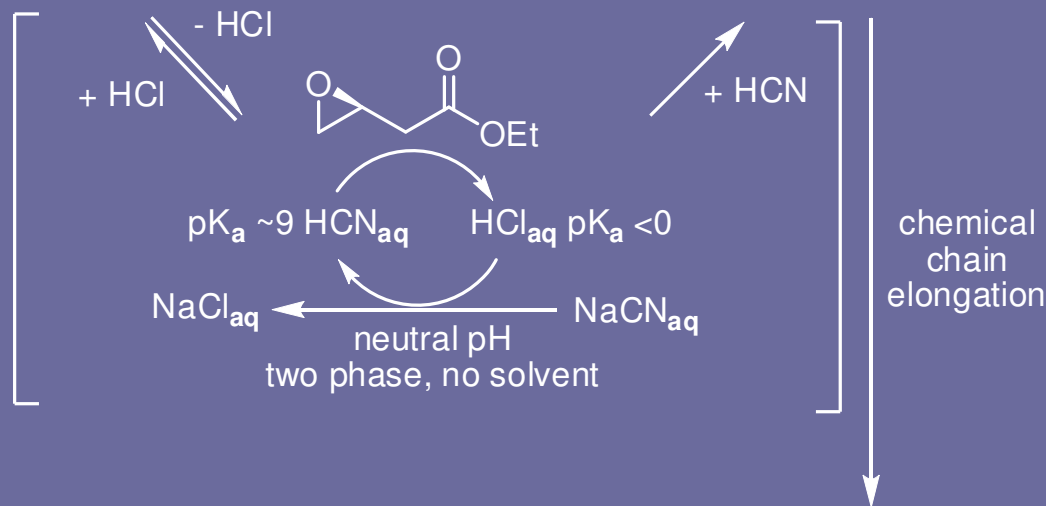
Dr. Peter Seuffer-Wasserthal (VP, Head of Codexis Pharma Services), personal communication.



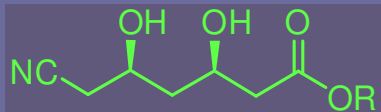
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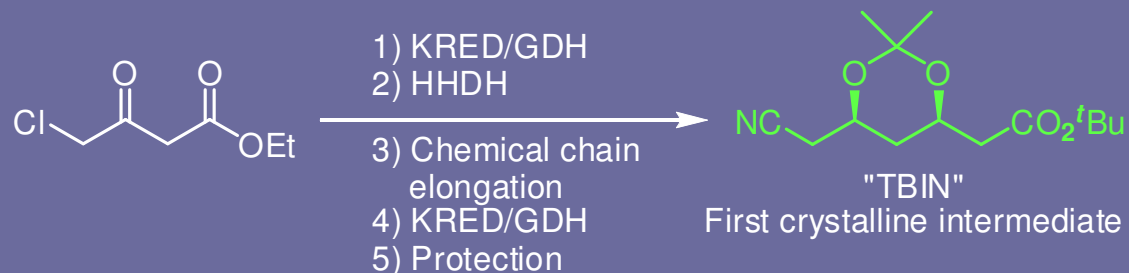
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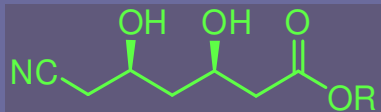
## Codexis Route



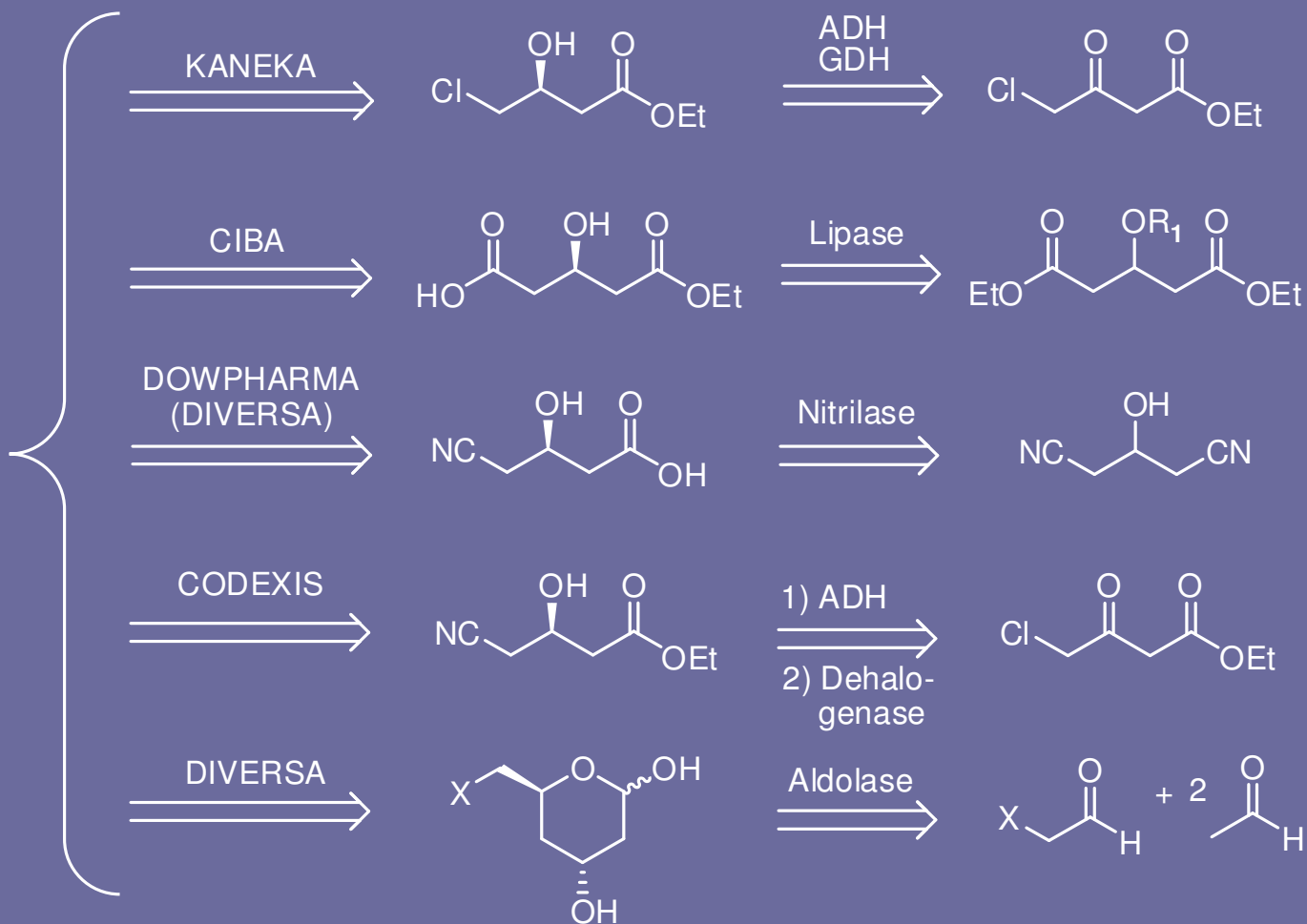
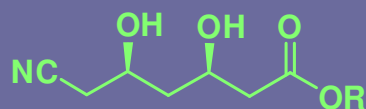
- Excellent selectivities and yields.
- Purification only at the last step – reduced requirements.
- Green and safe.
- Reduced by-products.
- Mild reaction conditions.
- Efficient, scalable and cost effective.
- Commercialized: (1) Arch Pharmalabs, India – Codexis production partner.  
(2) Lonza – a Pfizer supplier.



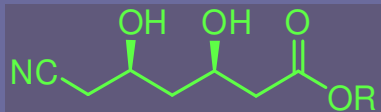
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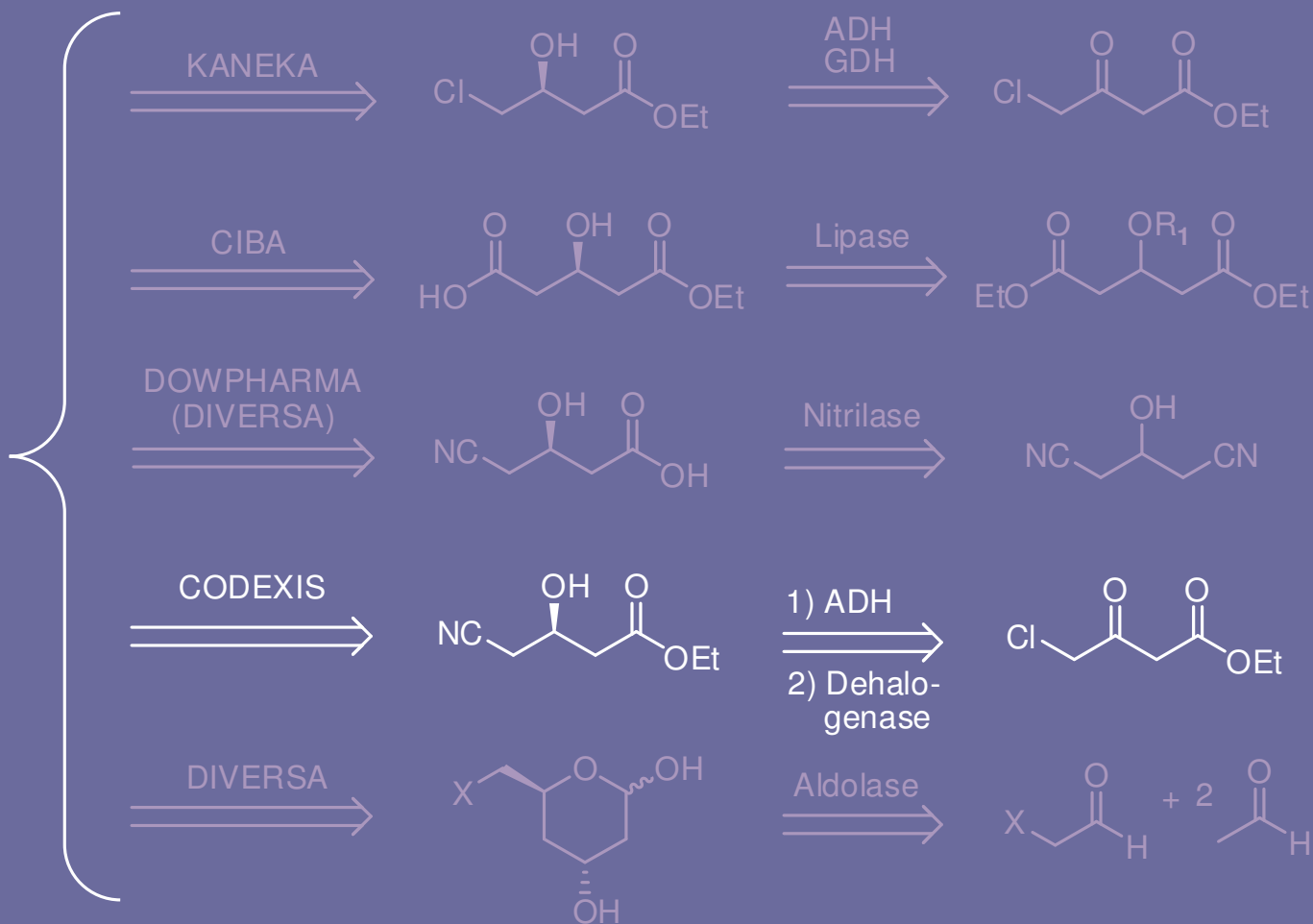
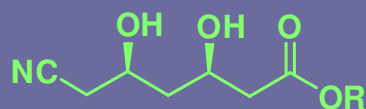
# Biocatalytic Routes for the Chiral Side Chain



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 Muller, M. *Angew. Chem. Int. Ed.* **2005**, 44, 362-365.

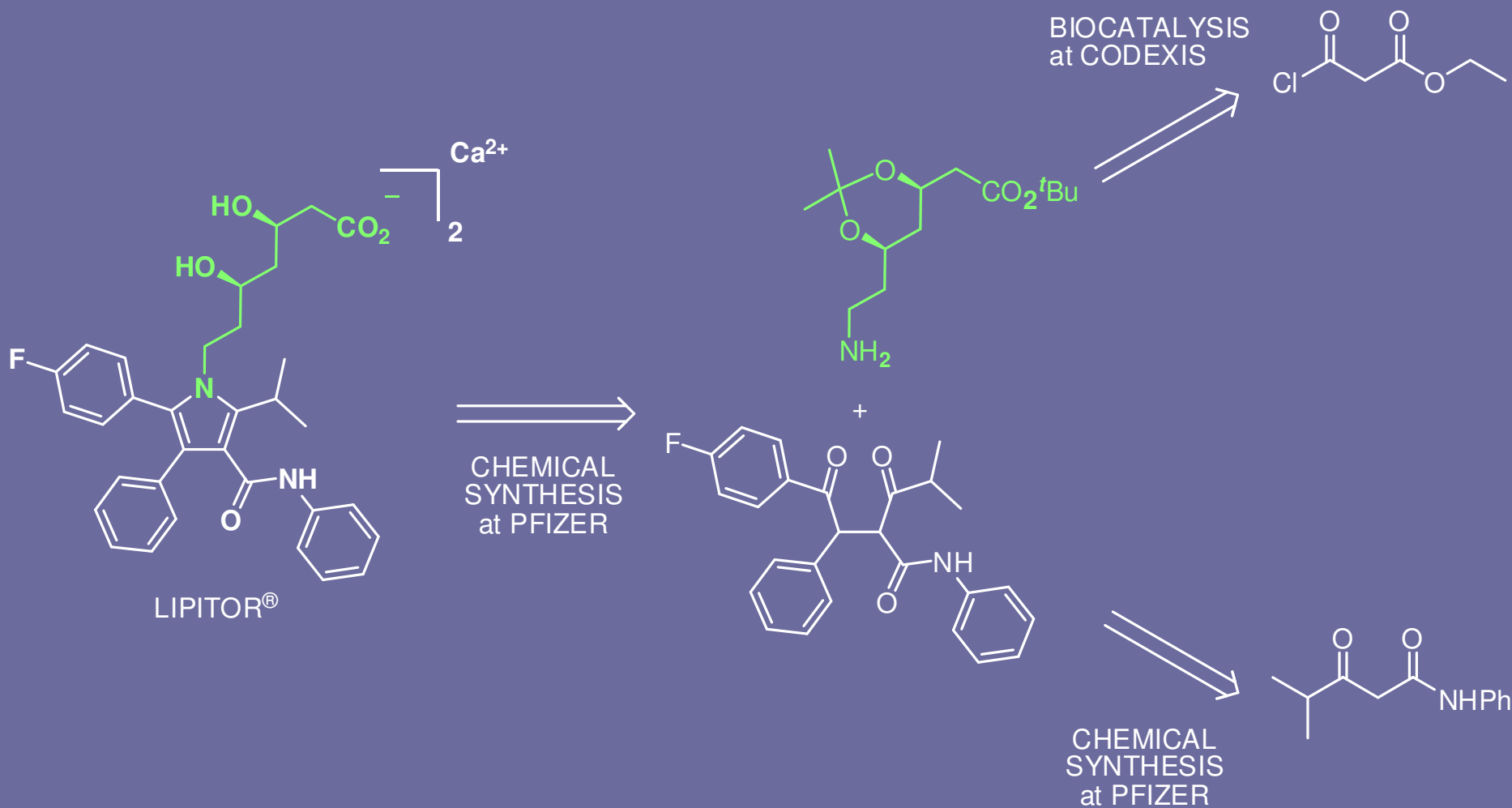


# Biocatalytic Routes for the Chiral Side Chain

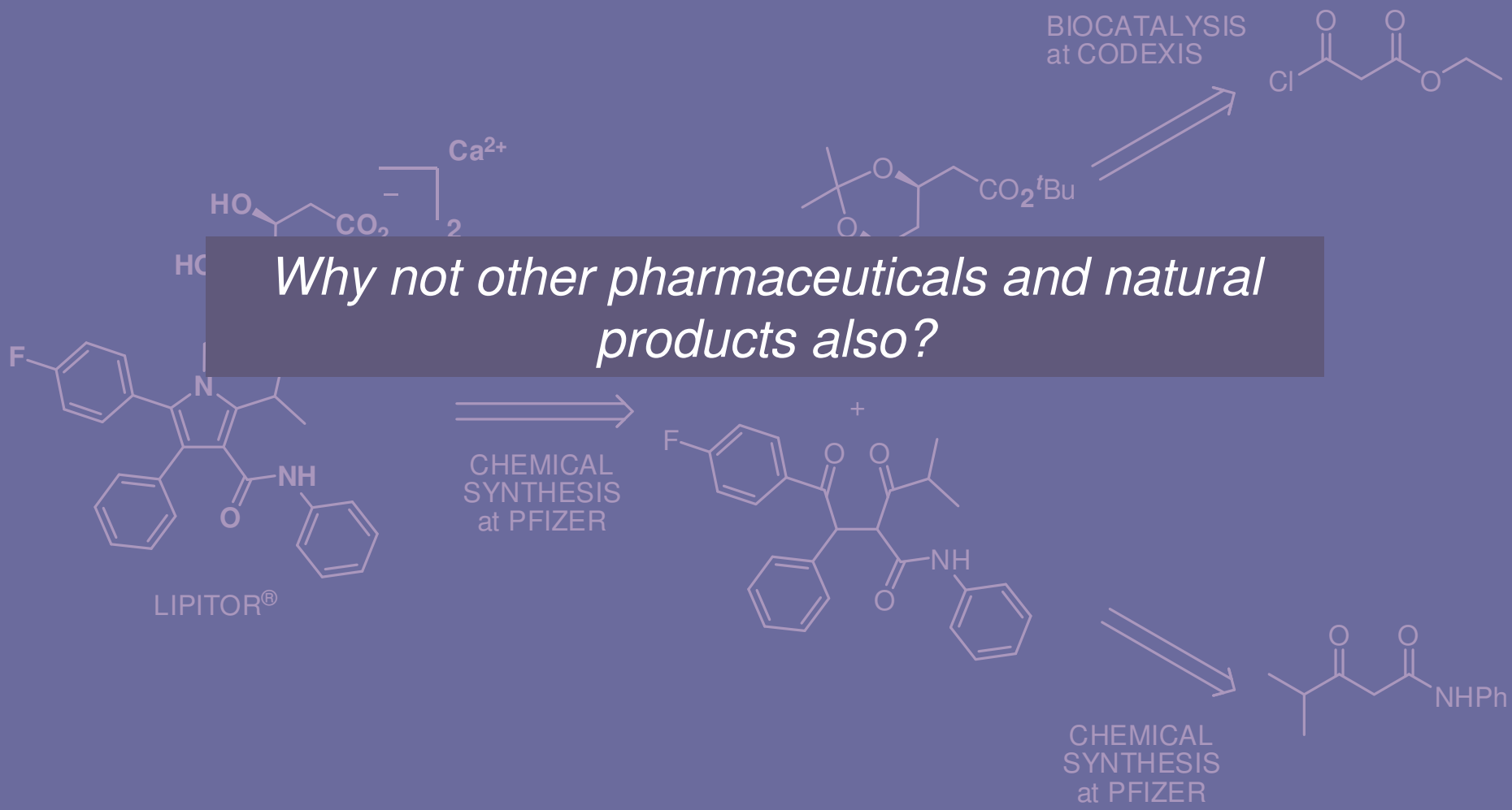


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# An Extremely Attractive Chemo-enzymatic Approach



# An Extremely Attractive Chemo-enzymatic Approach



*Why not other pharmaceuticals and natural products also?*

# The Take-Home Message

## The Story of LIPITOR<sup>®</sup>: Drug Discovery & Chemical Development

- The world of process chemistry works on the same basic principles as us here in this building.
- But in many respects, it is a vastly different world, driven by an entirely different set of considerations.

## The Story of LIPITOR<sup>®</sup>: Biocatalytic Routes for the Sidechain

- State-of-art enzymatic transformations have reached an extraordinary level, making them valuable and competitive methods for use in the pharmaceutical industry.
- Biocatalysis has been emerging

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## The Story of LIPITOR®: Biocatalytic Routes for the Sidechain

- State-of-art enzymatic transformations have reached an extraordinary level, making them valuable and competitive methods for use in the pharmaceutical industry.
- Biocatalysis has ~~been emerging~~ clearly emerged as a very attractive tool in a synthetic chemist's toolkit.

# Acknowledgements

Dr. Walker                      Dr. Wulff  
    Dr. Borhan                      Dr. Maleczka

Dr. Bruce Roth (VP, Global R&D, Pfizer)  
Dr. Donald Butler (Former Process Development Leader, Pfizer  
Chief Science Advisor, Austin Chemical Company)  
Dr. Peter Seufer-Wasserthal (VP, Head of Pharma Services, Codexis)  
Dr. Stephen Ritter (Senior Editor, C&EN)

Dr. Christopher Schmid (Lilly)                      Professor Samir Zard  
Dr. Jos Brands (Merck)                      Dr. Michael Lipton (SPCorp)

Keith, Ding, Cory, Zhenjie, Gang, Chunrui, Alex, Kostas, Dima, Nilanjana, Anil,  
Munmun, Li, Yong

Janelle

Aman K., Toyin, Luis, Brian and Alli & Dan





## Other Beneficial Effects of Statin Drugs

- Promotion of new blood vessel growth.
- Stimulation of bone formation.
- Protection against modification of low density lipoproteins.
- Reduction of clot-forming process so important in plaque formation.
- Improvement in endothelial function.
- Reduction of inflammation in blood vessel tissue.

Istvan, E. S.; Deisenhofer, J. *Science* **2001**, *292*, 1160-1164.

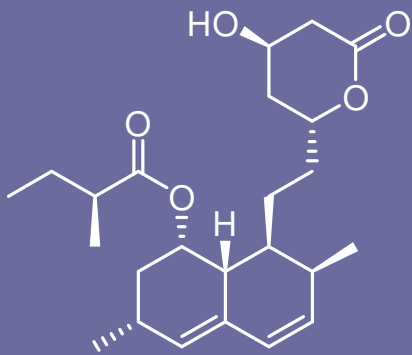
Rosanoff, A.; Seelig, M. S. *Journal of the American College of Nutrition* **2004**, *23*, 501S-505.

## Some Rare Adverse Effects of Statin Drugs

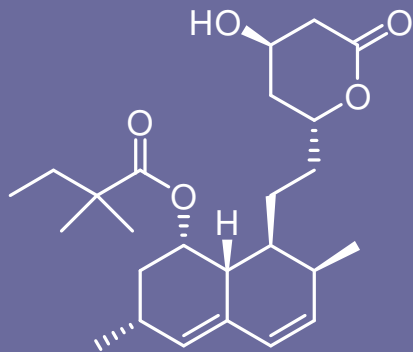
- The most common side effects are gas, constipation, stomach pain and heartburn.
- Rare Myalgia (muscle pain).  possibly life threatening!  

- Rare Rhabdomyolysis (skeletal muscle failure – loss of kidney function).

*Uncommon side reactions occur mainly when statins are co-prescribed with other interacting drugs.*

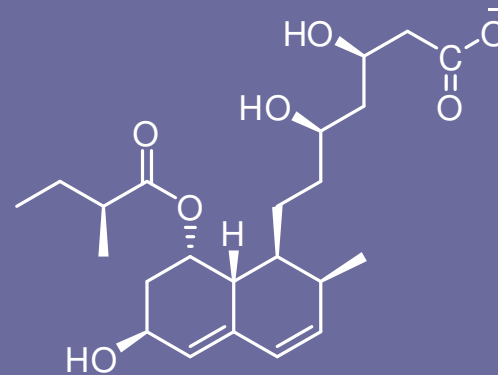
# Statin Drugs



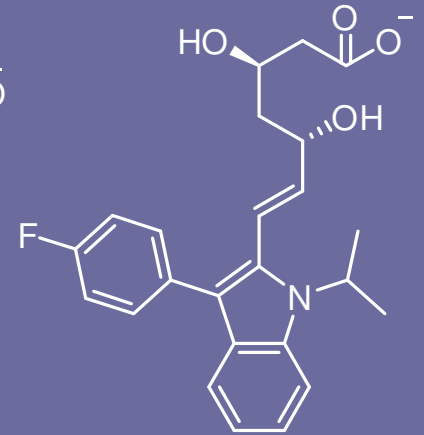
Lovastatin (MEVACOR®)  
MERCK



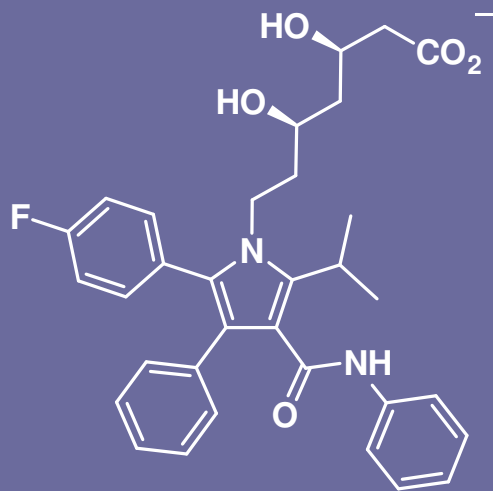
Simvastatin (ZOCOR®)  
MERCK



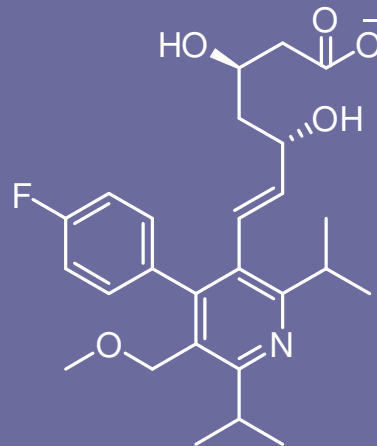
Pravastatin (PRAVACOL®)  
BRISTOL - MYERS SQUIBB



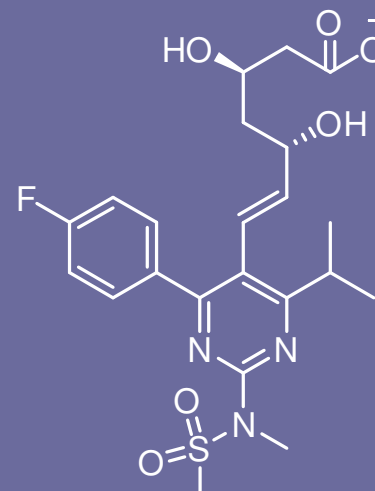
Fluvastatin (LESCOL®)  
NOVARTIS



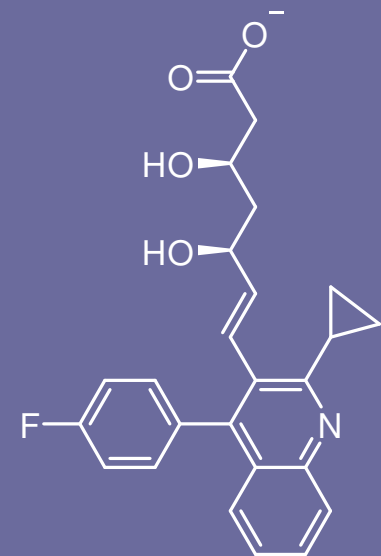
Atorvastatin (LIPITOR®)  
PFIZER



Cerivastatin (LIPOBAY®)  
BAYCOL  
*withdrawn in 2001*

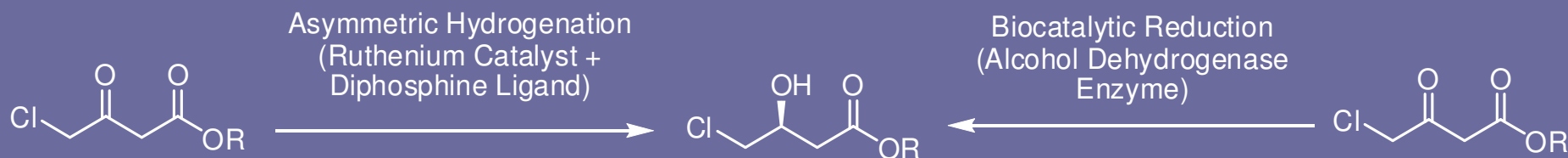


Rosuvastatin (CRESTOR®)  
ASTRAZENECA



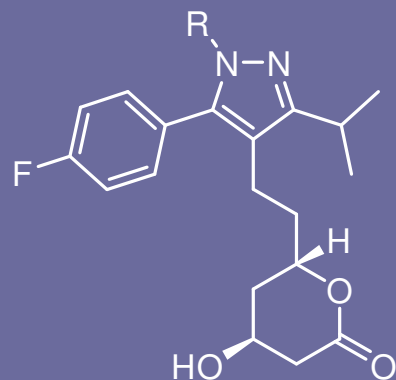
Pitavastatin (LIVALO®)  
KOWA

# Chemo-catalytic vs. Bio-catalytic: Wacker Specialty Chemicals



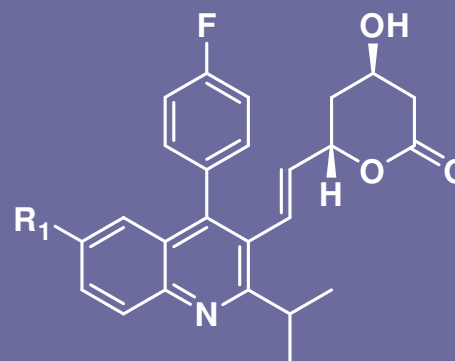
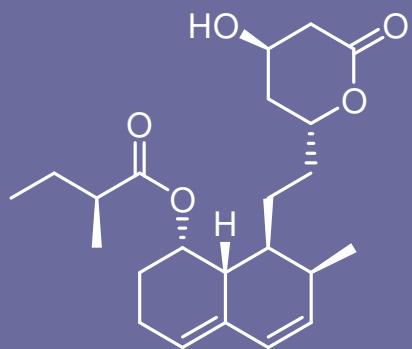
	Chemo-catalysis	Bio-catalysis
% <i>ee</i> (yield)	98 (95)	>99.9 (97)
Scale	Multi-ton	Multi-ton
Cost/kg (product)	< \$85	< \$100
<i>SHE</i> issues	100 °C, MeOH solvent, handling H <sub>2</sub>	None – ambient temp. & pressure
Equipment	Standard	Standard
Waste/kg (product)	< 100 g	2 L
Relative Throughput	3	1 (dilute conditions)

*Requirement of price & purity will dictate the route.*



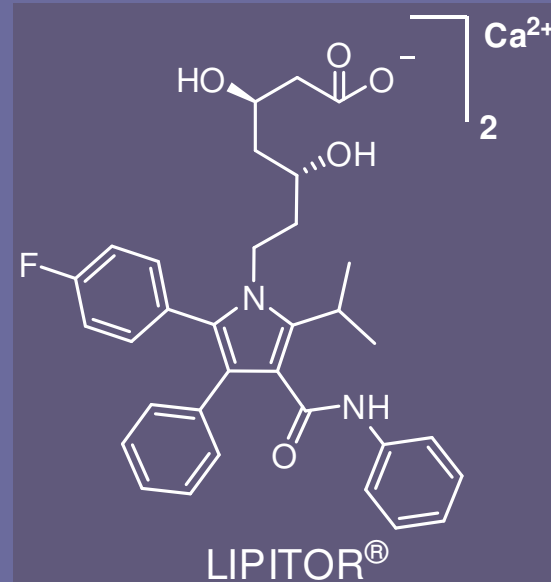
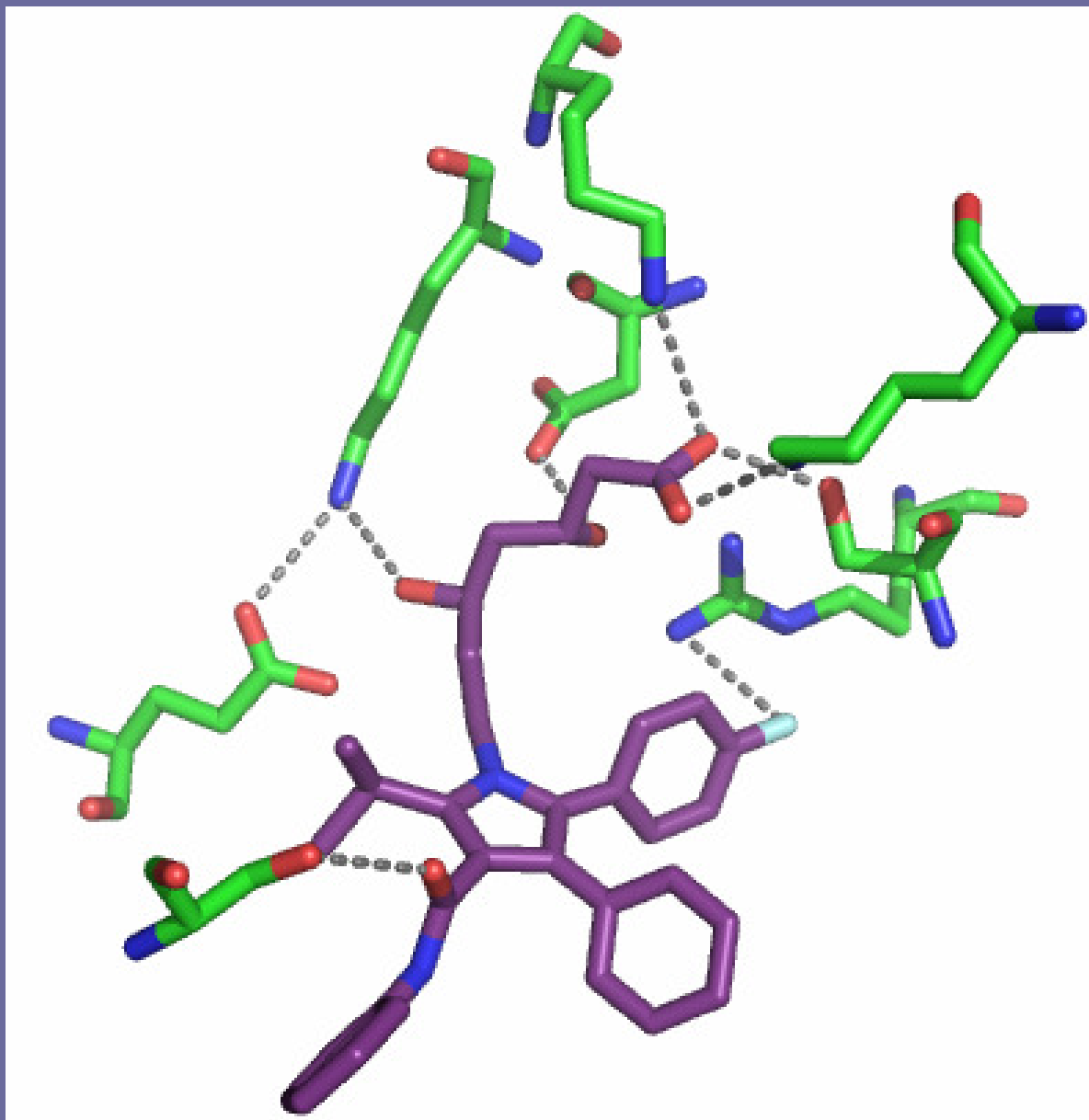
R = Ph ( $IC_{50}$  = 0.035  $\mu$ M)

mevastatin:  $IC_{50}$  = 0.030  $\mu$ M



Compound	R <sub>1</sub>	$IC_{50}$ ( $\mu$ M)
1	Cl	0.032
2	OCH <sub>3</sub>	0.013
3 (N-oxide)	F	0.018

# Human HMGR with LIPITOR

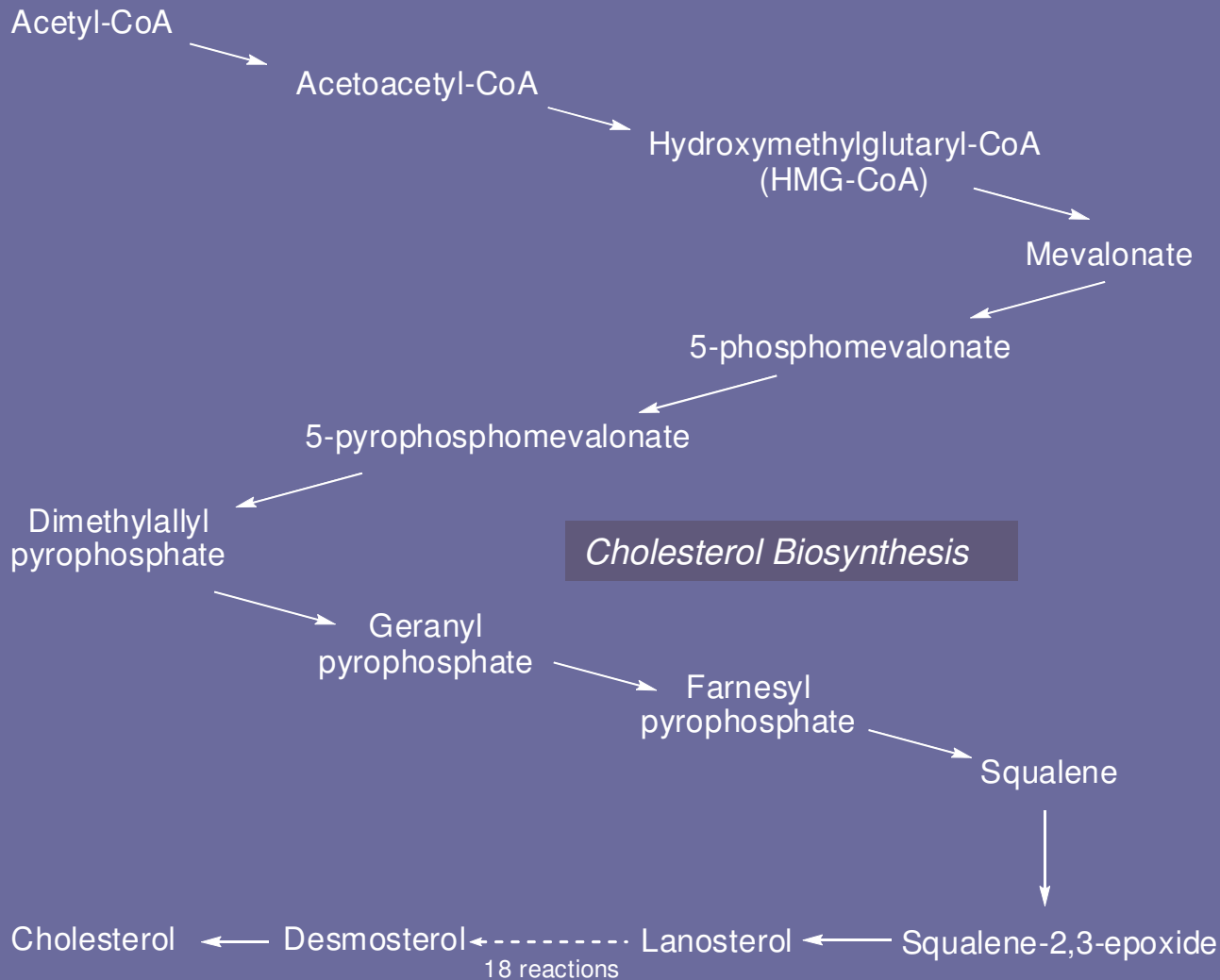


The specificity & tight binding achieved by:

- hydrogen bonds
- ionic interactions
- polar interactions

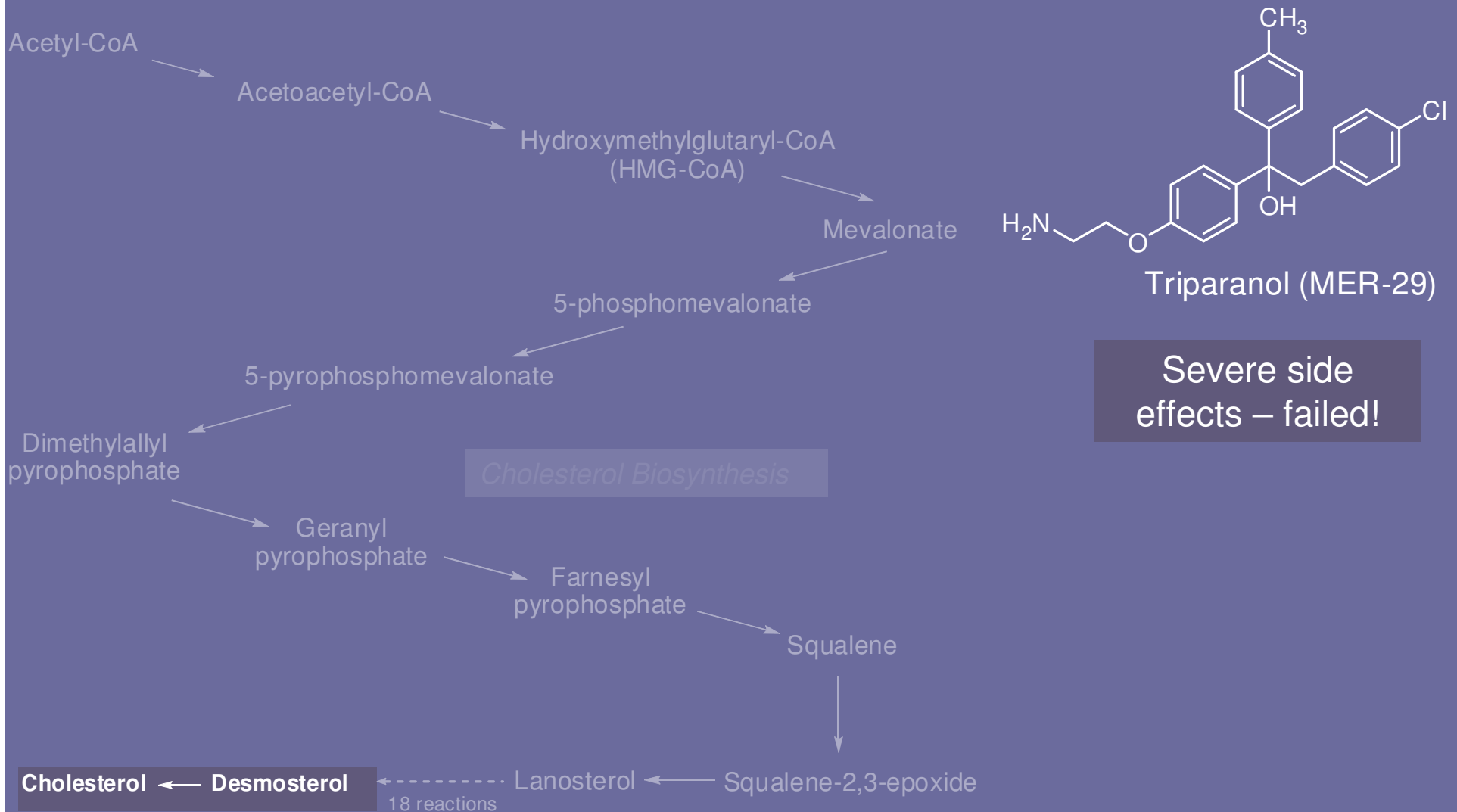


# The solution – *suppressing cholesterol biosynthesis*

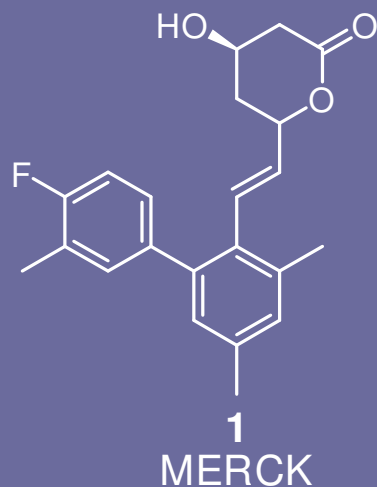
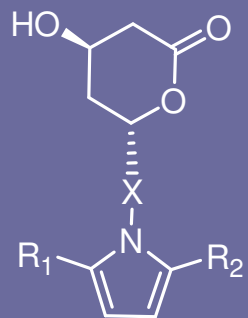


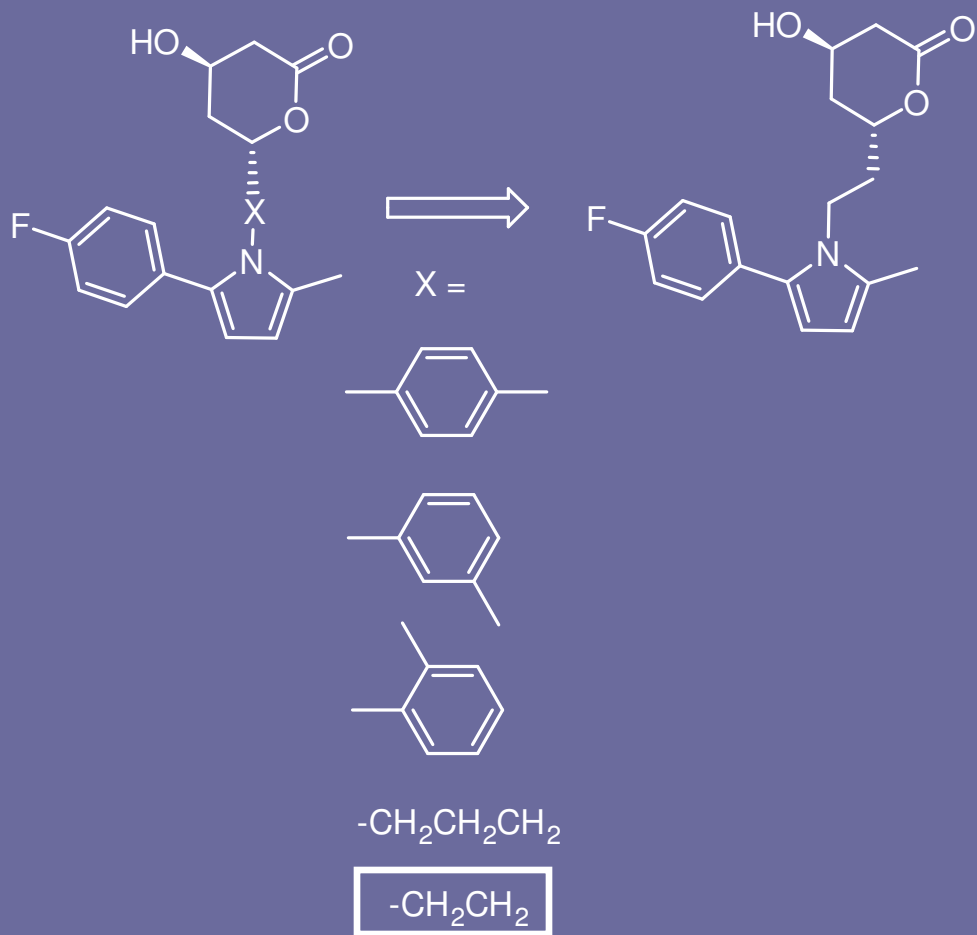


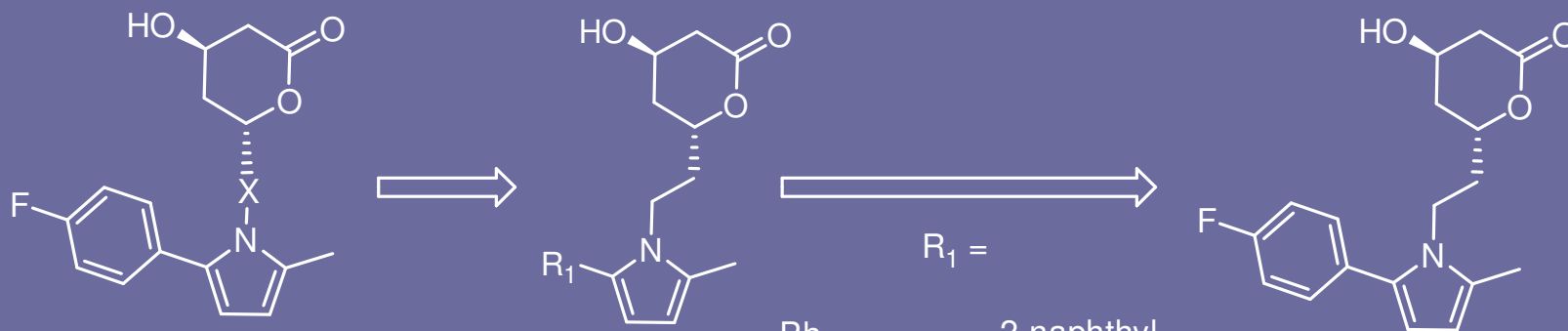
# The solution – *suppressing cholesterol biosynthesis*



Steinberg, D.; Avigan, J. *J. Biol. Chem.* **1960**, *235*, 3127-3129.







R<sub>1</sub> = Ph      2-naphthyl

4-PhC<sub>6</sub>H<sub>4</sub>      1-naphthyl

4-MeOC<sub>6</sub>H<sub>4</sub>      cyclohexyl

4-ClC<sub>6</sub>H<sub>4</sub>

4-HOC<sub>6</sub>H<sub>4</sub>

**4-FC<sub>6</sub>H<sub>4</sub>**

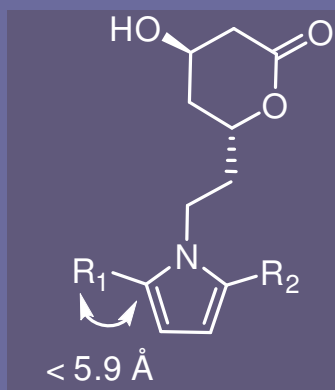
3-F<sub>3</sub>CC<sub>6</sub>H<sub>4</sub>

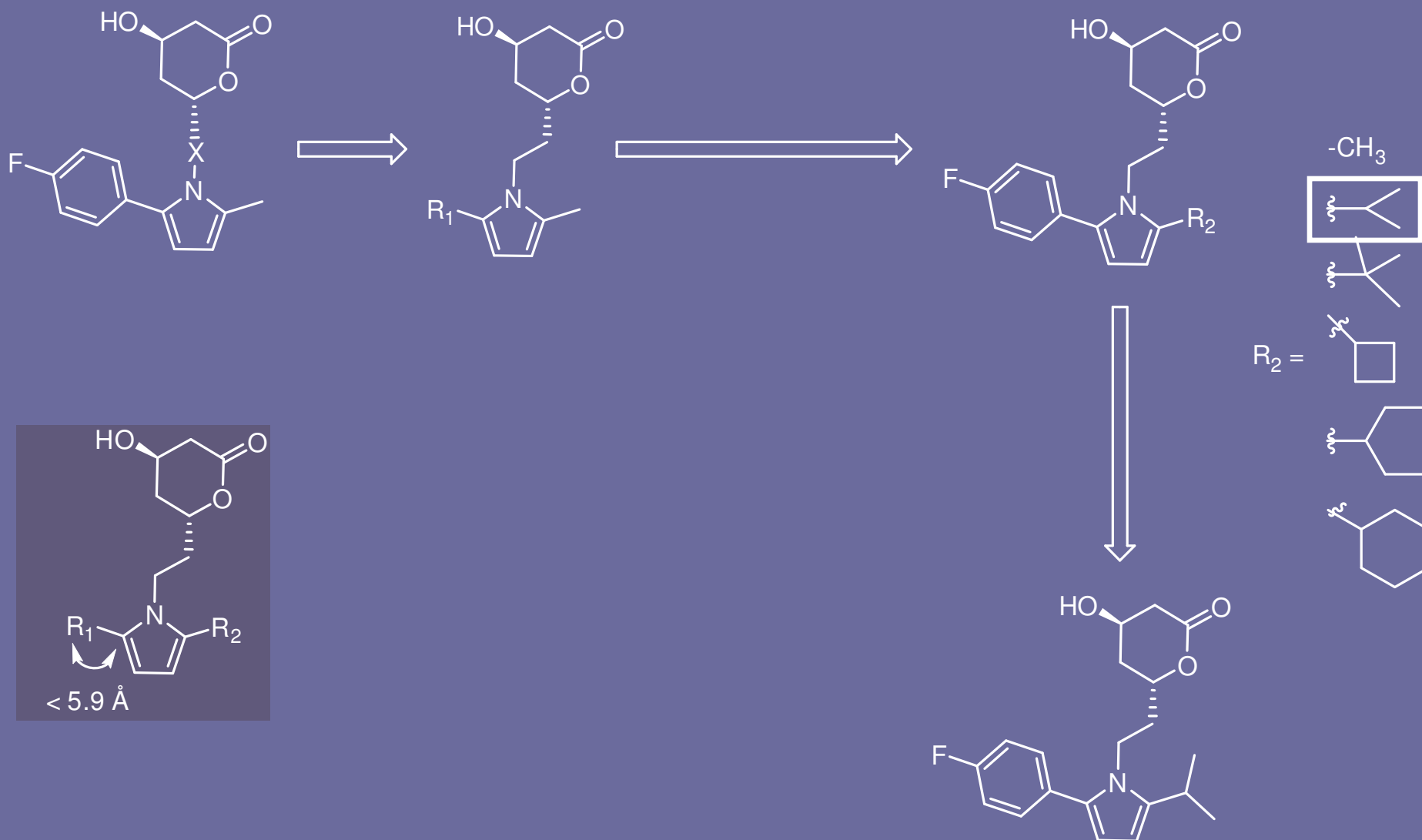
3-MeOC<sub>6</sub>H<sub>4</sub>

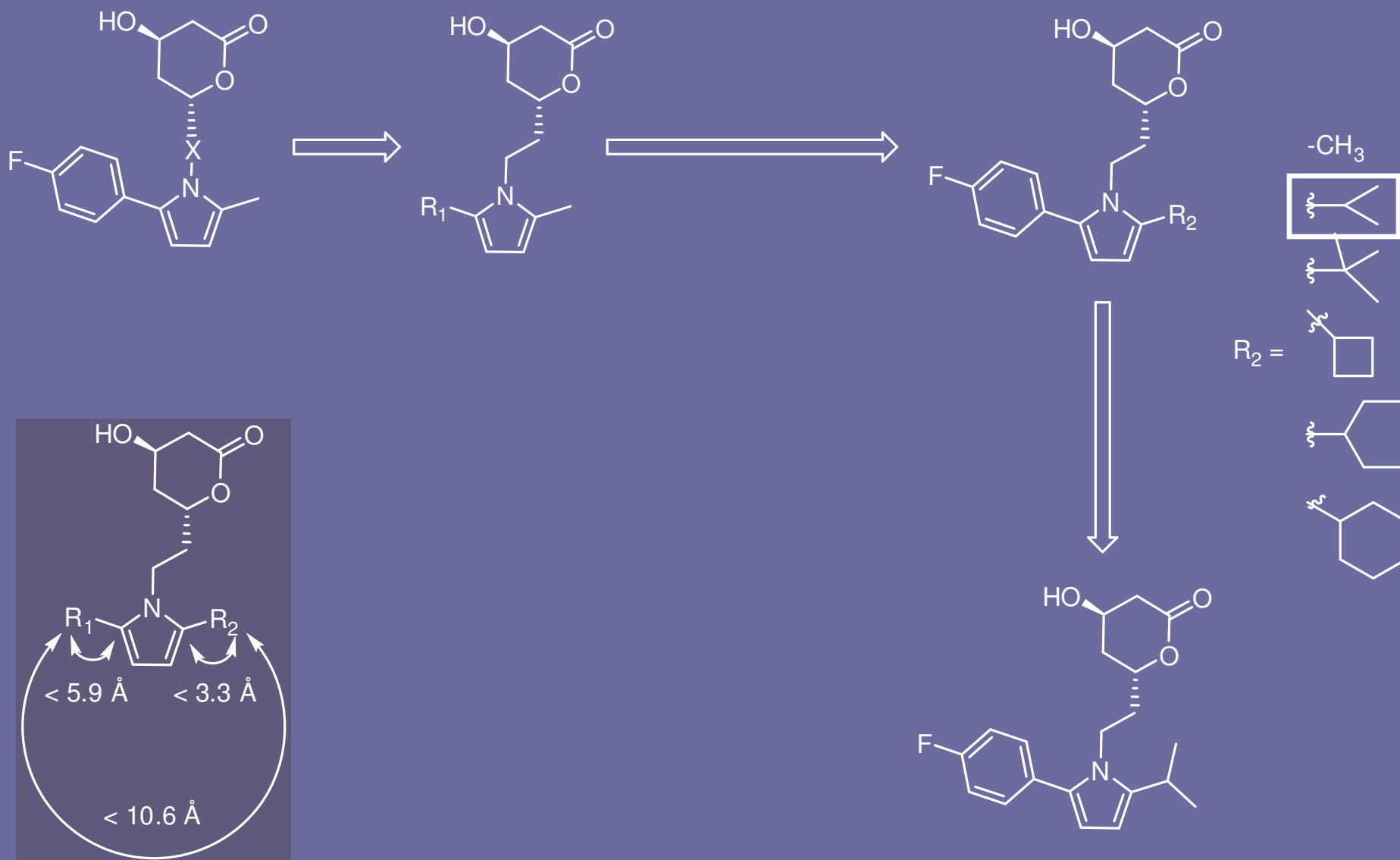
3-HOC<sub>6</sub>H<sub>4</sub>

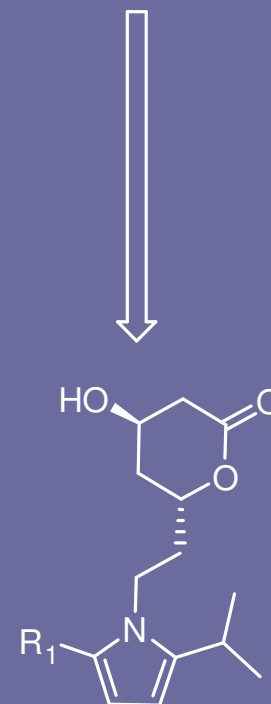
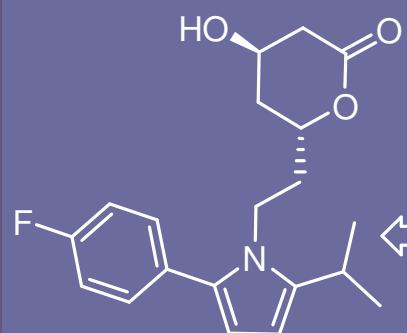
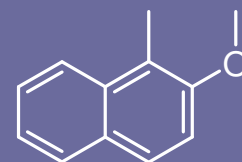
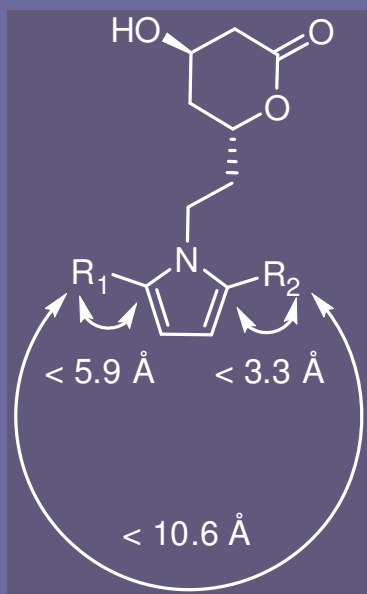
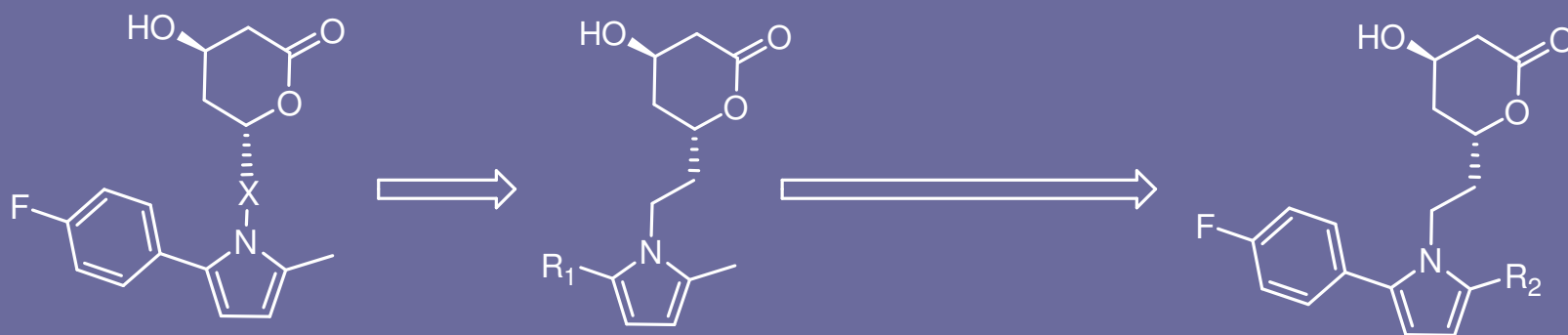
2-MeOC<sub>6</sub>H<sub>4</sub>

2-HOC<sub>6</sub>H<sub>4</sub>

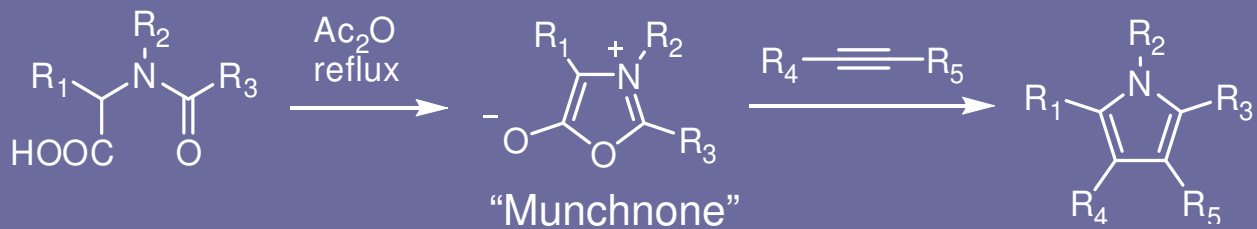




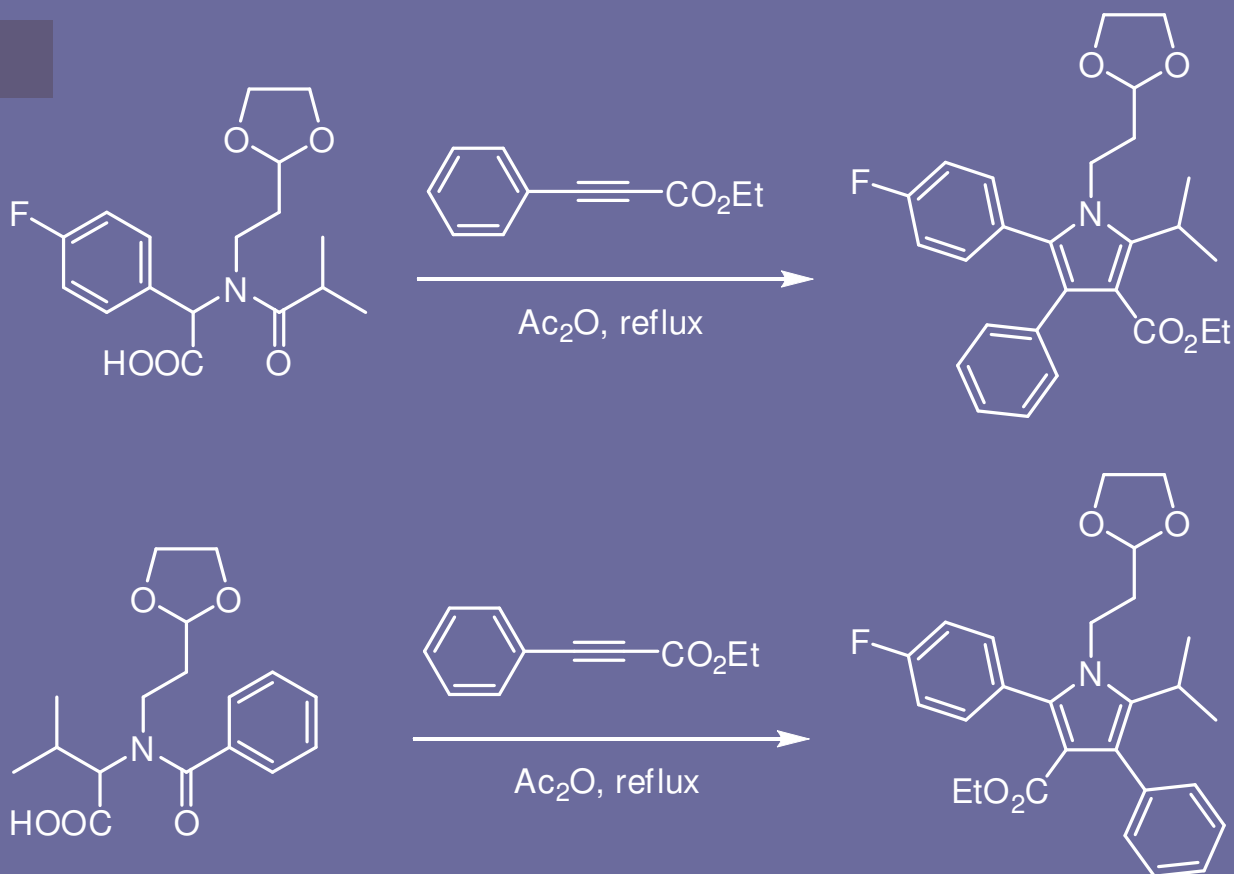




# Pentasubstituted Pyrroles via [3+2]

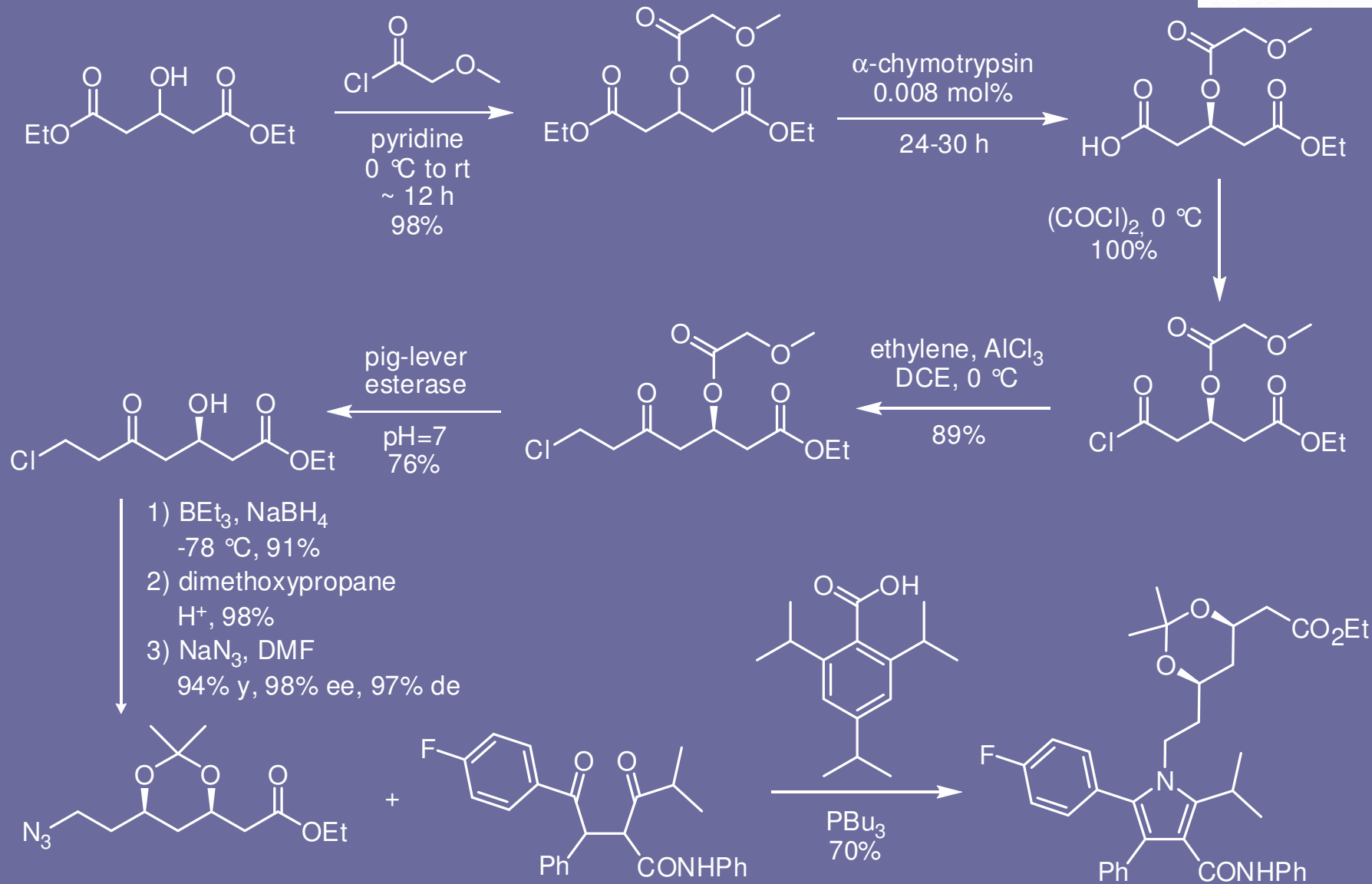
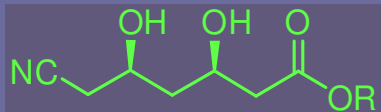


## Regiocontrol:

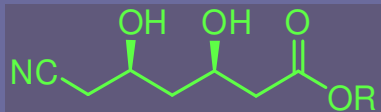




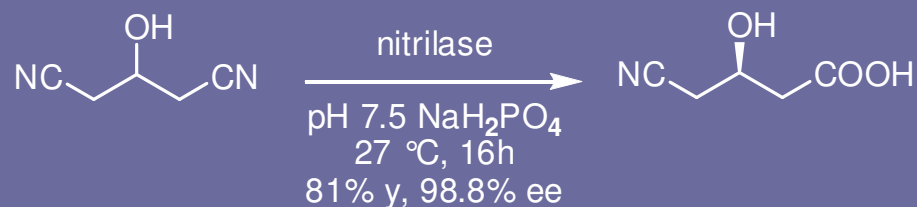
# Ciba's Route



Öhrlein, R.; Baischf, G. *Adv. Synth. Catal.* **2003**, *345*, 713-715.



# Diversa/Dowpharma's Route



Screen genomic libraries



Most effective WT nitrilase



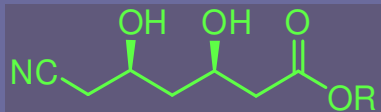
0.24 M [substrate] – 98% y, 95% ee



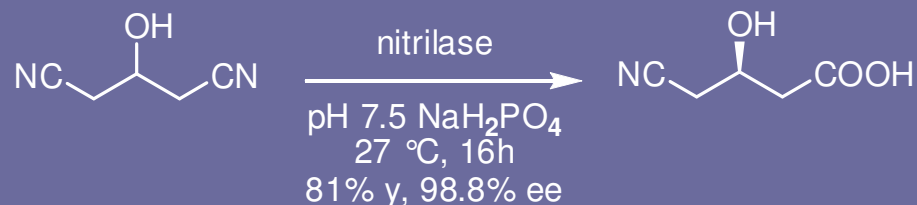
3 M [substrate] – 88% ee

DeSantis, G. *et al.* *J. Am. Chem. Soc.* **2002**, *124*, 9024-9025.

DeSantis, G. *et al.* *J. Am. Chem. Soc.* **2003**, *125*, 11476-11477.



# Diversa/Dowpharma's Route



Screen genomic libraries



Most effective WT nitrilase



Genetical Engineering gave another library



0.24 M [substrate] – 98% y, 95% ee



3 M [substrate] – 88% ee



Best mutant



2.25 M [substrate] – 98% ee in 15 h

DeSantis, G. *et al. J. Am. Chem. Soc.* **2002**, *124*, 9024-9025.

DeSantis, G. *et al. J. Am. Chem. Soc.* **2003**, *125*, 11476-11477.

# Diversa's GSSM™: Gene Site Saturation Mutagenesis

## 1. Target Protein for Improvement

*Identify protein for optimization through extensive screening of gene libraries.*

## 2. Change Amino Acid(s)

*Evolve the gene encoding the protein by systematically changing each amino acid in the sequence to every other possible amino acid.*

## 3. Complete Variant Library

*A new gene variant library is born containing genes with every single site variation in sequence.*

## 4. Select Variants

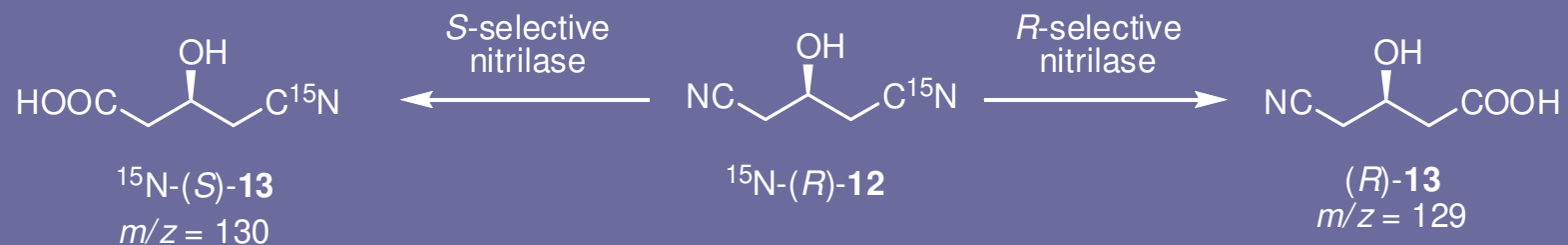
*Through screening, identify the variants that demonstrate improved characteristics.*

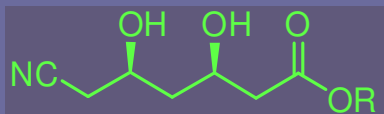
## 5. Combine Mutations

*Tests all potential combinations of the single amino acid changes that demonstrated improved characteristics and select the optimal combination.*

## 6. Generate Optimized Protein

# Diversa's High Throughput Screen for Improved Selectivity



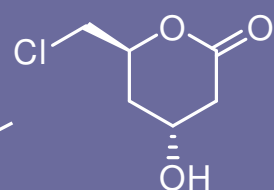


# Diversa's Route



DERA = deoxyribose-5-phosphate aldolase

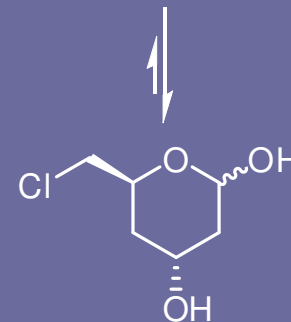
- a) NaCN, DMF, 5% H<sub>2</sub>O, 40 °C, 16 h
- b) H<sub>2</sub>SO<sub>4</sub>
- c) (MeO)<sub>2</sub>CMe<sub>2</sub>
- d) TMSCHN<sub>2</sub>



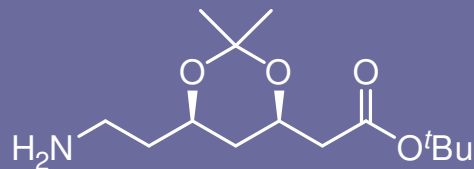
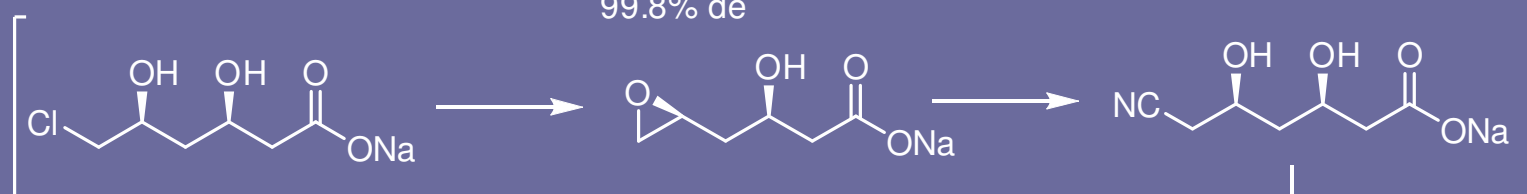
NaOCl, HOAc  
H<sub>2</sub>O, 25 °C, 3 h

45% (over 2 steps)

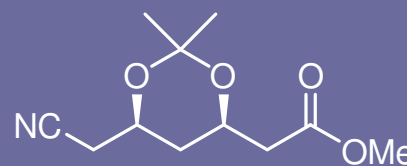
white crystals  
>99.9% ee  
99.8% de



oil, not purified

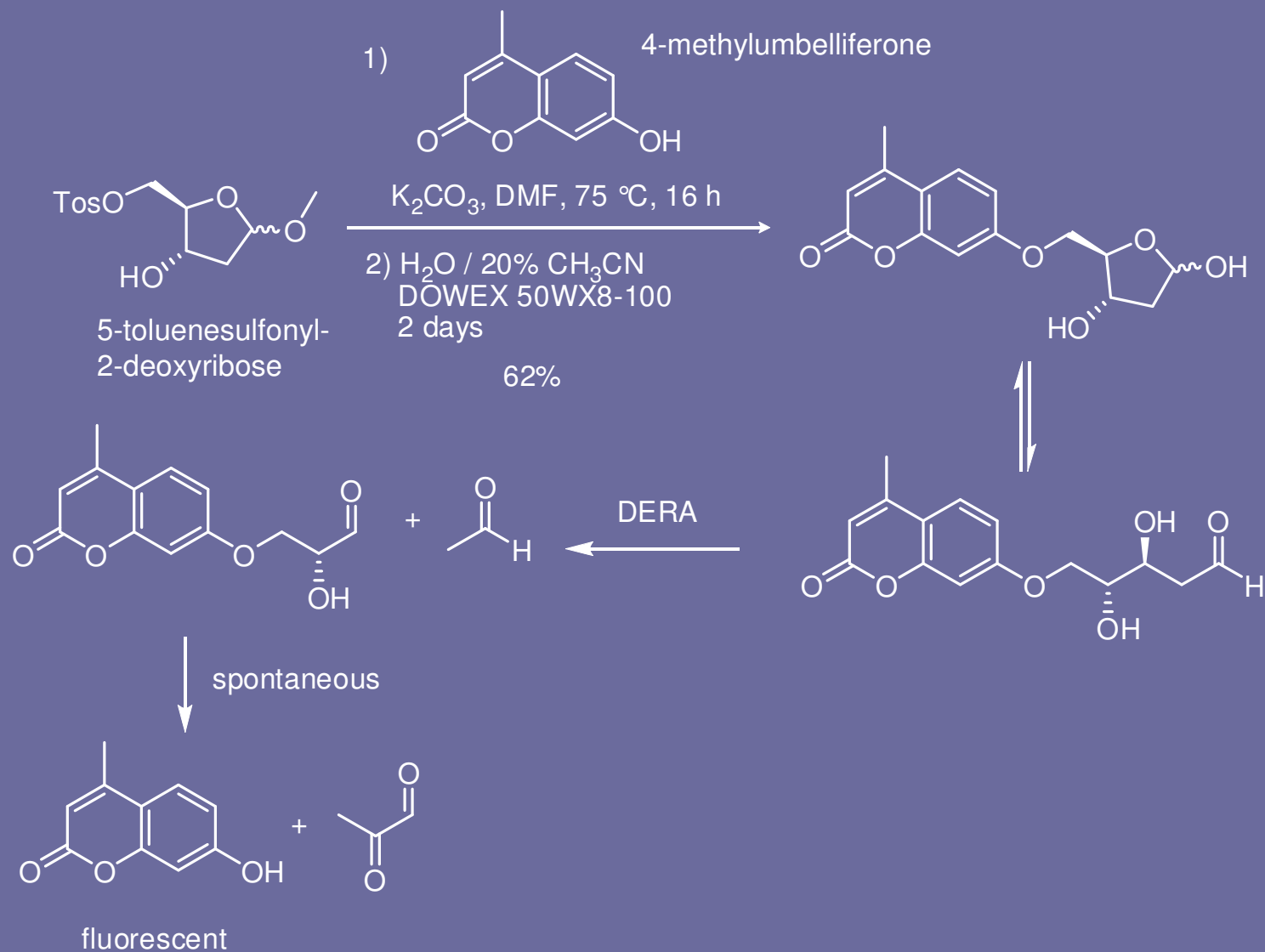


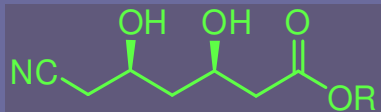
Coupling partner for the Paal-Knorr



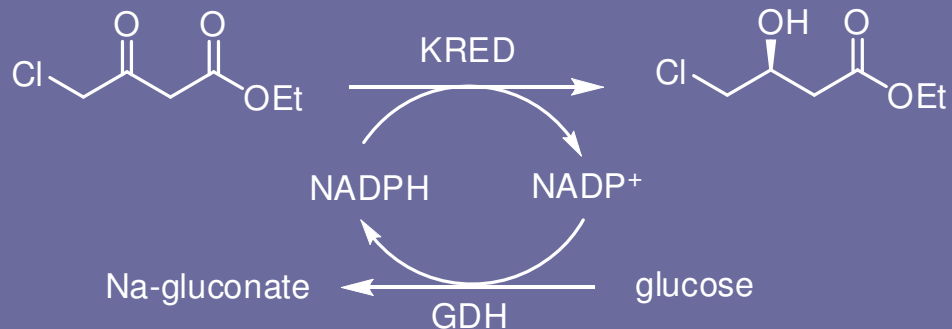
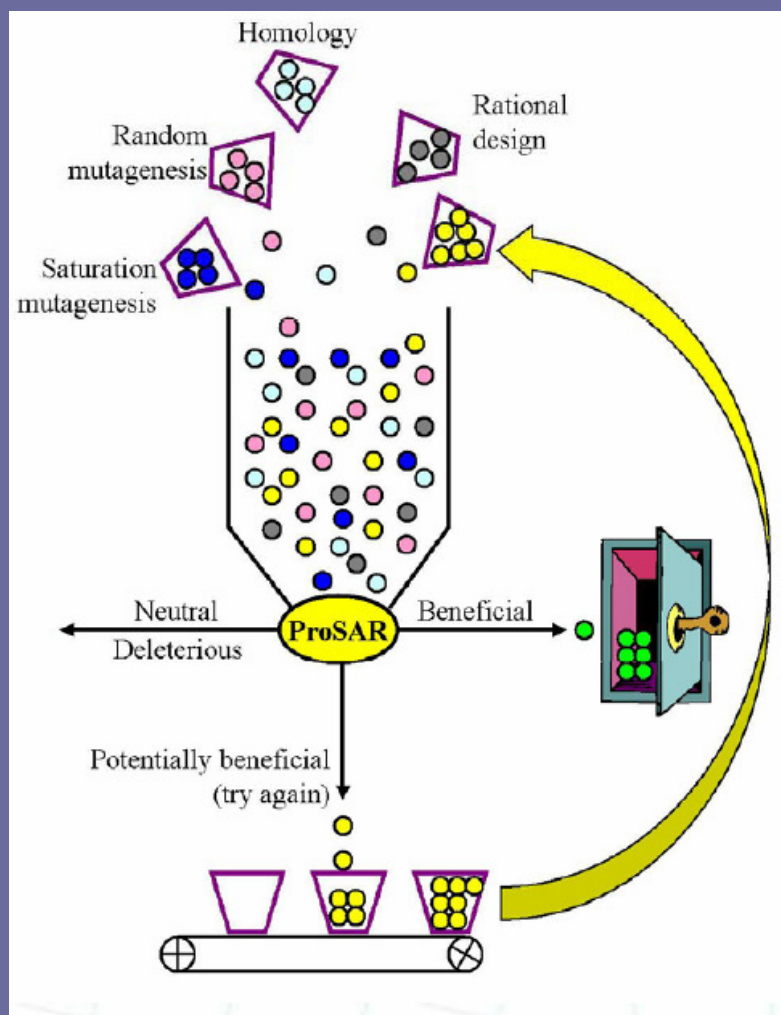
oil, column chromatography  
48% (over 4 steps)

# Diversa's Fluorogenic Activity Based Screen





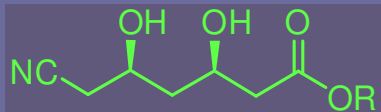
# Codexis Biocatalyst Improvement



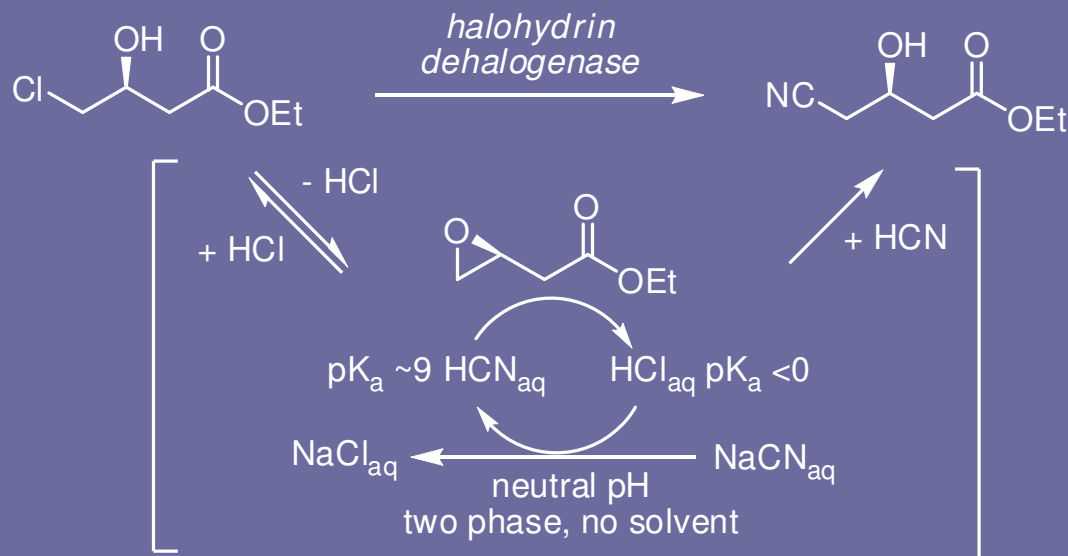
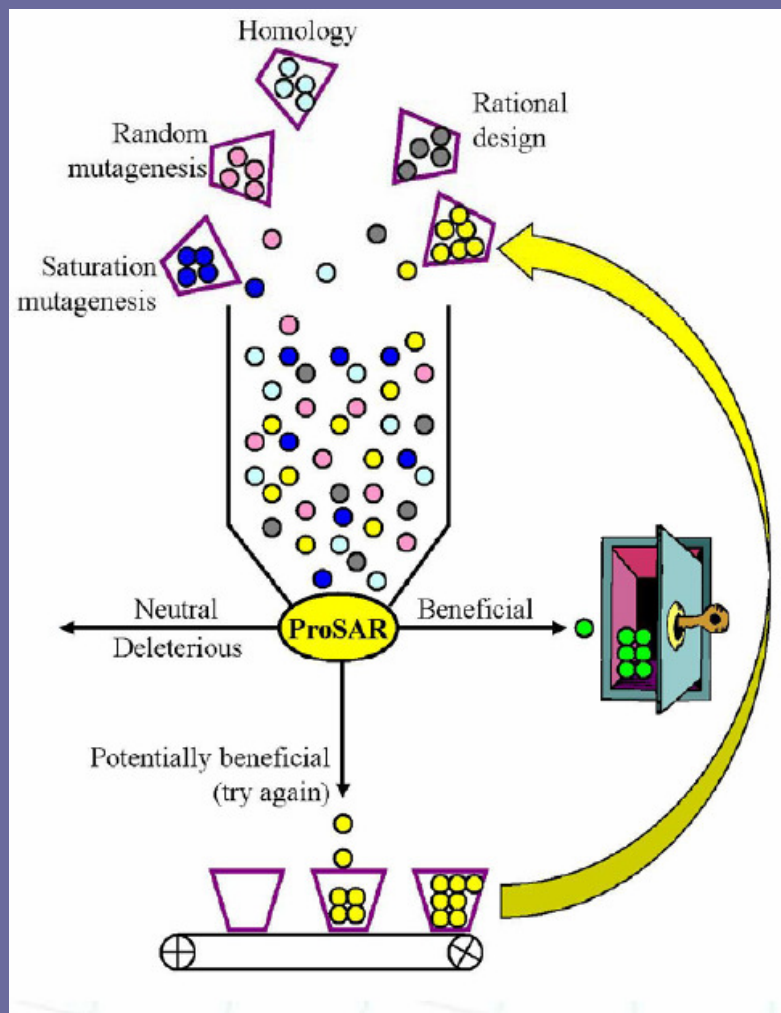
Parameter	Initial	Final
Substrate loading	80 g/L	180 g/L
Reaction time	24 h	8 h
Enzyme loading	10 g/L	0.7 g/L
Isolated yield	~80%	97%
Phase separation time	>1 h	~ 1 min
Volumetric productivity	80 g/L.day	540 g/L.day

Dr. Peter Seufer-Wasserthal (VP, Head of Codexis Pharma Services), personal communication.



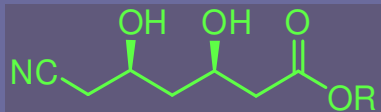


# Codexis Biocatalyst Improvement

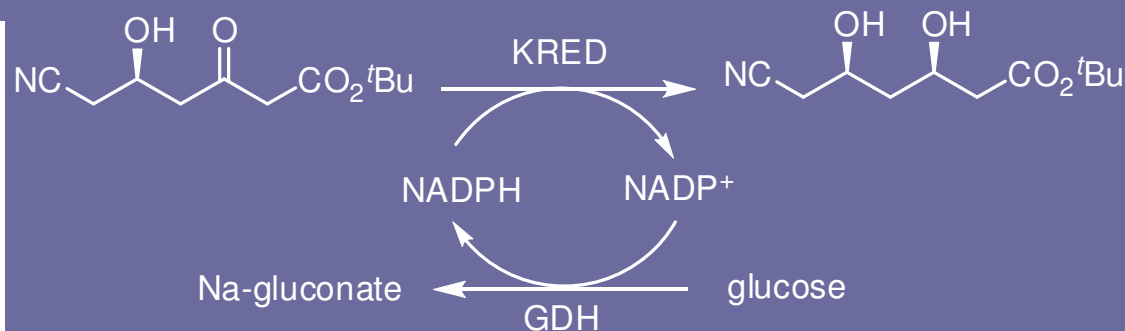
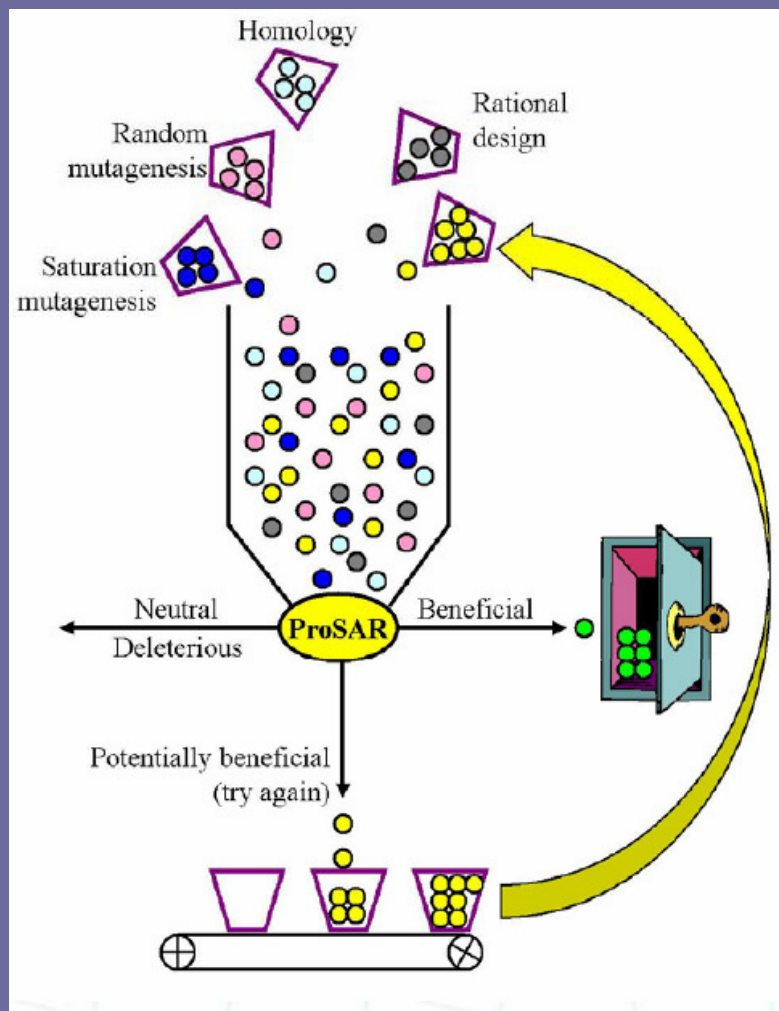


Parameter	Initial	Final
Substrate loading	20 g/L	140 g/L
Reaction time	72 h	5 h
Enzyme loading	130 g/L	1.2 g/L
Isolated yield	~60%	92%

Dr. Peter Seufer-Wasserthal (VP, Head of Codexis Pharma Services), personal communication.



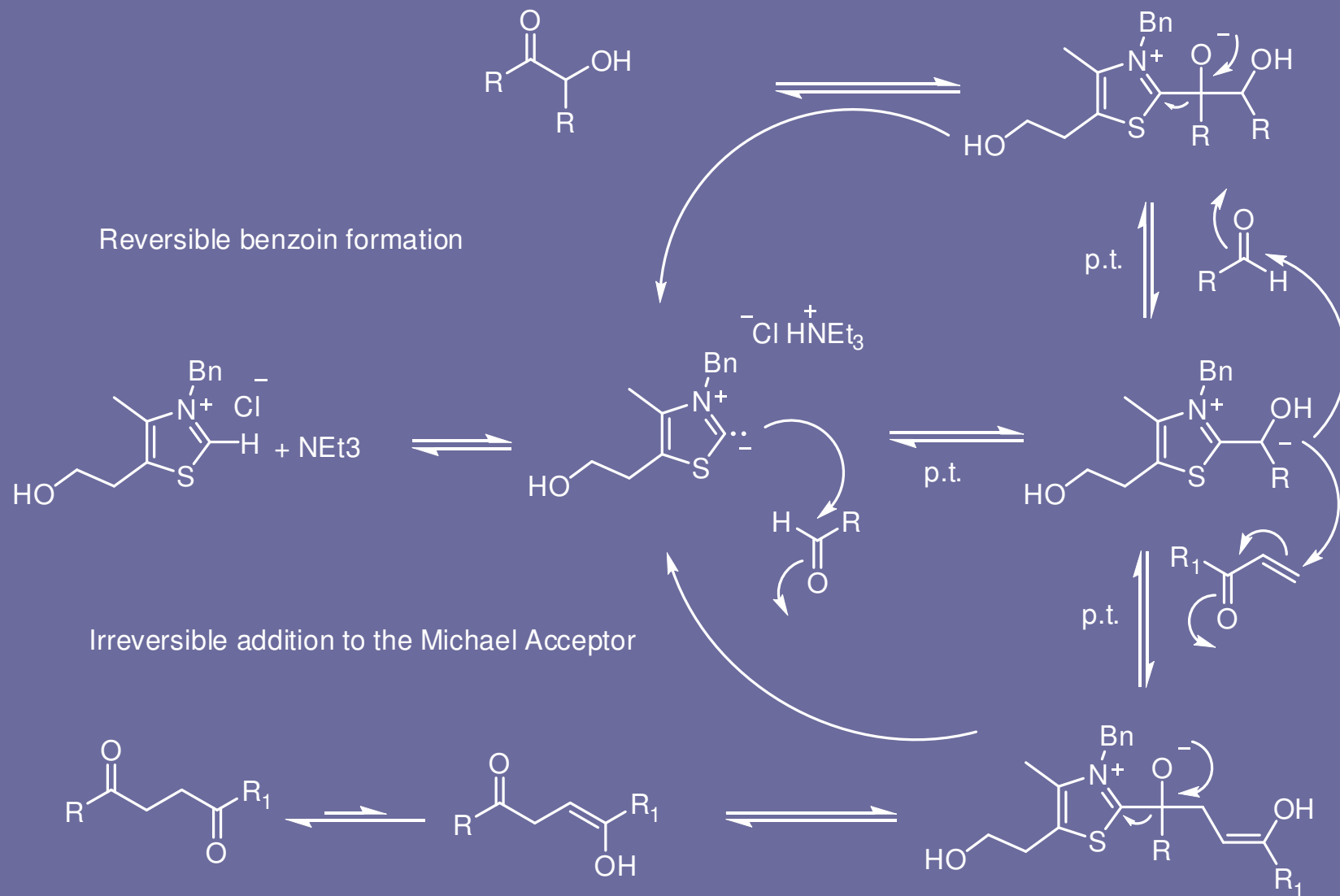
# Codexis Biocatalyst Improvement



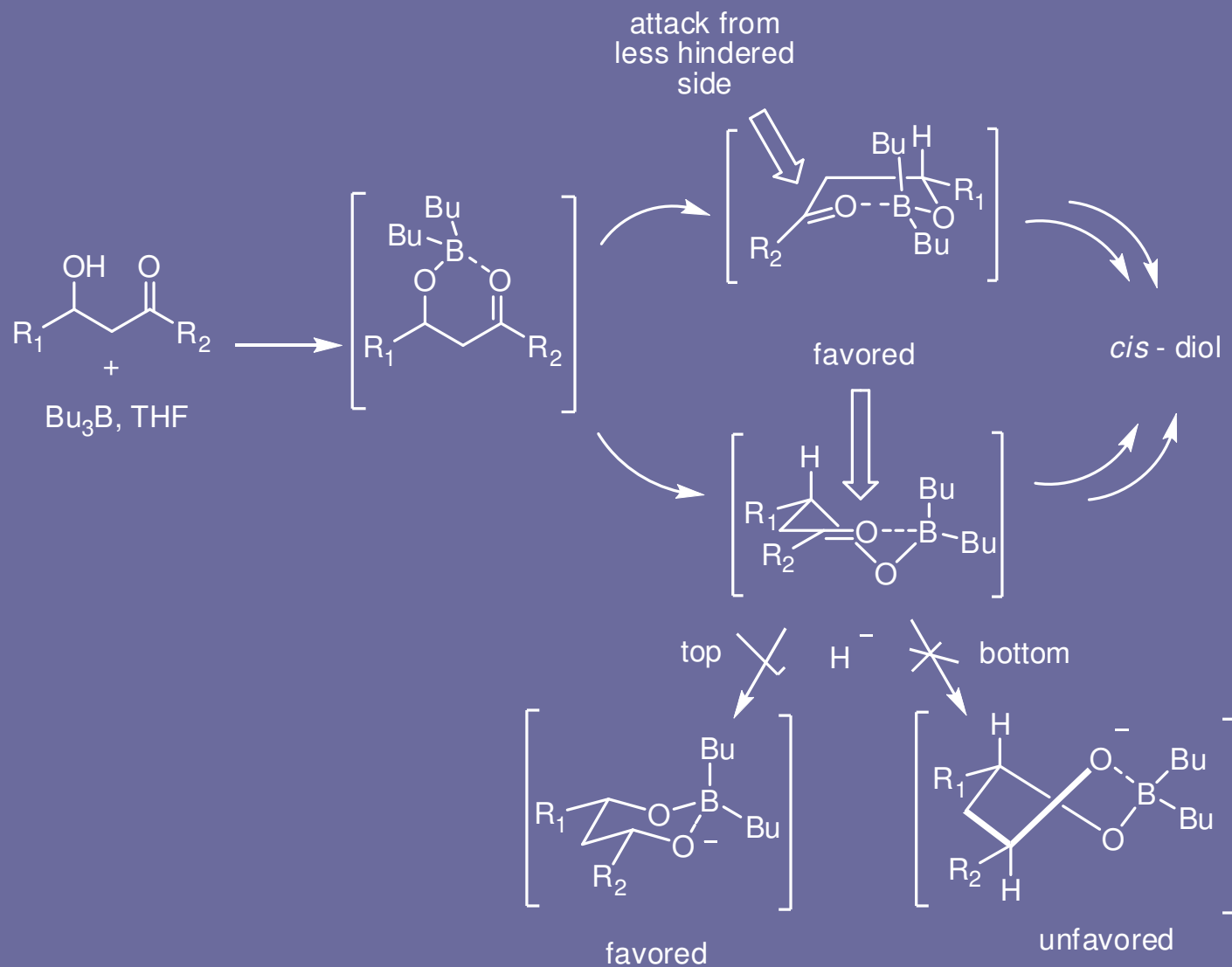
Parameter	Initial	Final
Substrate loading	120 g/L	300 g/L
Reaction time	65 h	22 h
Conversion	87%	99.3%
Diastereomeric excess	100%	100%
Work-up	difficult	facile

Dr. Peter Seuffer-Wasserthal (VP, Head of Codexis Pharma Services), personal communication.

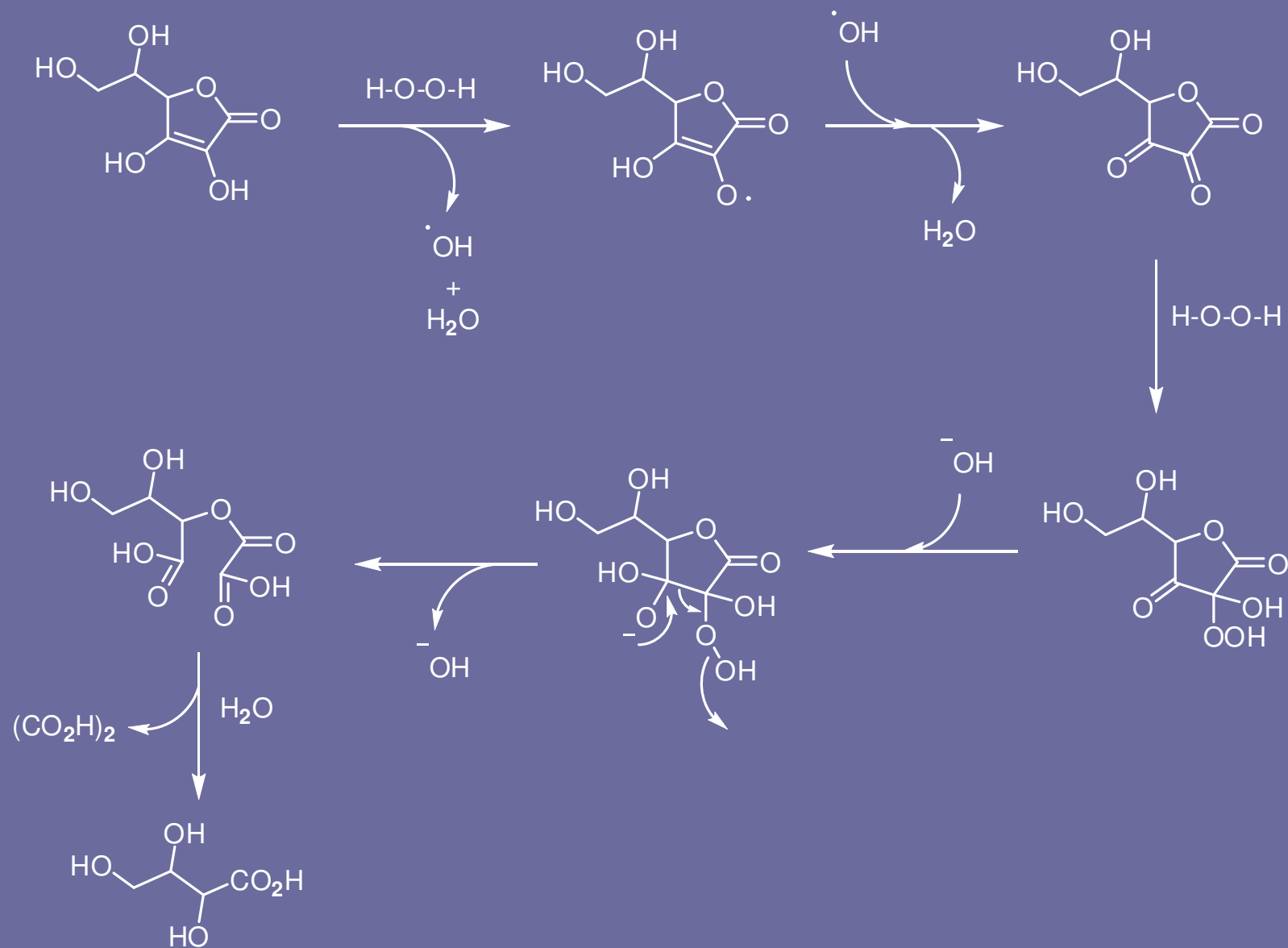
# Stetter Reaction Mechanism

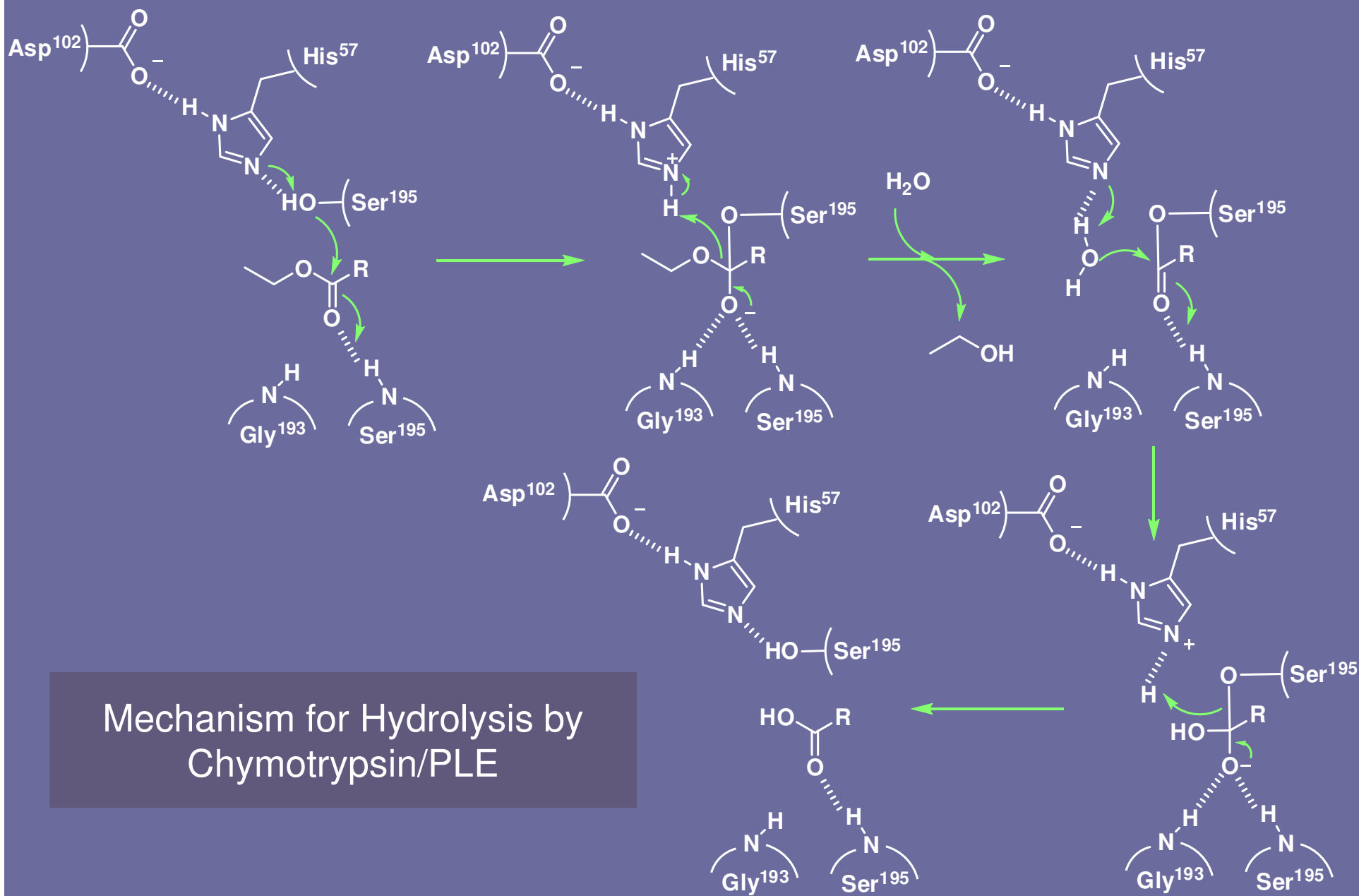


# Syn-selective reduction of $\beta$ -hydroxy ketones



# Mechanism for H<sub>2</sub>O<sub>2</sub> Oxidation of Ascorbic Acid





Mechanism for Hydrolysis by Chymotrypsin/PLE

# Mechanism for Hydrolysis by Nitrilase

